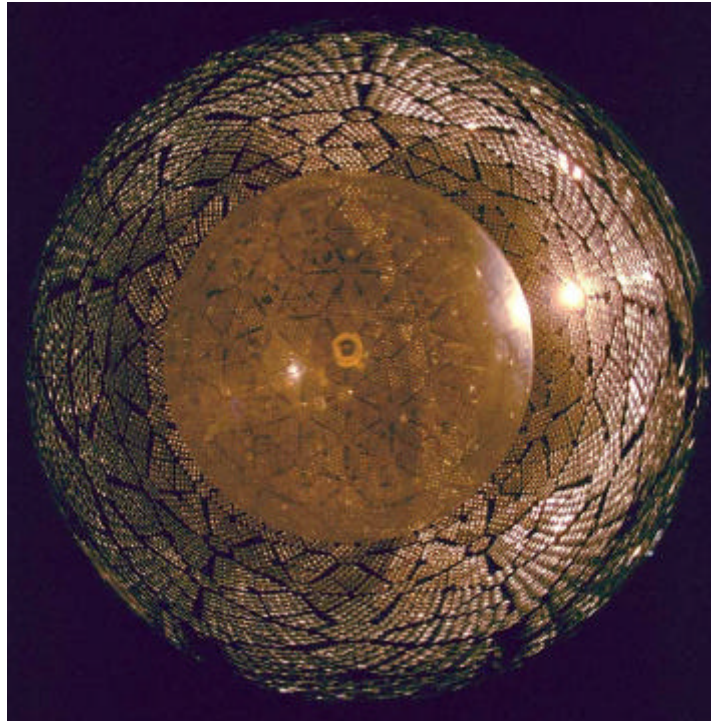


Sudbury Neutrino Observatory



Results from the Sudbury Neutrino Observatory

Karsten M. Heeger

For the SNO Collaboration

CENPA, Seattle

Sudbury Neutrino Observatory

- Design and Physics Objectives
- Detector Operations
- Calibration and Detector Response
- Data Analysis
- First Results

SNO Collaboration

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Sudbury Neutrino Observatory

2092 m to Surface (6010 m w.e.)



17.8 m Diameter Support Structure for
9456 20 cm PMTs
~55% coverage within 7 m

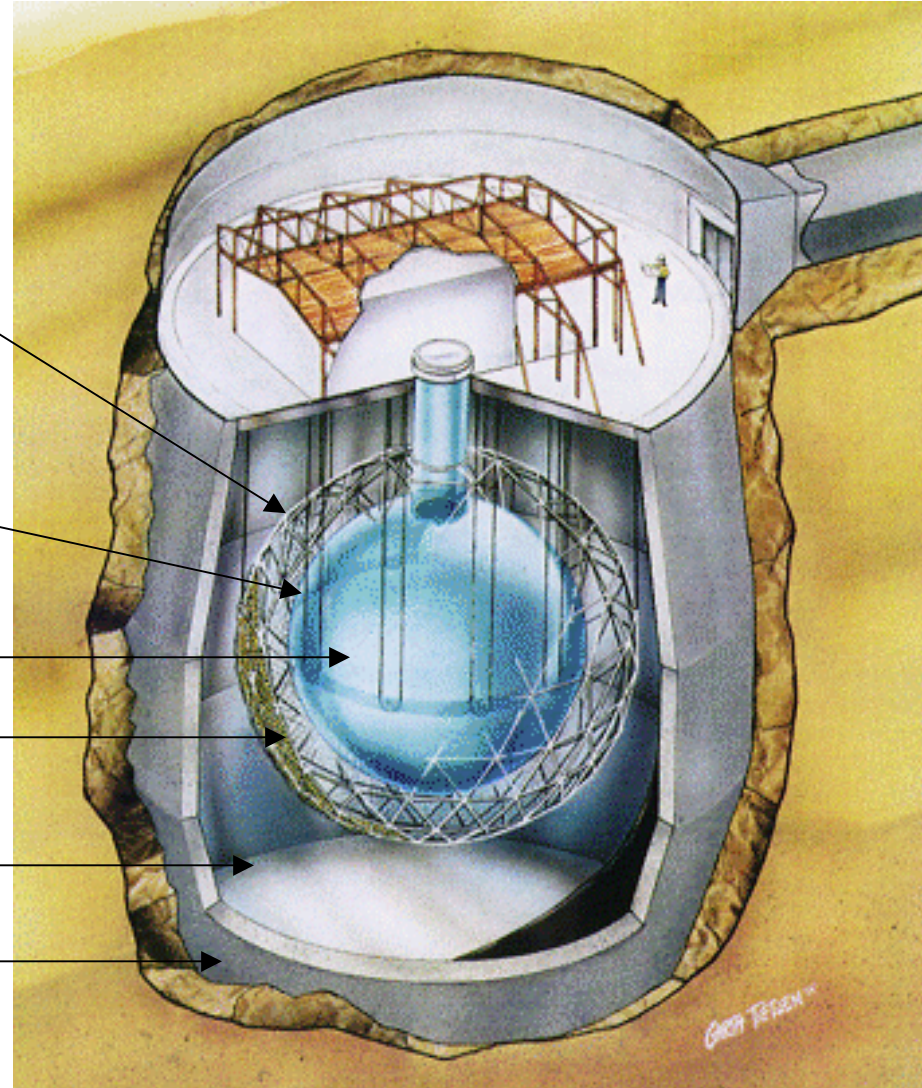
12 m Diameter Acrylic Vessel

1000 Tonnes D_2O

1700 Tonnes Inner
Shielding H_2O

5300 Tonnes Outer Shield H_2O

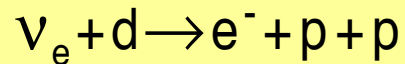
Urylon Liner and Radon Seal



Neutrino Detection in SNO

Neutrino Interactions in D_2O and H_2O and their Flavor Sensitivity

Charged-Current (CC)

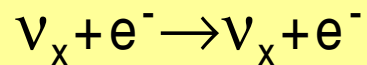


$$E_{\text{thresh}} = 1.4 \text{ MeV}$$

ν_e only

Measurement of energy spectrum

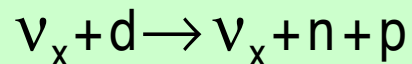
Elastic Scattering (ES)



ν_x , but enhanced for ν_e

Strong directional sensitivity

Neutral-Current (NC)



$$E_{\text{thresh}} = 2.2 \text{ MeV}$$

ν_x

Measures total ^8B flux from Sun

SNO Physics Program

Solar Neutrinos

- Search for ν flavor change
CC/ES, CC/NC
- ^8B Total Flux (test of solar models)
- Spectral distortions
- Time Dependences
 - diurnal
 - annual
 - solar cycle
- Measurement of hep flux

Supernova watch, relic SN neutrinos

Antineutrinos

Atmospheric Neutrinos

SNO Run Sequence

I. Pure D₂O

CC, ES

some NC

$n+d \rightarrow t+\gamma\dots$ ($E_\gamma=6.25\text{ MeV}$, $\epsilon_n\sim 24\%$)

II. D₂O+NaCl

(added salt)

CC, ES

enhanced NC

$n+^{35}\text{Cl} \rightarrow ^{36}\text{Cl}+\gamma$

($E_\gamma=8.6\text{ MeV}$, $\epsilon_n\sim 45\%$ above threshold)

III. D₂O+NCDs

(³He proportional counters)

Concurrent CC, NC, ES

$n+^3\text{He} \rightarrow p+t$

→ event by event separation ($\epsilon_n\sim 37\%$)

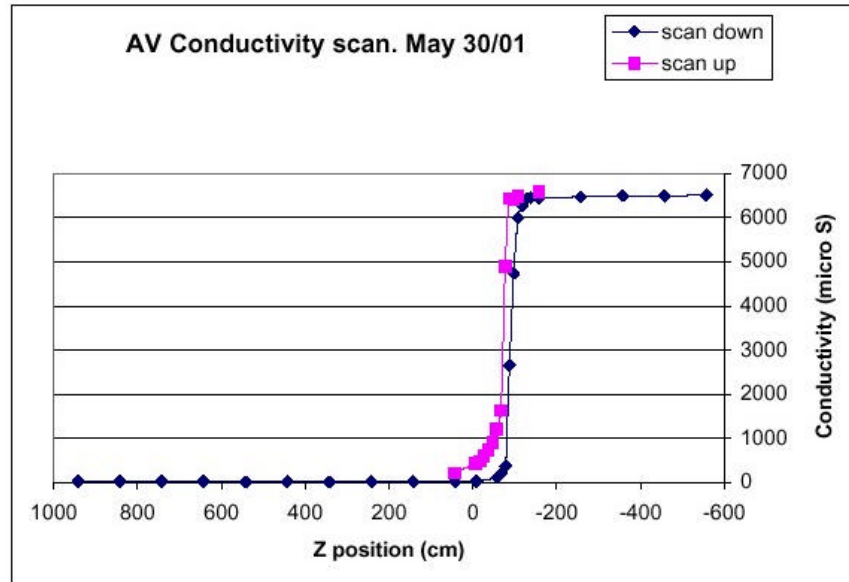
SNO Phase II - Addition of Salt

SNO Phase II: D₂O+NaCl

- Runtime about 8 months
- Enhances neutron capture efficiency:
 $\epsilon_n \sim 83\%$ ($\sim 45\%$ above threshold)

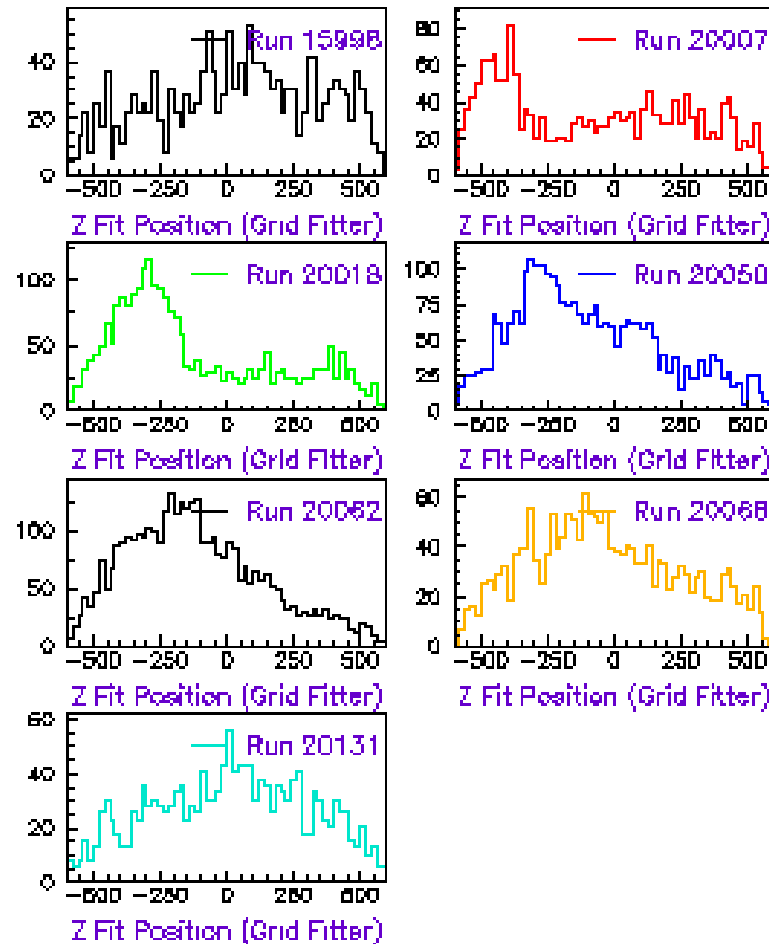
May 28 - June 5, 2001

- Salt introduced at bottom of detector
- Addition of NaCl completed



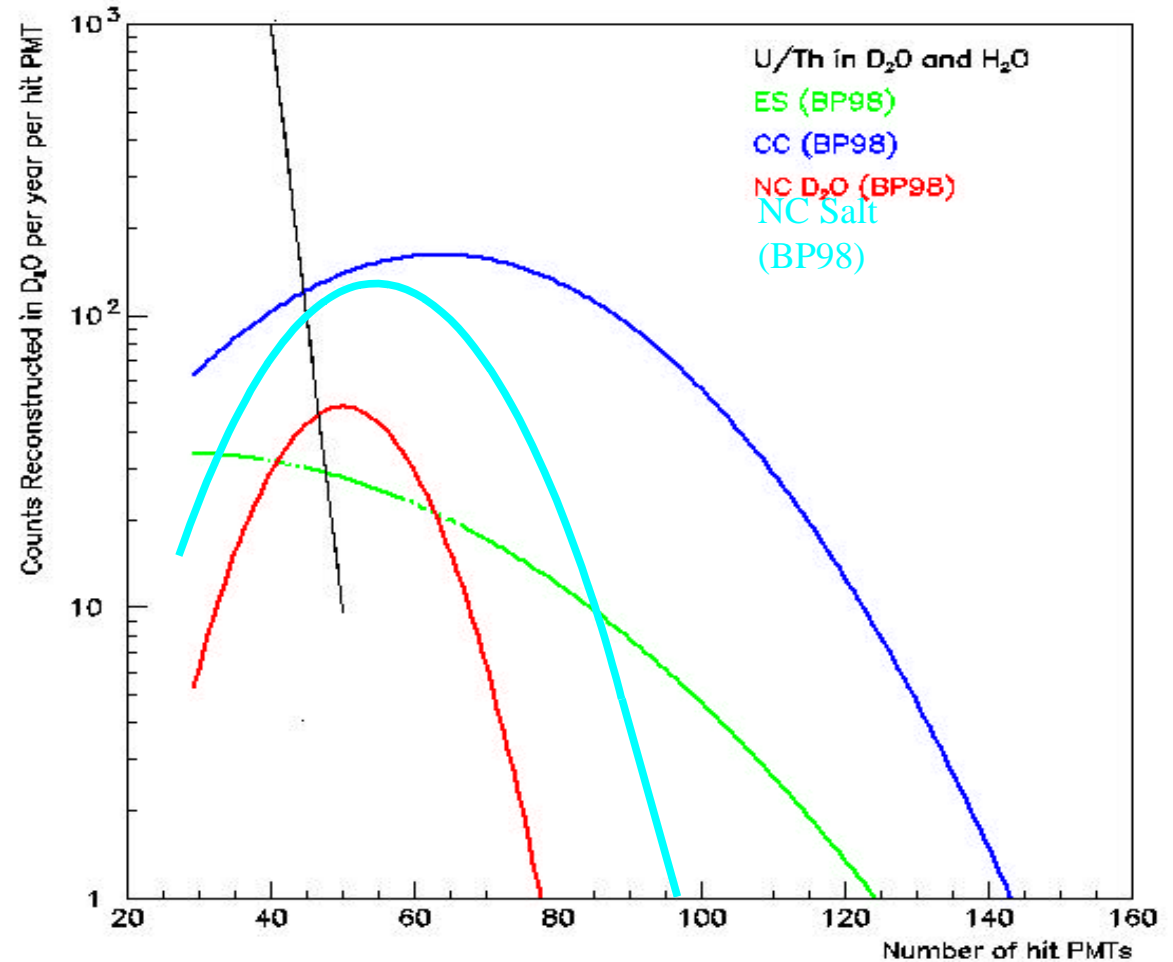
Event Rate vs z Position During Addition of Salt

Reconstructed Z Position -- Time Normalized, Window Z



SNO Phase II - Physics with Salt

- **Enhanced NC sensitivity**
 $\epsilon_n \sim 45\%$ above threshold
- **Systematic check of energy scale**
 $E_\gamma = 8.6 \text{ MeV}$
- **NC and CC separation by event isotropy**



Preparations for Phase III - Neutral Current Detectors

NCD Array

NC Detection: $n+{}^3\text{He} \rightarrow p+t$
Total Length: 775 m
Counters: 292 (300)
Vertical Strings: 96
n capture efficiency: $\epsilon_n \sim 45\%$

Neutron Background Estimates from Radioassay

uniform+near vessel: $<4.4\%$ SSM

Status of NCD Project

First deployment of NCD into D_2O Sep 2000
Counter construction complete April 2001
Electronics Commissioning Summer 2001
DAQ partially complete
Analysis of cooldown data
Development of pulse shape analysis techniques

Schedule

Pre-deployment welding: Winter 2001
Deployment of NCD array: Summer 2002



Calibration and Detector Response

Calibration Issues

- Photon Generation, transport, and detection
 - different media: D_2O , acrylic, H_2O , PMT
 - attenuation, reflection, scattering
- Detector geometry
- Detector status and conditions

Calibration Techniques

Electronics

electronic pulsers, pulsed light sources

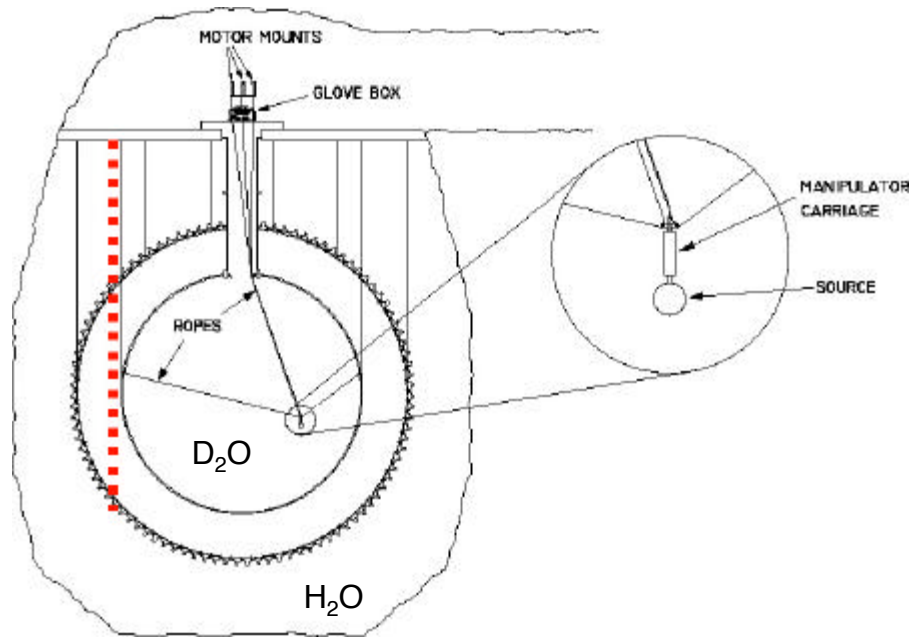
Optical Response

pulsed laser at $\lambda=337, 365, 386, 420, 500, \text{ and } 620 \text{ nm}$, $\sim 2 \text{ ns}$ resolution

Energy response

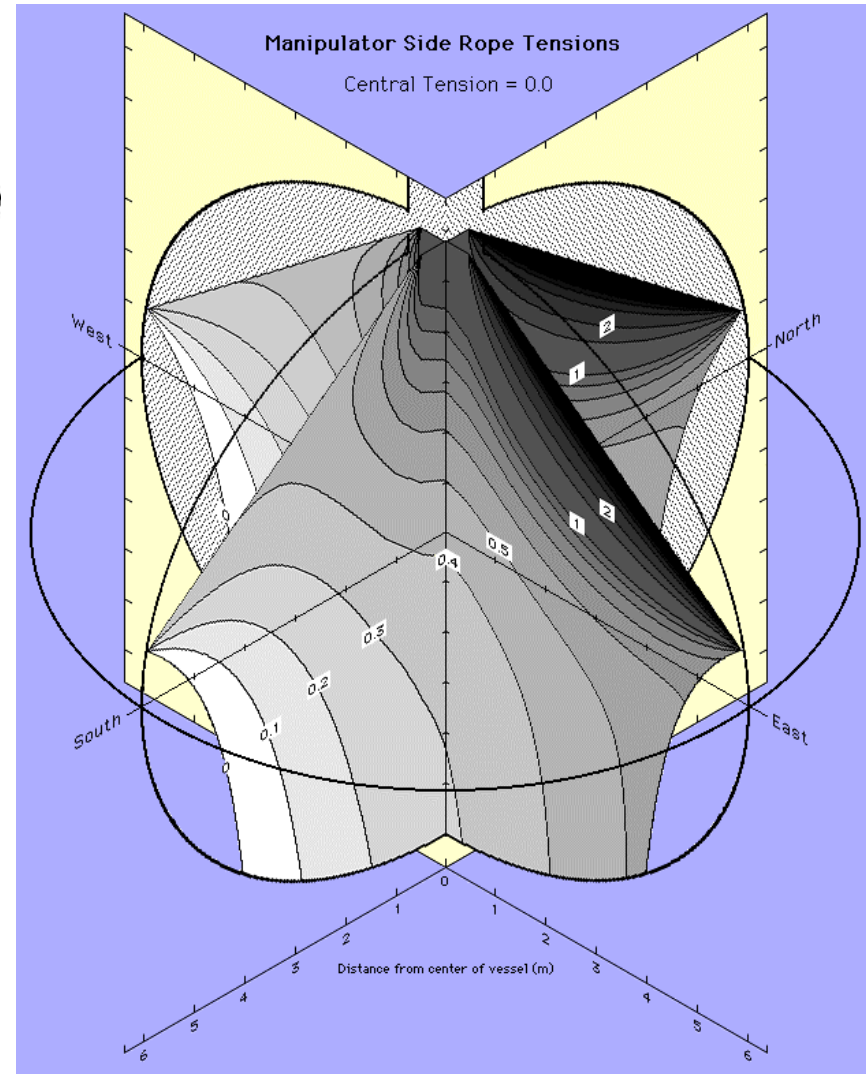
^{16}N	6.13 MeV γ , tagged
p,t	19.8 MeV γ
neutrons	6.25 MeV γ
^8Li β spectrum	13 MeV endpoint
^8B β spectrum	15 MeV endpoint

Calibration Systems



Source Deployment

- In two planes in D₂O
- One line in H₂O (so far)
- Positioning accuracy: ±2-5 cm

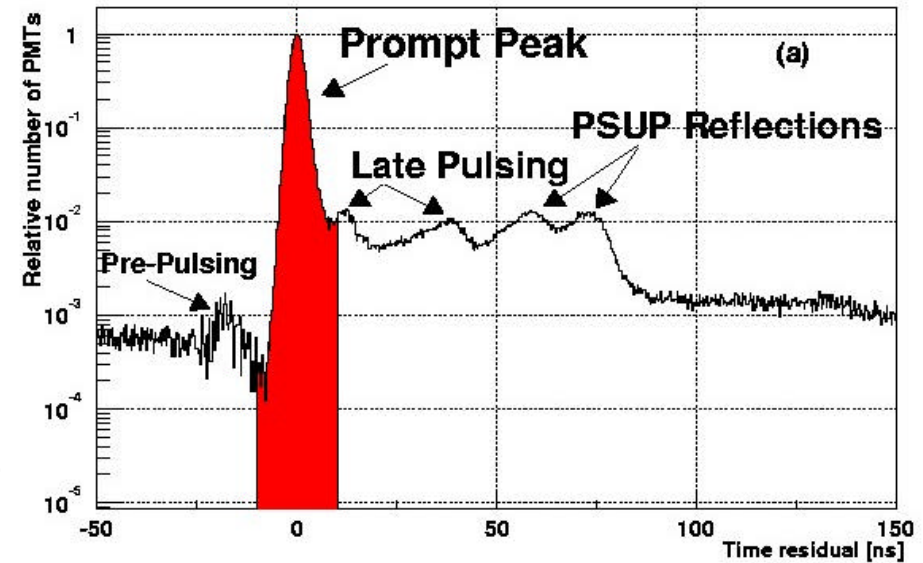


Optical Response - Timing Residuals

Timing Residuals

Difference in time between hit and direct flight time from vertex

Source at Center



Event Energy Estimators

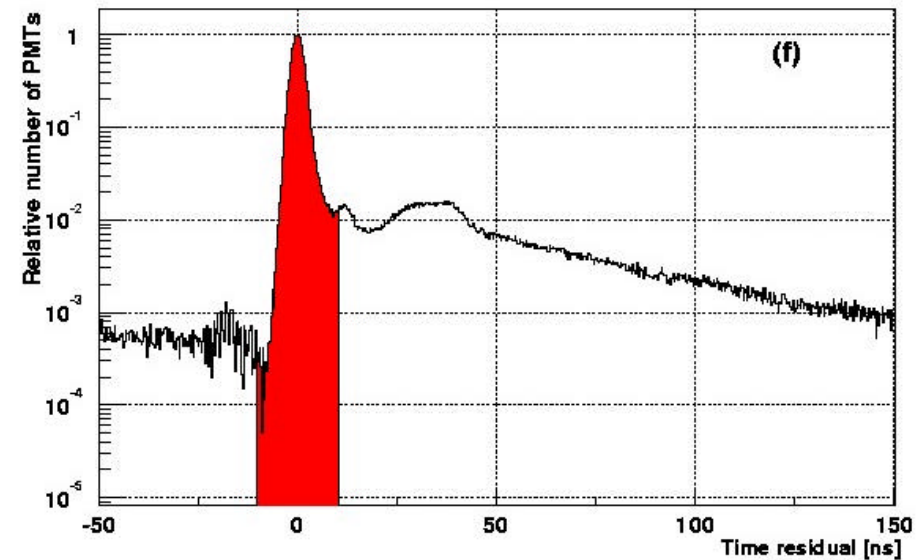
I. Prompt light

with position and direction correction

II. Total light (Nhit)

without position and direction correction

Source at $z=500$ cm



Time Since Last Hit Dependence

Effect

- Variation in ADC pedestal with time since last hit (TSLH)
- Variation in ADC slope with time since last hit (TSLH)

Observation

Timing residuals depend on data rate

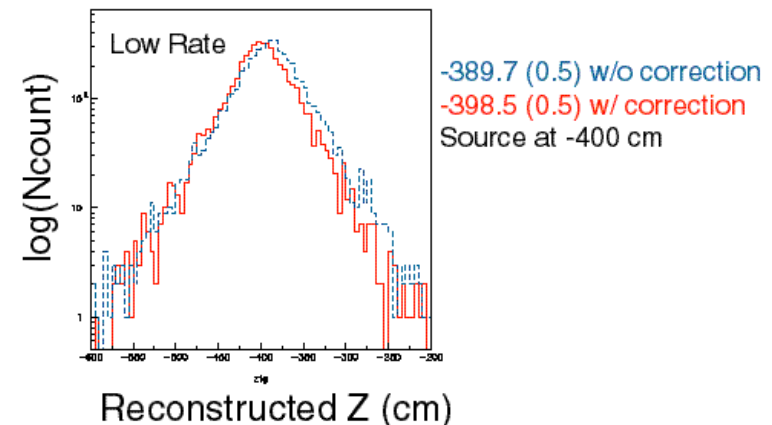
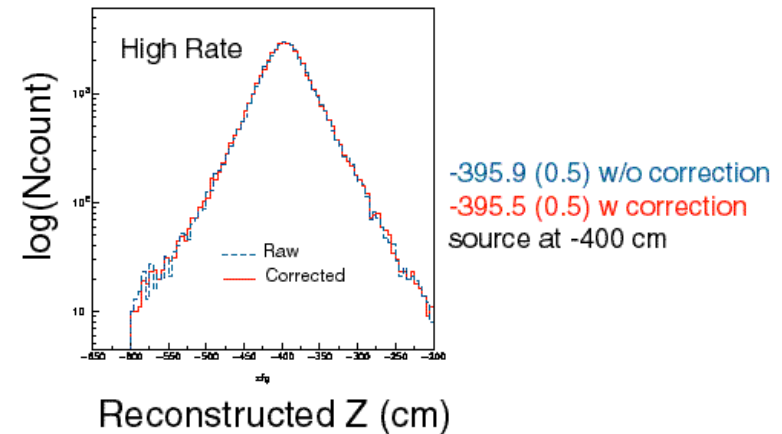
Software Solution

Calibrated out in reconstruction of neutrino data

Effect on Reconstruction

N16 source at Z=-400cm

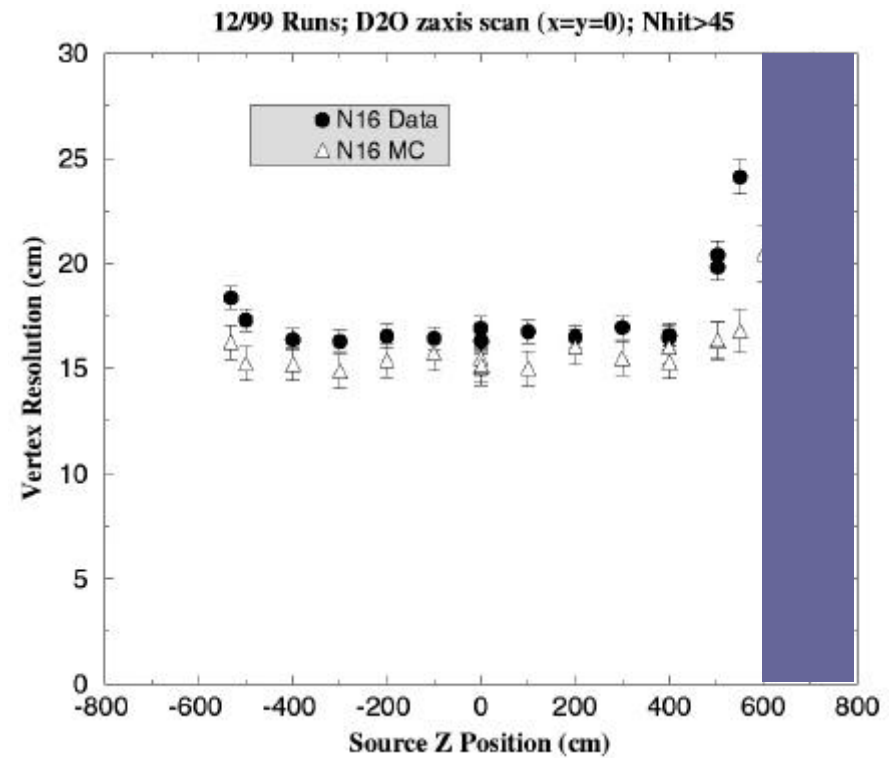
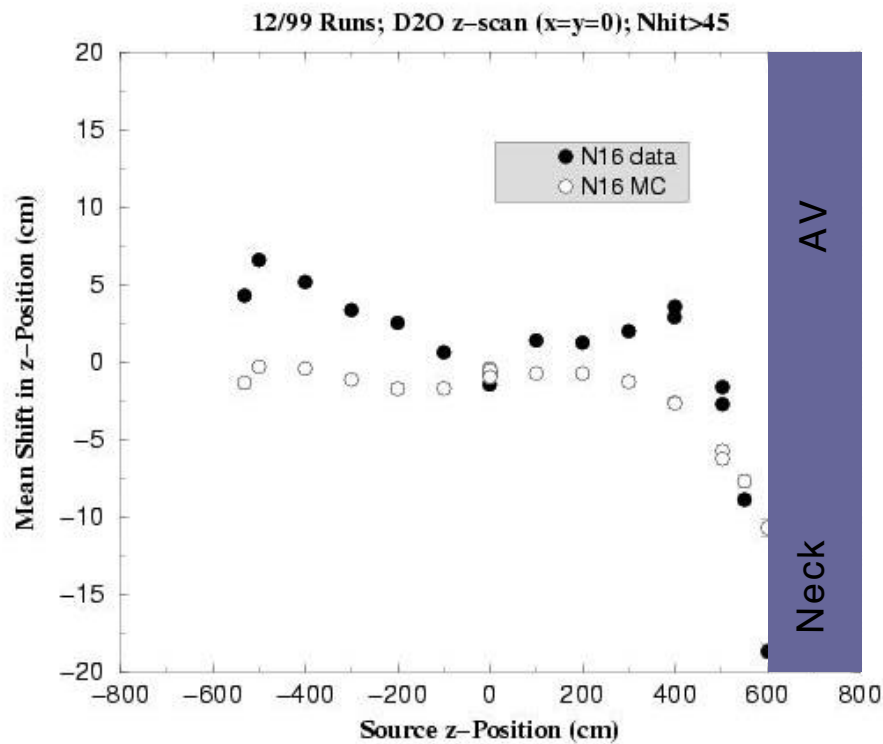
Low Rate vs High Rate Data & Corrections



Event Reconstruction

Calibration Sources in D_2O ^{16}N γ 's and 8Li β 's
in H_2O ^{16}N γ 's

Vertex resolution: ~ 16 cm



Angular Resolution

Error in reconstructed event direction:

Resolution function:

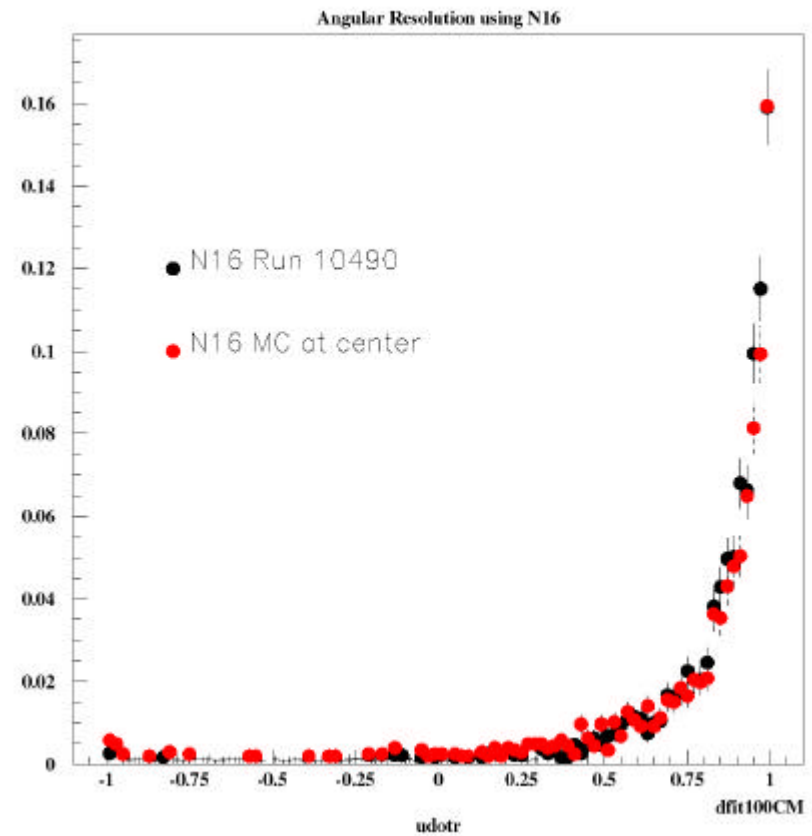
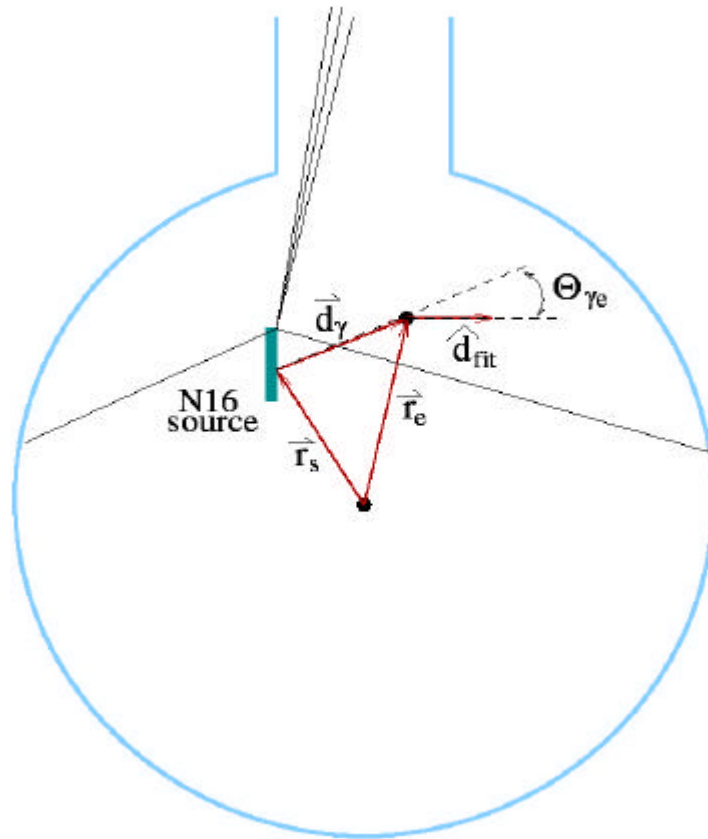
Angular resolution: 26.7°

$$\theta_e = \vec{u}_{fit} \cdot \vec{u}_e$$

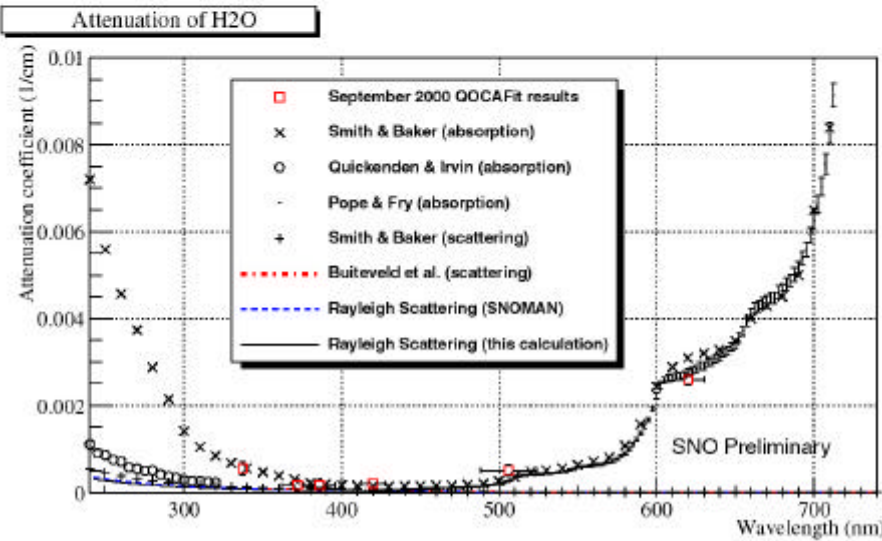
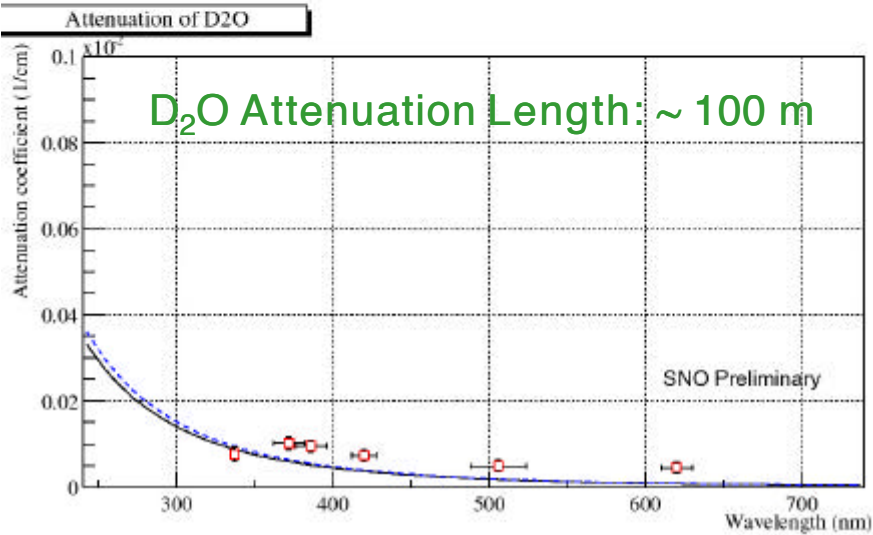
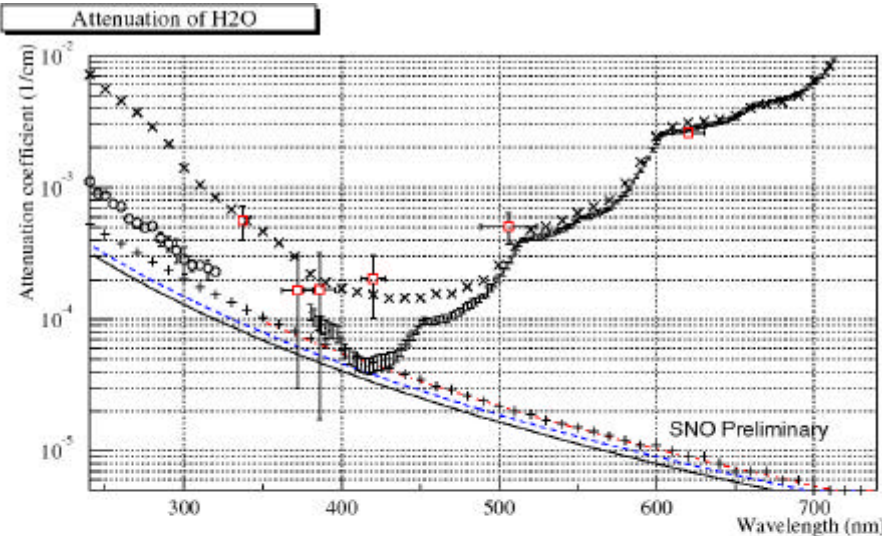
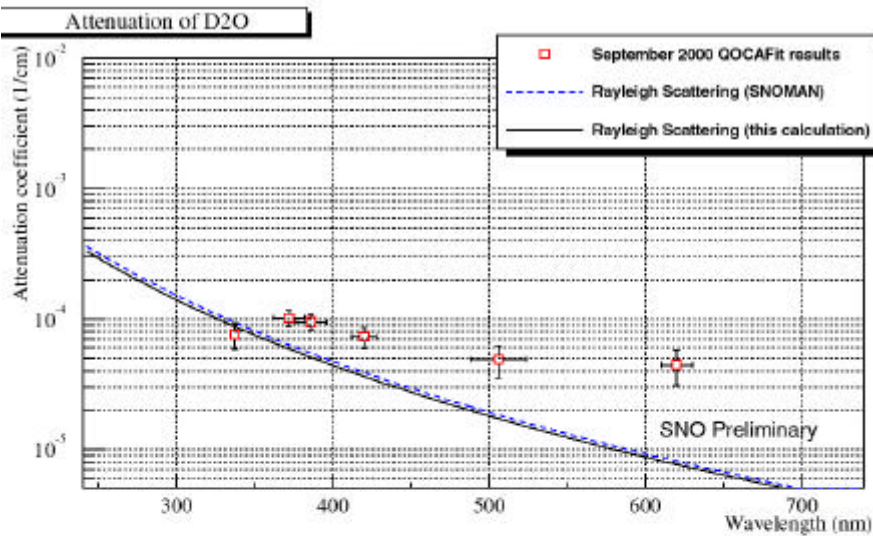
true angular resolution

+ multiple scattering of e⁻

→ small effect on flux determination



Optical Response: D₂O and H₂O Attenuation



SNO Energy Response - Absolute Energy Scale

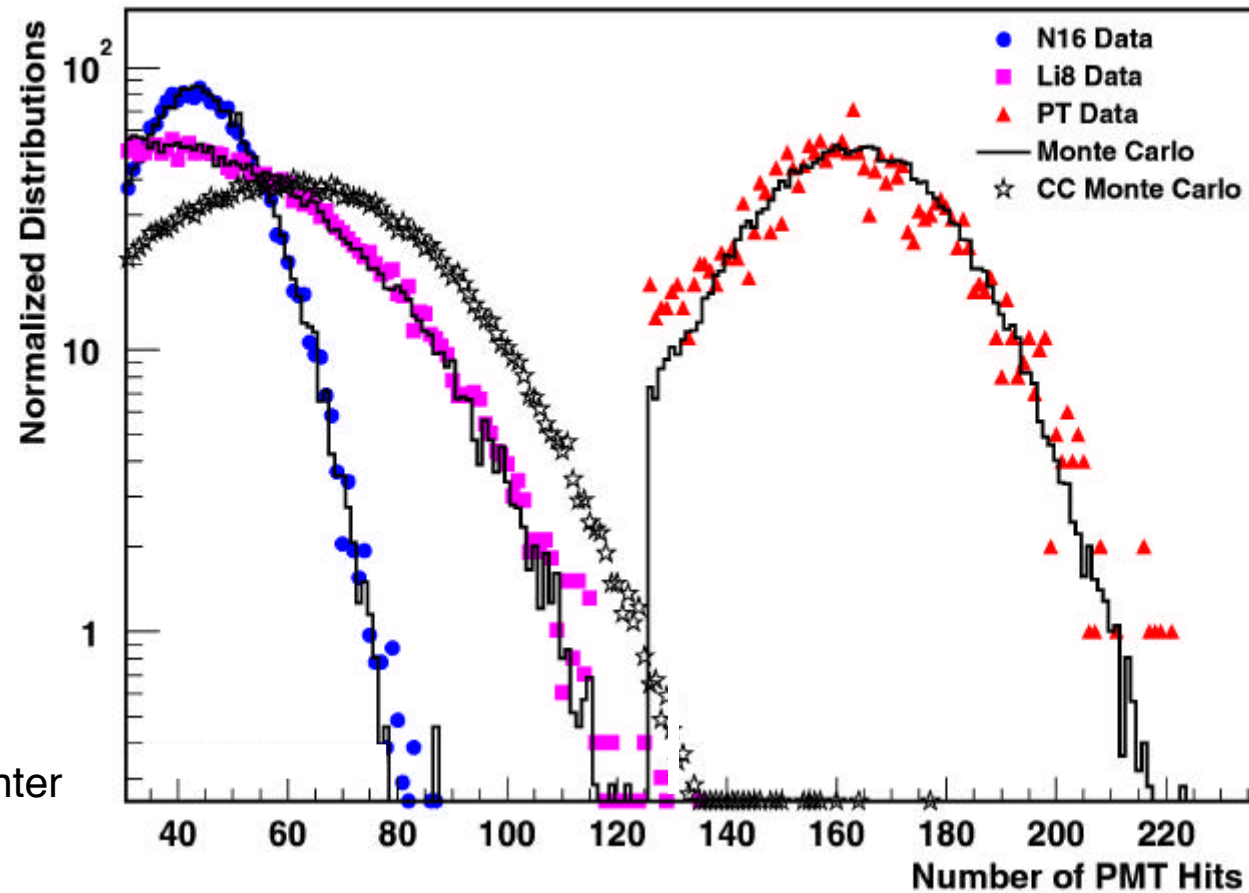
- established with triggered ^{16}N ($E_\gamma = 6.13 \text{ MeV}$)
- tested against ^8Li , ^{252}Cf , and (p,t) source

^8Li
13 MeV endpoint
(n, α) on ^{11}B

(p,t)
 $E_\gamma = 19.8 \text{ MeV}$
from $^3\text{H}(p, \gamma)^4\text{He}$

^{252}Cf
 $E_\gamma = 6.25 \text{ MeV}$
from n capture

Sources at Center

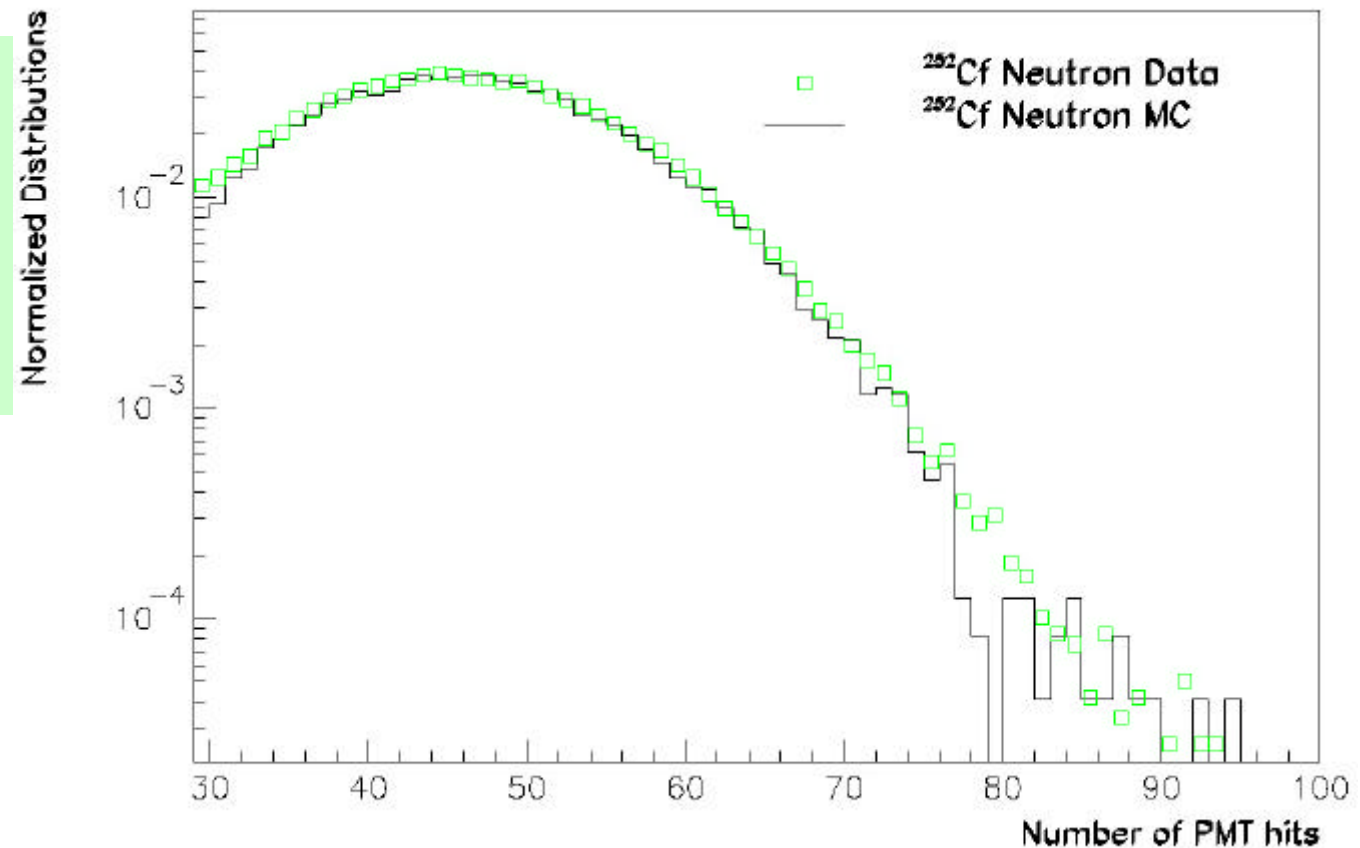


SNO Energy Response - Spatial Dependence

- various ^{16}N positions inside D_2O
- Monte-Carlo prediction tested against extended distribution of 6.25-MeV γ from ^{252}Cf neutrons

→ more difficult to analyze passive neutron source

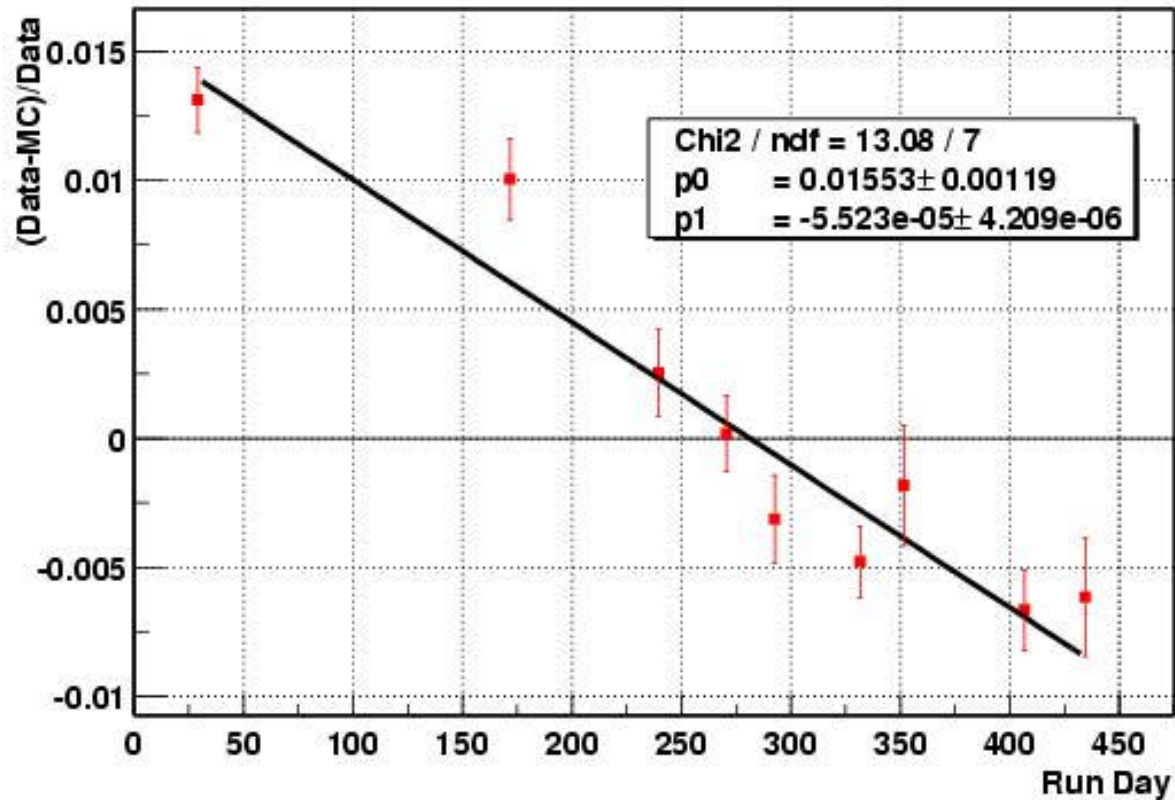
→ tagged neutron source under development



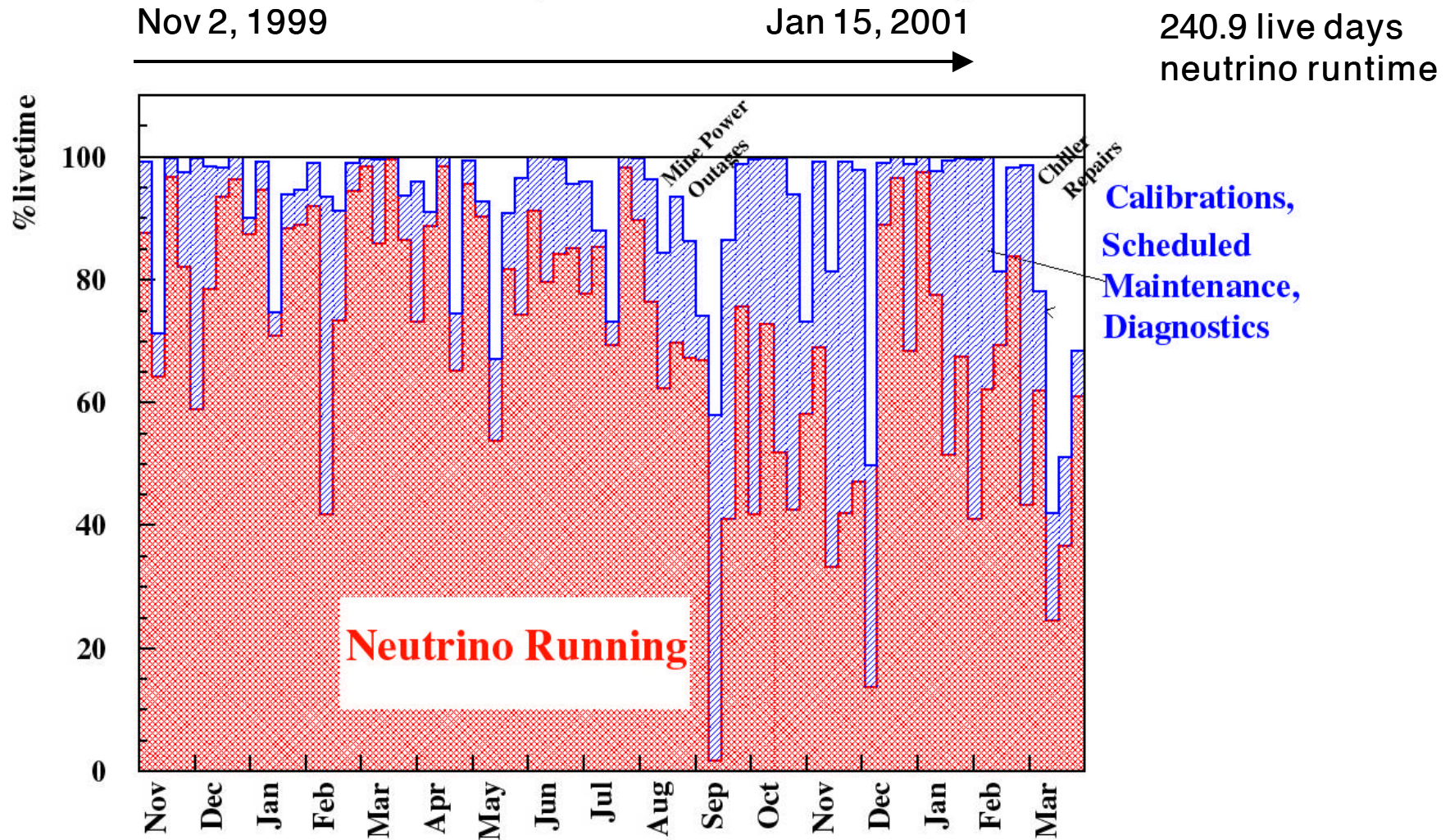
Temporal Dependence of Energy Scale

- use center ^{16}N high voltage runs and compare data and Monte-Carlo
 - actual detector configurations are simulated: noise rate, working tubes
- energy drift: $2.2 \pm 0.2\%$ year

→ cause of drift is under investigation



Data Taking and Live Time



Detector Performance

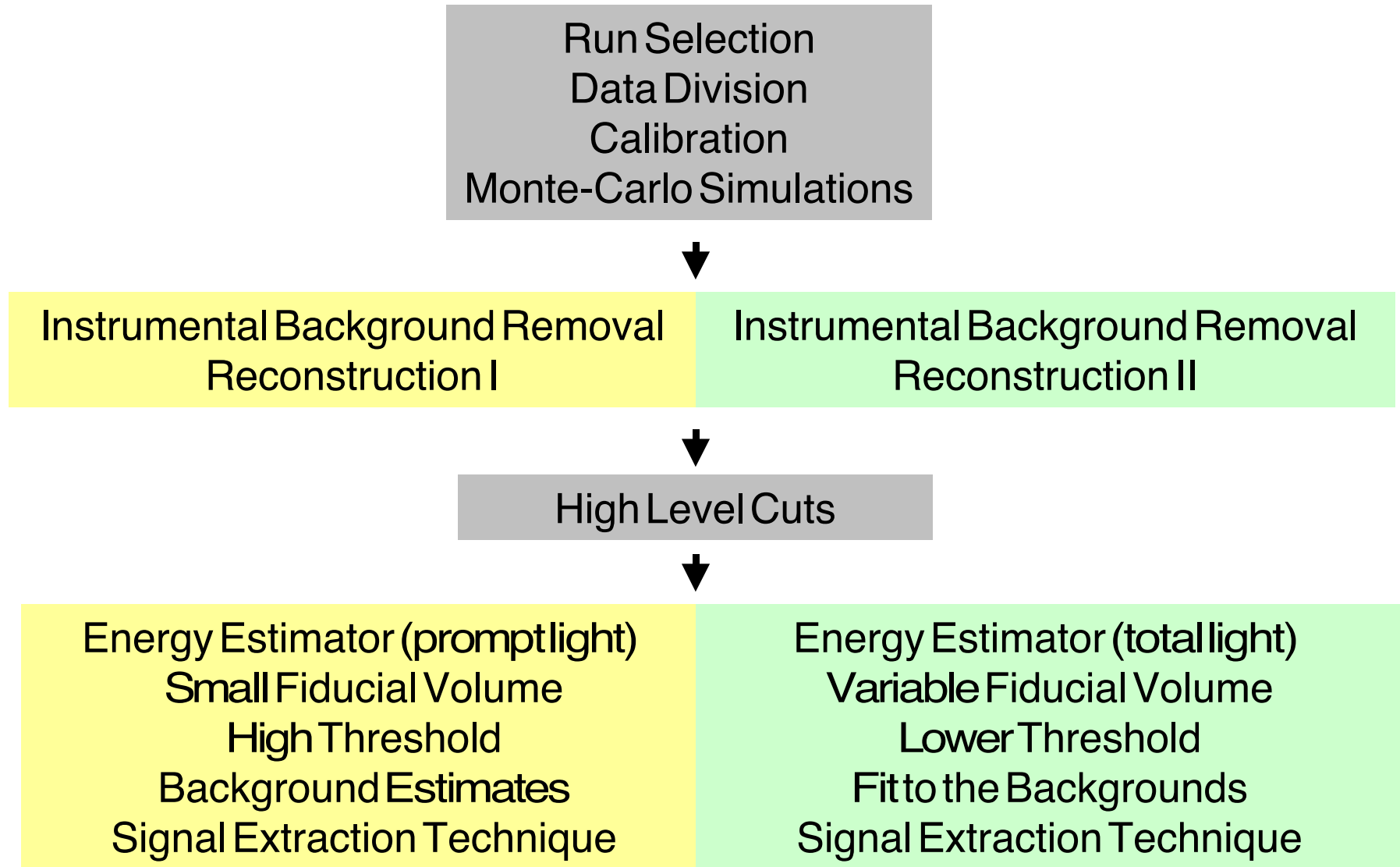
Trigger Rates and Thresholds in 2001

Trigger Type	Hardware Threshold	Rate (Hz)
Pulsed Trigger	Zero Bias	5
100 ns Coincidence	16 PMTs	8
20 ns Coincidence	16 PMTS	0.02
Energy sum	~150 p.e.	4
Prescaled (1:1000)	11 PMTs	0.1

Channel threshold: ~0.25 photo-electrons
Multiplicity trigger: 18 Nhit within 93 ns
Trigger efficiency: 100% efficiency by 25 Nhit (~3 MeV)

Instantaneous Trigger Rate ~ 15-18 Hz
Data Trigger Rate ~ 6-8 Hz
Hardware Threshold ~ 2 MeV

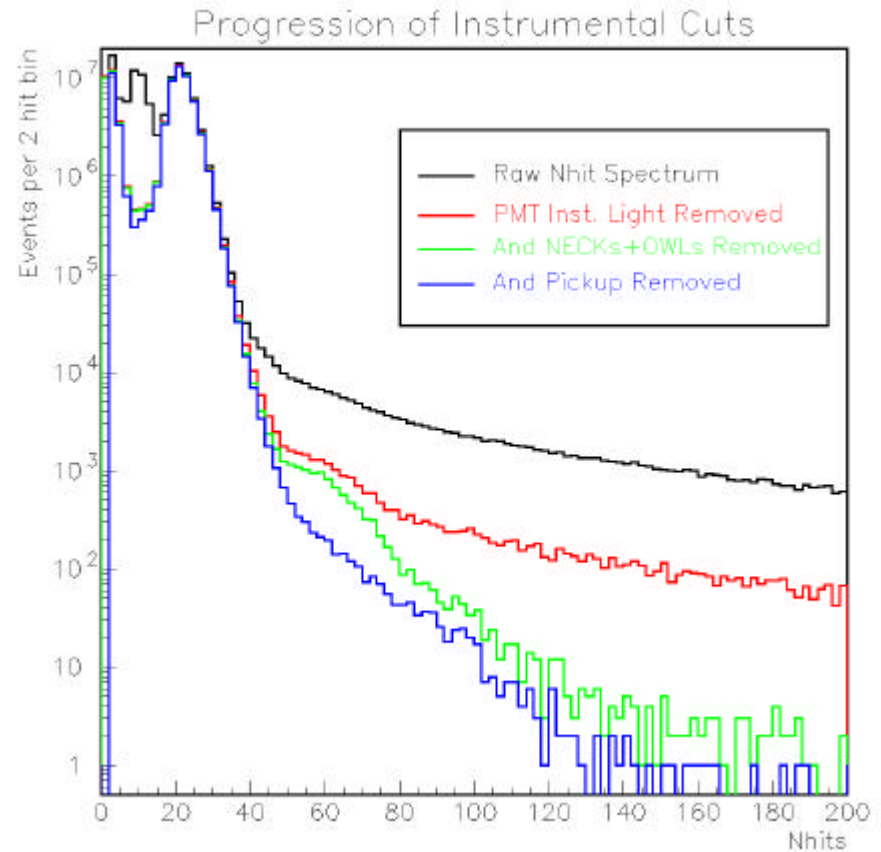
Solar Neutrino Data Analysis



Data Flow & Instrumental Background Cuts

Data Flow

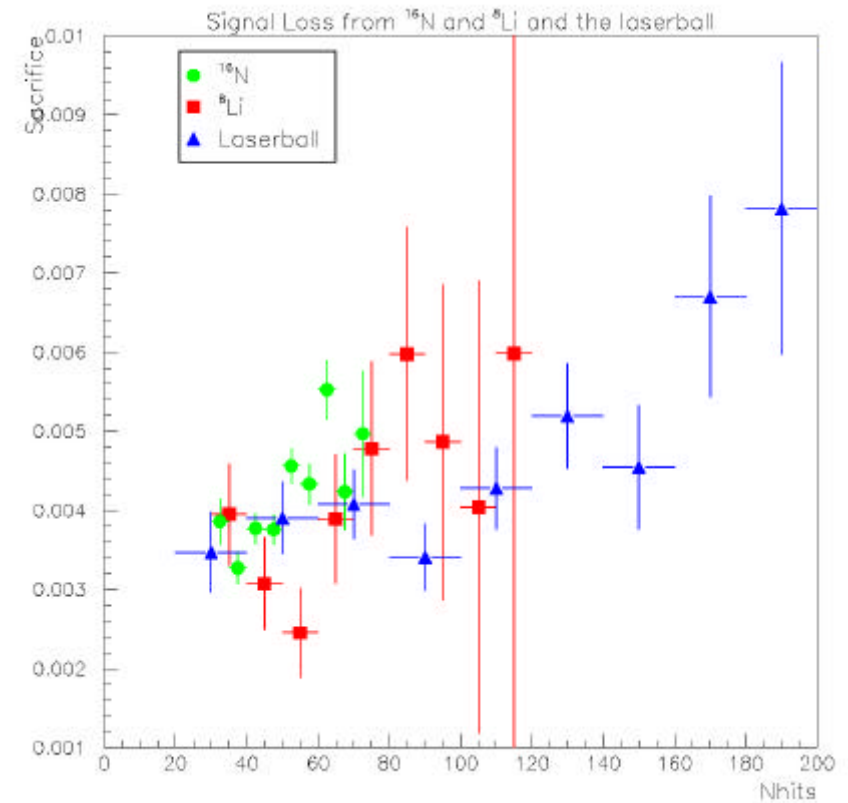
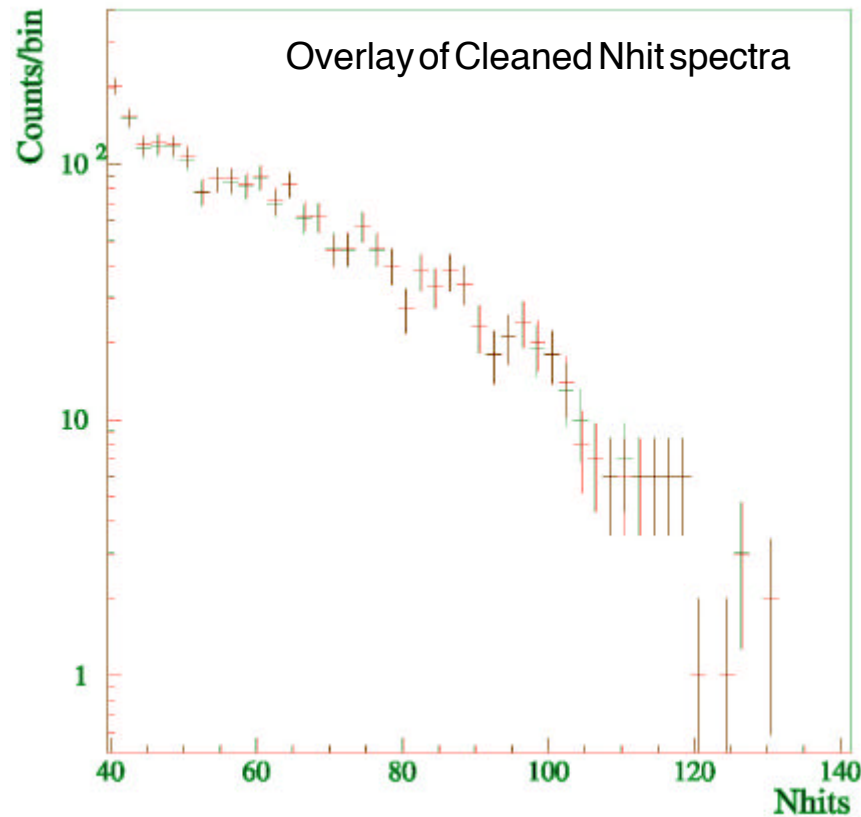
Analysis Step	Events
Total Event Triggers	355,320,964
Neutrino Data Triggers	143,756,178
Nhit \geq 30	6,372,899
Instrumental Background	1,842,491
Muon Followers	1,809,979
High Level Cuts	956,535
Fiducial Volume Cut	18,783
Threshold Cut, $T_{\text{eff}} \geq 6.75$ MeV	1169
Total Events In Final Data Set	1169



High Level Cuts: Reconstruction figures of merit
In-time light
Event isotropy (θ_{ij})

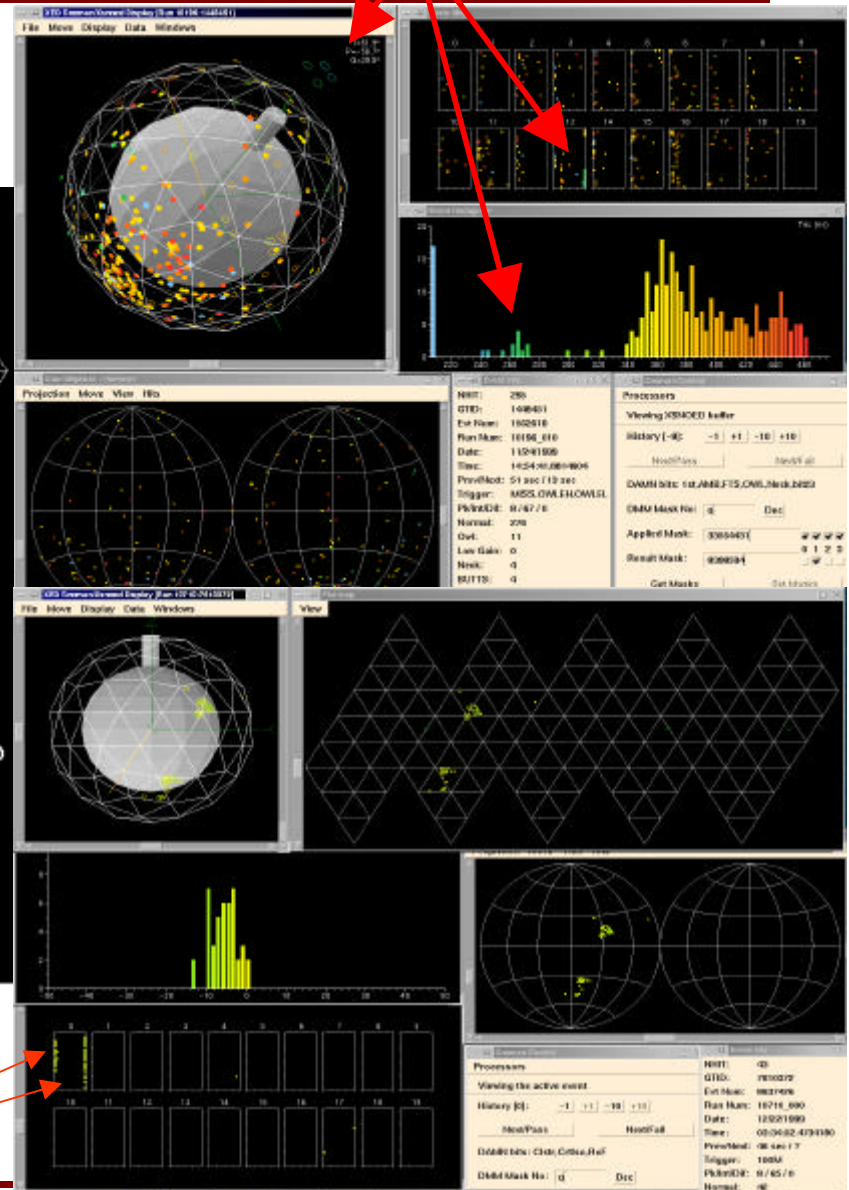
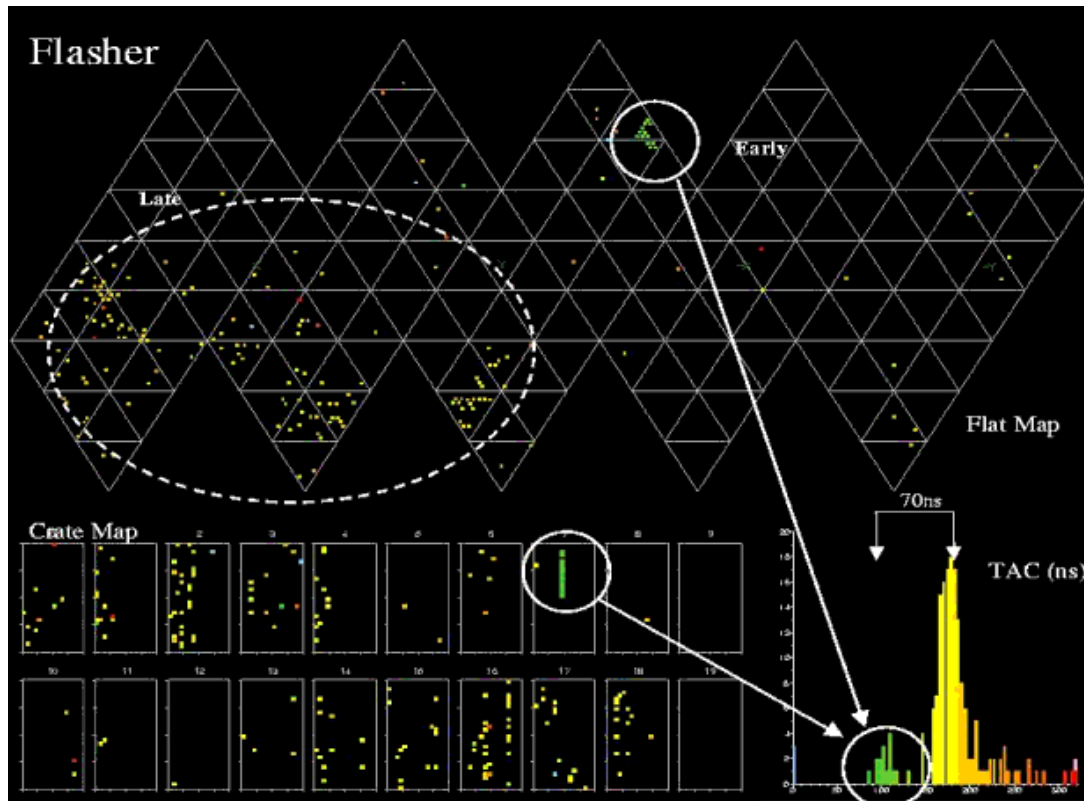
Removal of Instrumental Background

Instrumental removal: Two independent methods
Signal loss: $0.4 \pm 0.3\%$ within R_{fit} 550 cm from ^{16}N , ^8Li , and the laser ball
Contamination: limits from bifurcated analyses and hand-scanning



Instrumental Backgrounds

Neck Tubes Fired



Electronic Pickup

High Level Data Cuts

- **Reconstruction Figures of Merit**
- **In-Time Light Fraction**
→ uses detailed PMT time distributions
- **Event Isotropy**
→ Average angle between hit PMTs

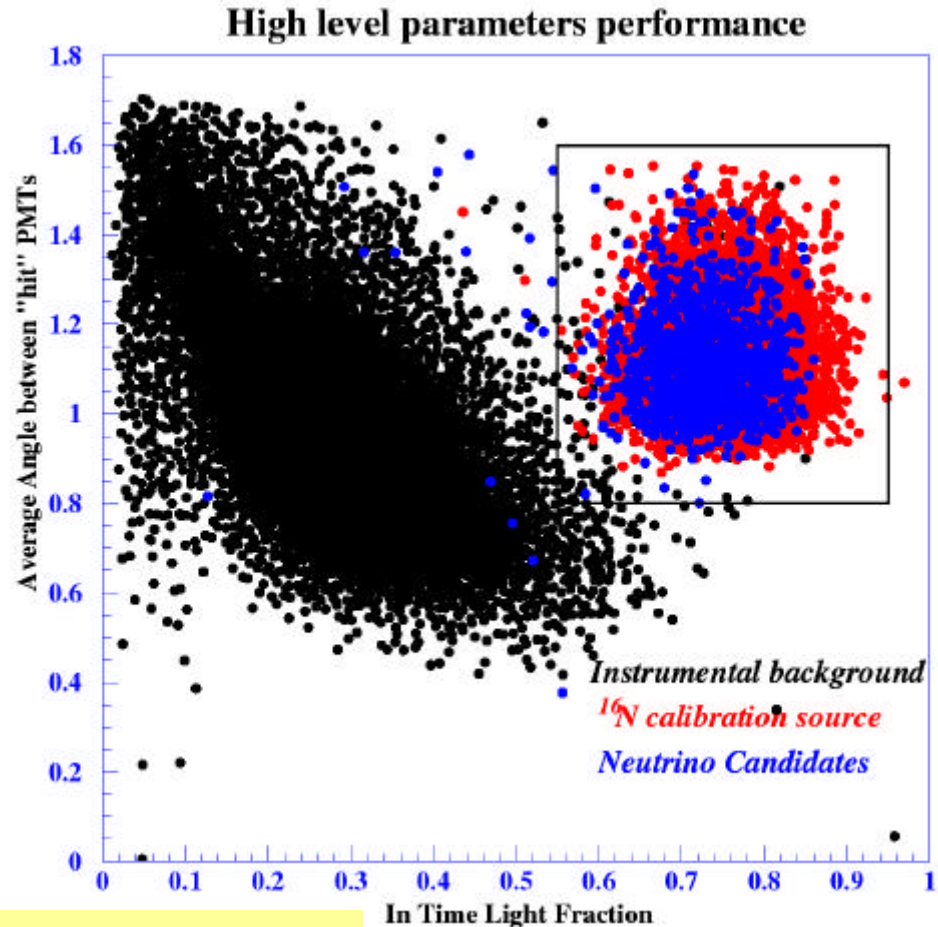
Event Isotropy

- Tests hypothesis of single-particle origin for each event
- Discriminates between simple Cerenkov electron and multiple vertices

From triggered ^{16}N , ^8Li , and bifurcated analyses

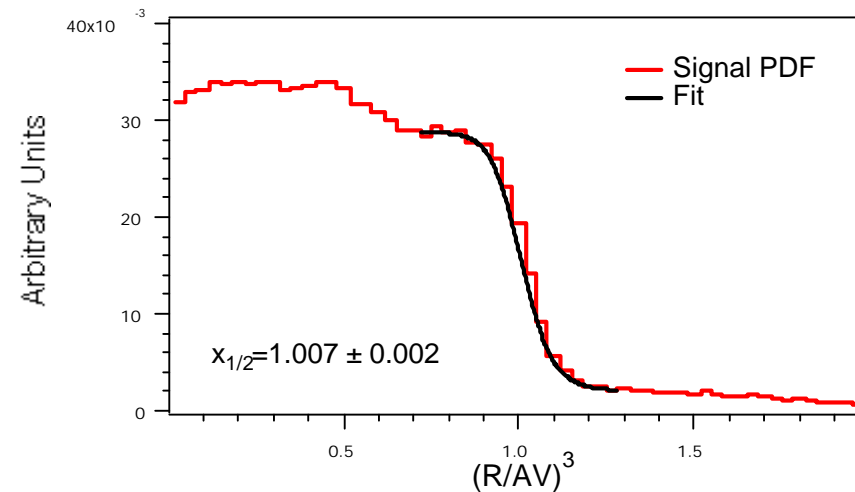
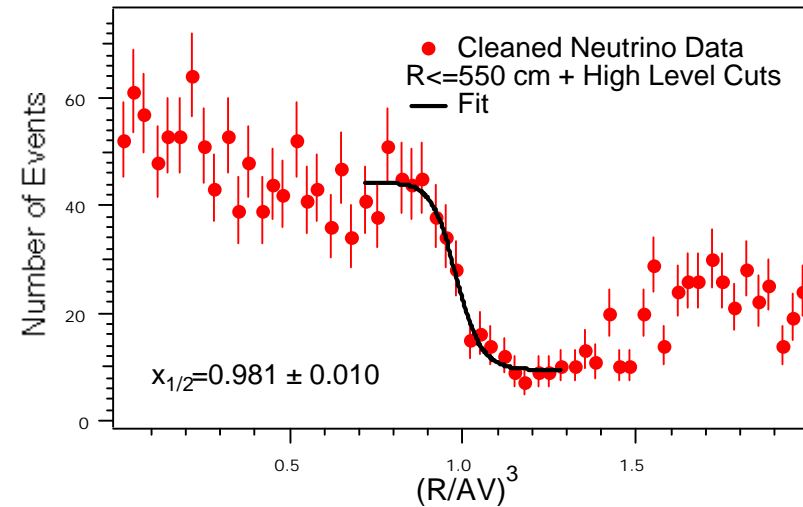
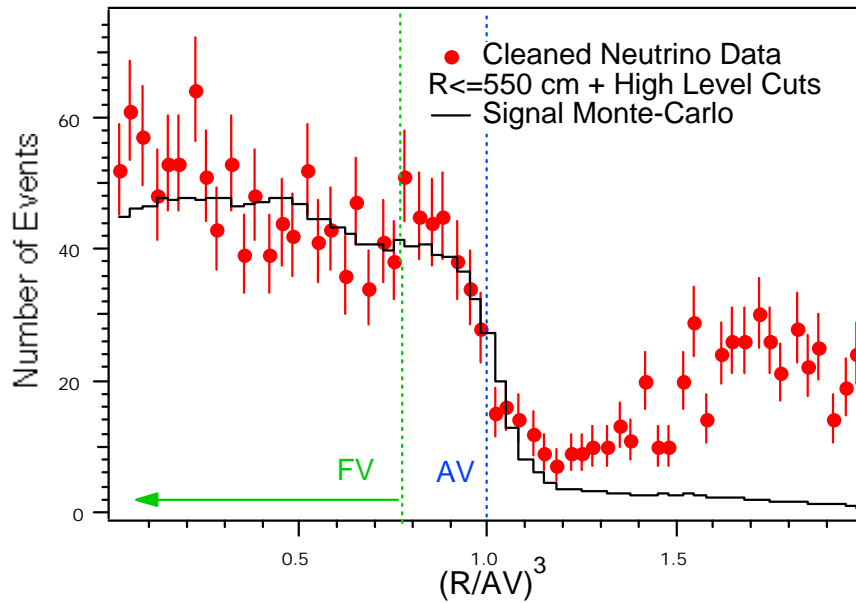
Volume-weighted signal loss: $1.4^{+0.7}_{-0.6}\%$

Residual instrumental contamination: $< 0.2\%$



Reconstructed Neutrino Candidate Events

Characteristic R^3 Distribution



Fiducial Volume $R = 550$ cm chosen to minimize backgrounds

Variable FV analysis fits backgrounds outside the AV

Fit to the AV Position

Reconstructed Neutrino Candidate Events

Characteristic Solar Angle Distribution

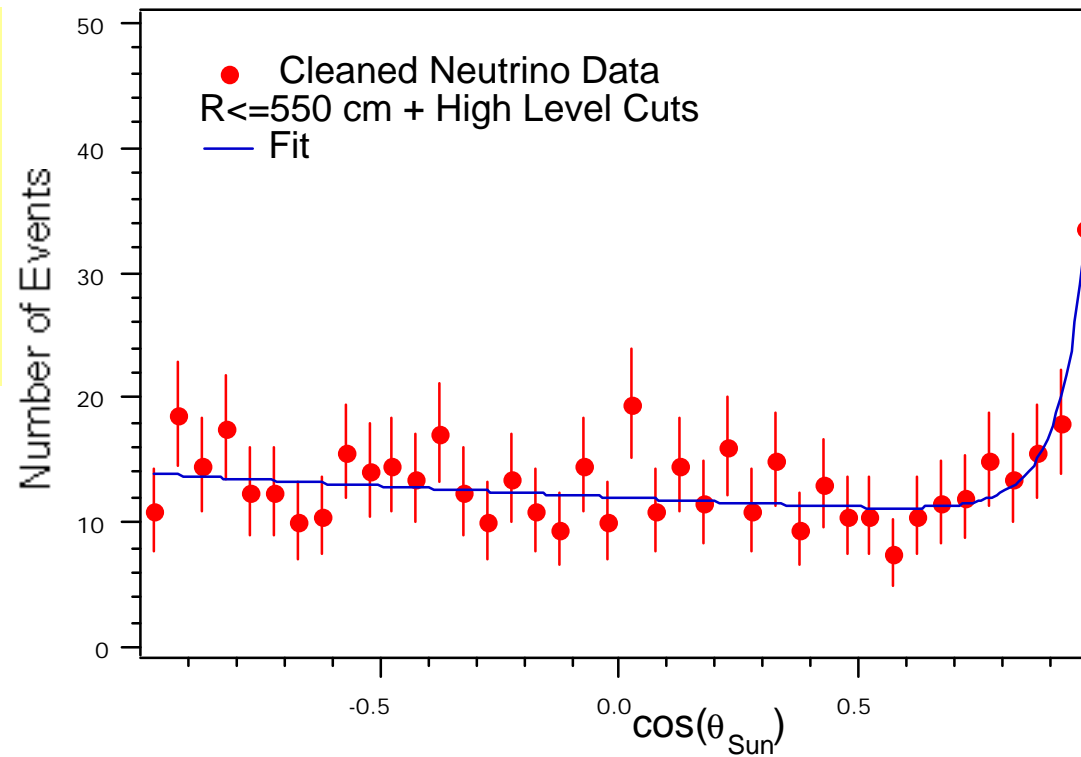
Neutrino Candidate Events

$R_{\text{fit}} \leq 550 \text{ cm}$

$N_{\text{hit}} = 65$

High Level Cuts

Fit based on Monte-Carlo distributions



Note: Can already extract with larger uncertainty CC and ES rate from fits to $\cos(\theta_{\text{Sun}})$ distribution alone

Reconstructed Neutrino Candidate Events

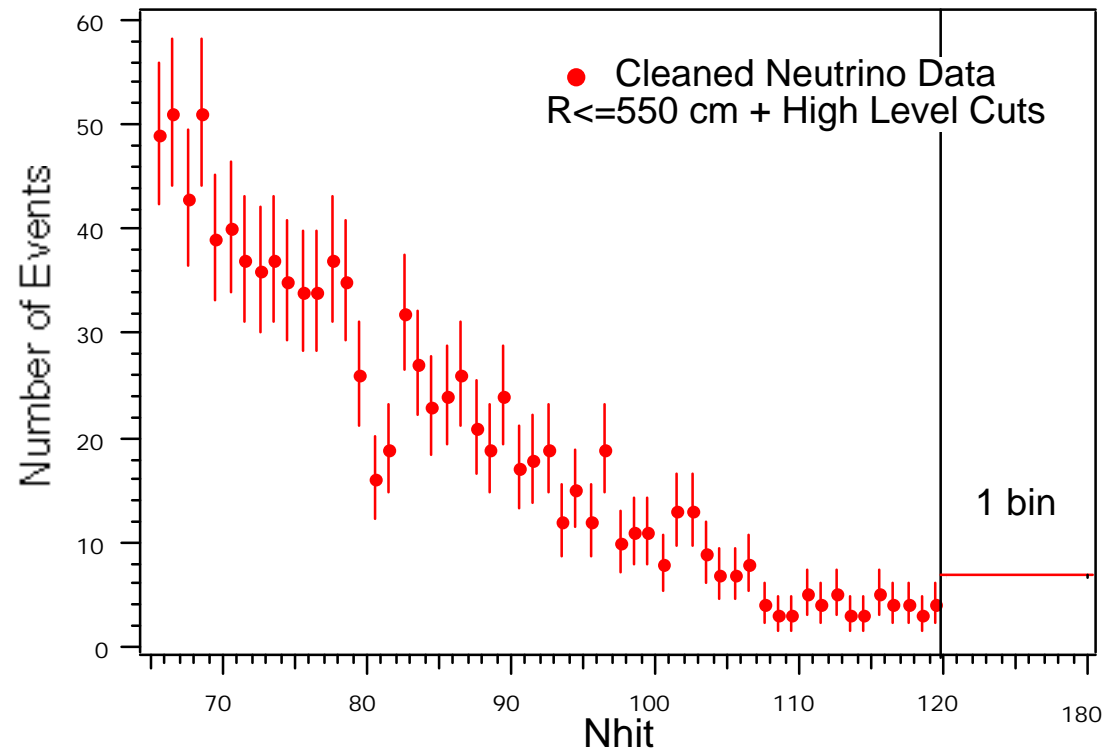
Characteristic Energy Spectrum

Event Energy Estimators

T_{eff} or N_{hit}

→ both are calibrated with ^{16}N

→ volume weighted mean response: $\sim 9 \text{ Nhit/MeV}$



Effective Kinetic Energy T_{eff}

- effective kinetic energy, T_{eff} , determined for each event in D_2O
- T_{eff} corrected for time variable phenomena + position + direction

Principal Physics Backgrounds

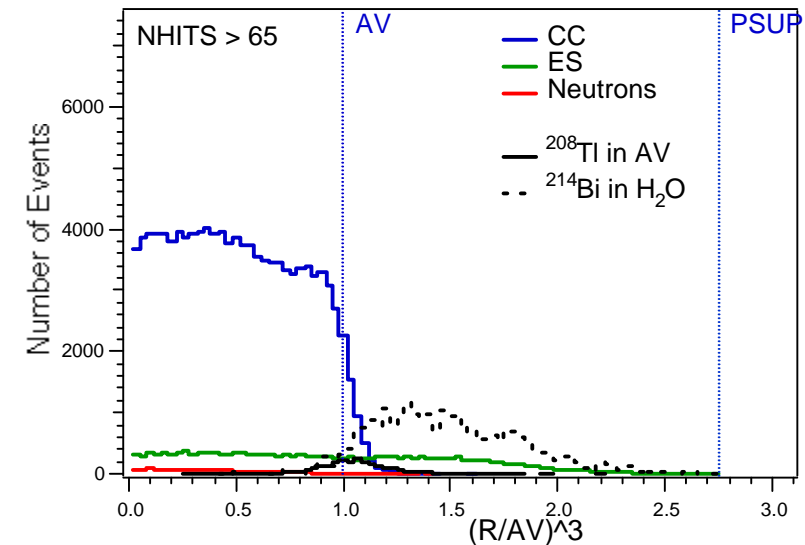
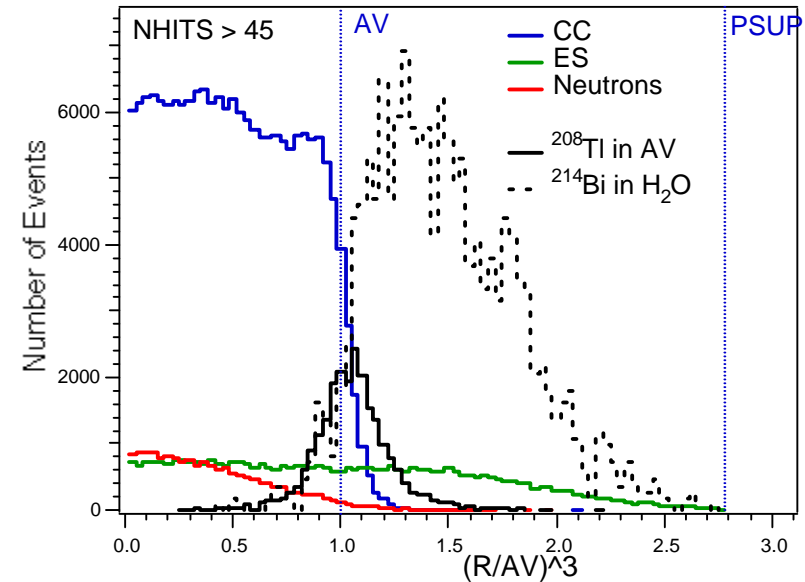
Internal D₂O Background ²³⁸U, ²³²Th

Acrylic Vessel ²³⁸U, ²³²Th

H₂O ²³⁸U, ²³²Th

PMT β-γ

High Energy γ



Analysis of Backgrounds

I. Estimate limits in backgroundfree region $R_{\text{fit}} = 550 \text{ cm}$

II. Fit to backgrounds in variable fiducial volumes

D₂O Backgrounds

Target Level

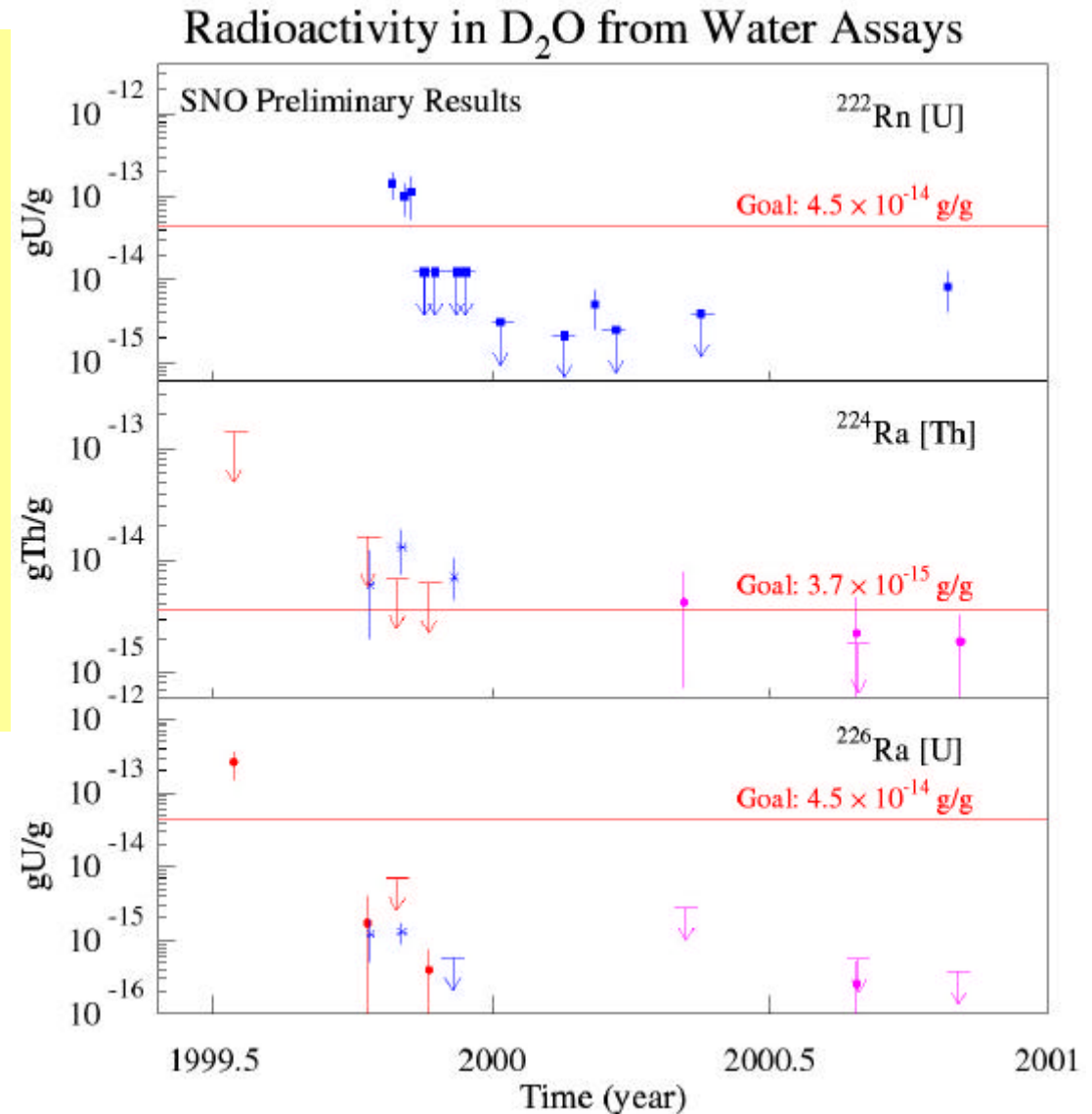
- Equivalent of 7% SSM neutrons

Measurement Techniques

- Radiochemical assays
- In-situ Cerenkov measures

Status

⇒ at or below target level



H₂O Backgrounds

Target

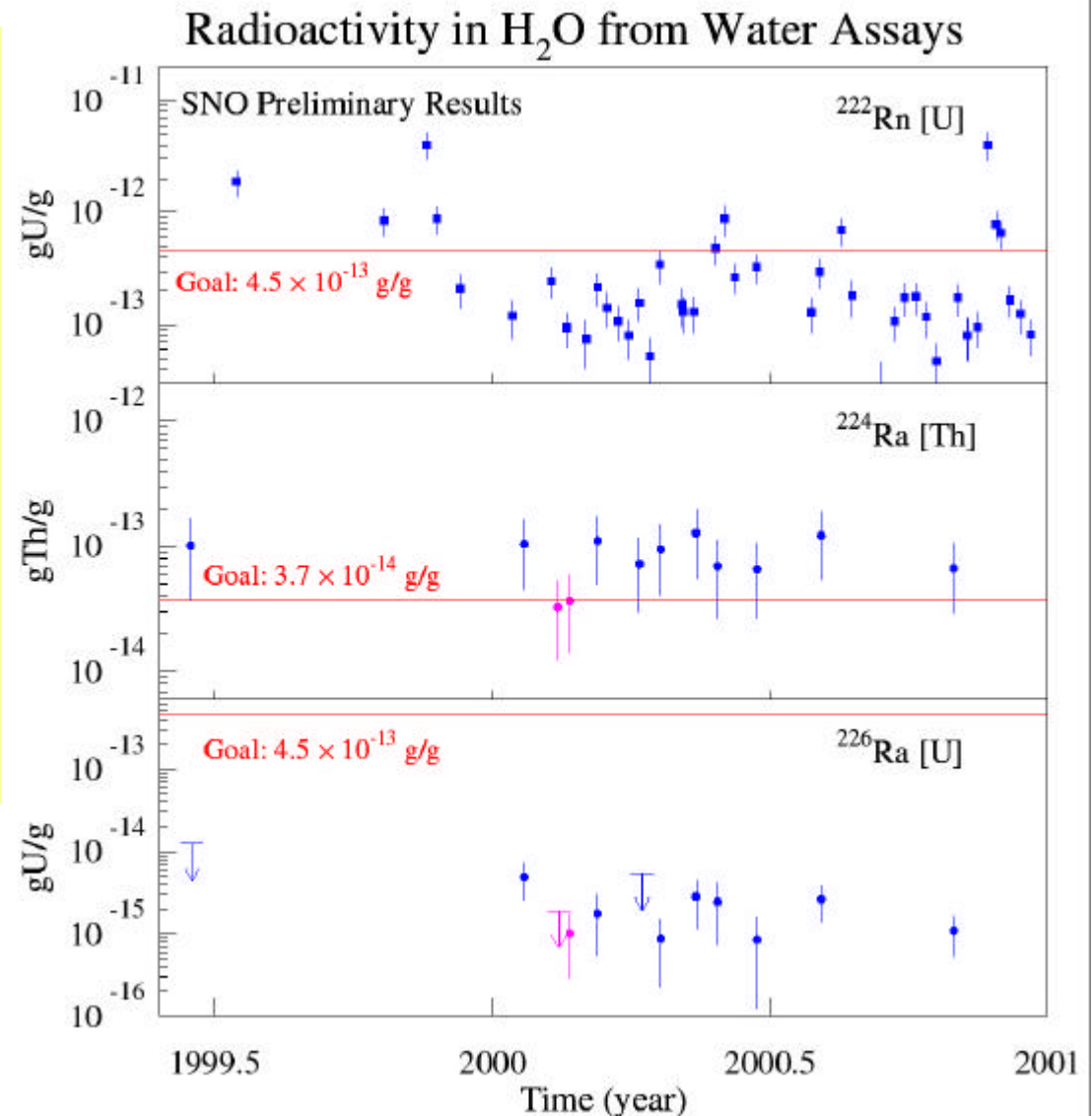
- Equivalent of 7% SSM neutrons

Measurement Technique

- Radiochemical assay
- Encapsulated sources
- High radon runs

Status

⇒ near or below target levels



Acrylic Vessel Backgrounds

Calibration Techniques

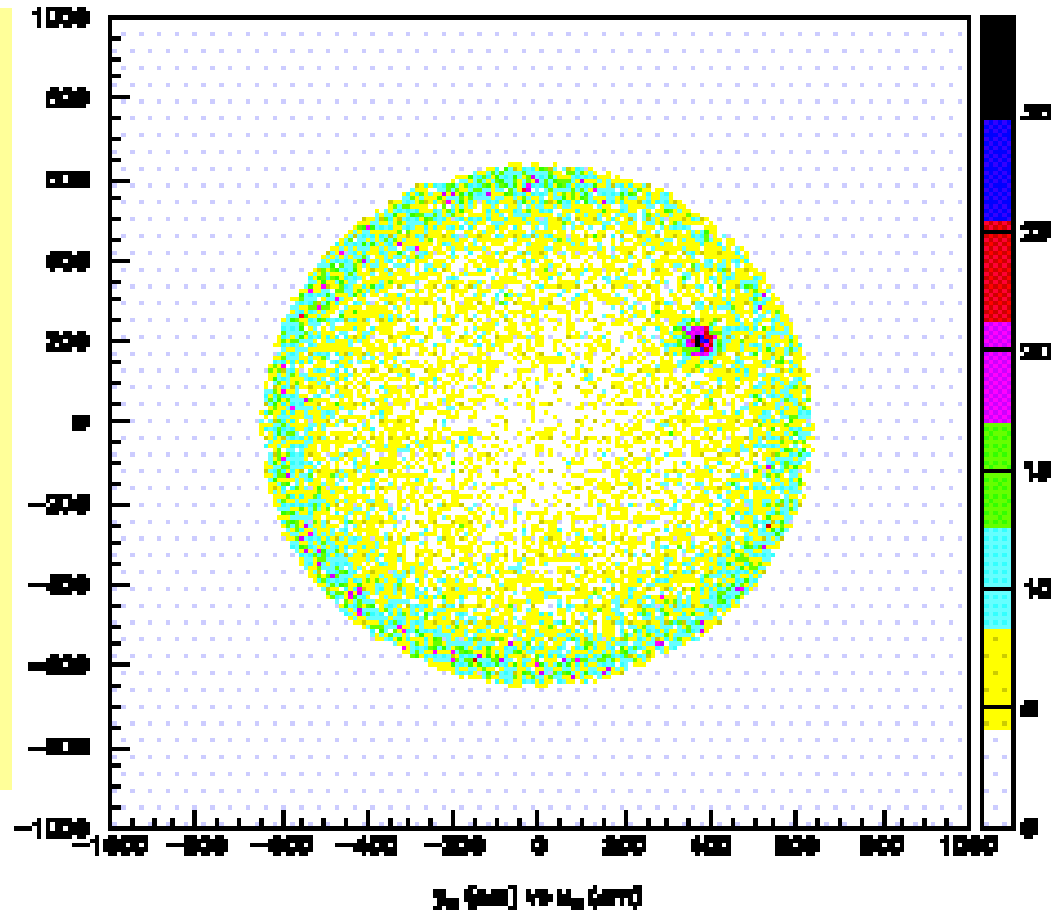
- direct counting and NAA
- encapsulated U, Th sources
- direct observation:
Cerenkov light

AV Activity

- Activities assayed to be < 10% of target level ~0.2 ppt U/Th

AV Construction

- Every piece sampled and tested • Sample bonds tested



⇒ AV Blob: $\sim 9 + 20/-5 \pm 3 \mu\text{g}$ 'Th'

PMT β - γ

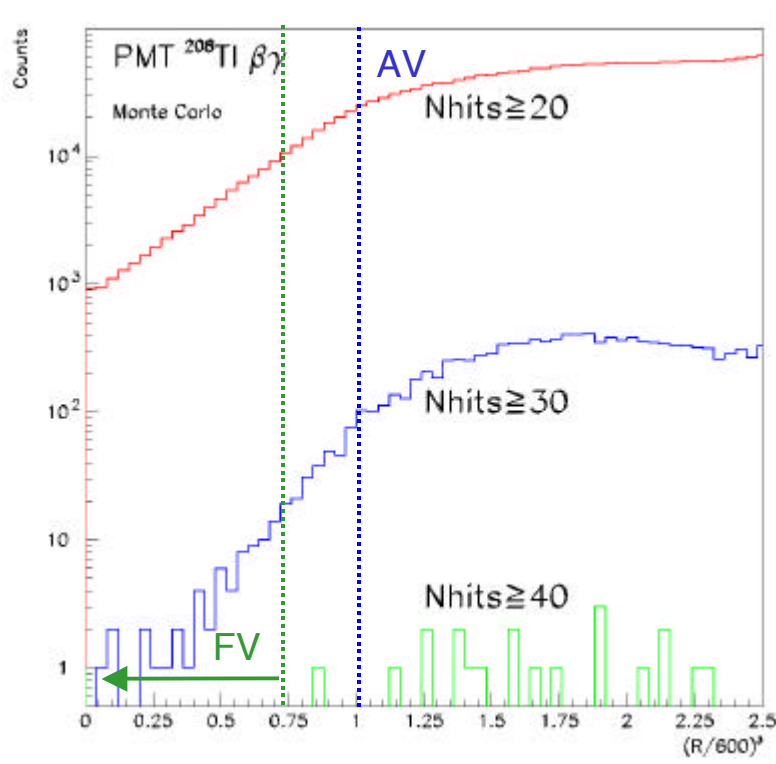
Characteristics

- Strong Nhit dependence but small tails into Cerenkov signals

Determination

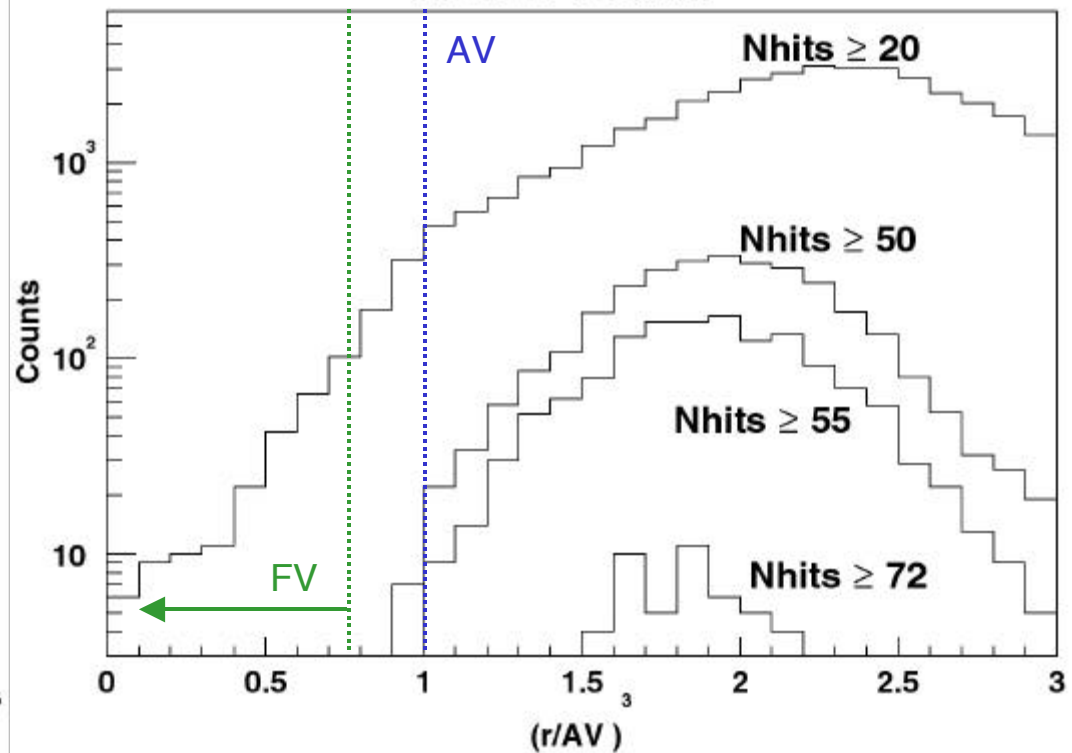
- Direct counting of materials, Monte-Carlo simulations
- Hot encapsulated U and Th Sources (bkgd < 0.1% within D₂O)
- ¹⁶N γ 's from calibration source

Monte-Carlo



¹⁶N Data

¹⁶N at R=790 cm



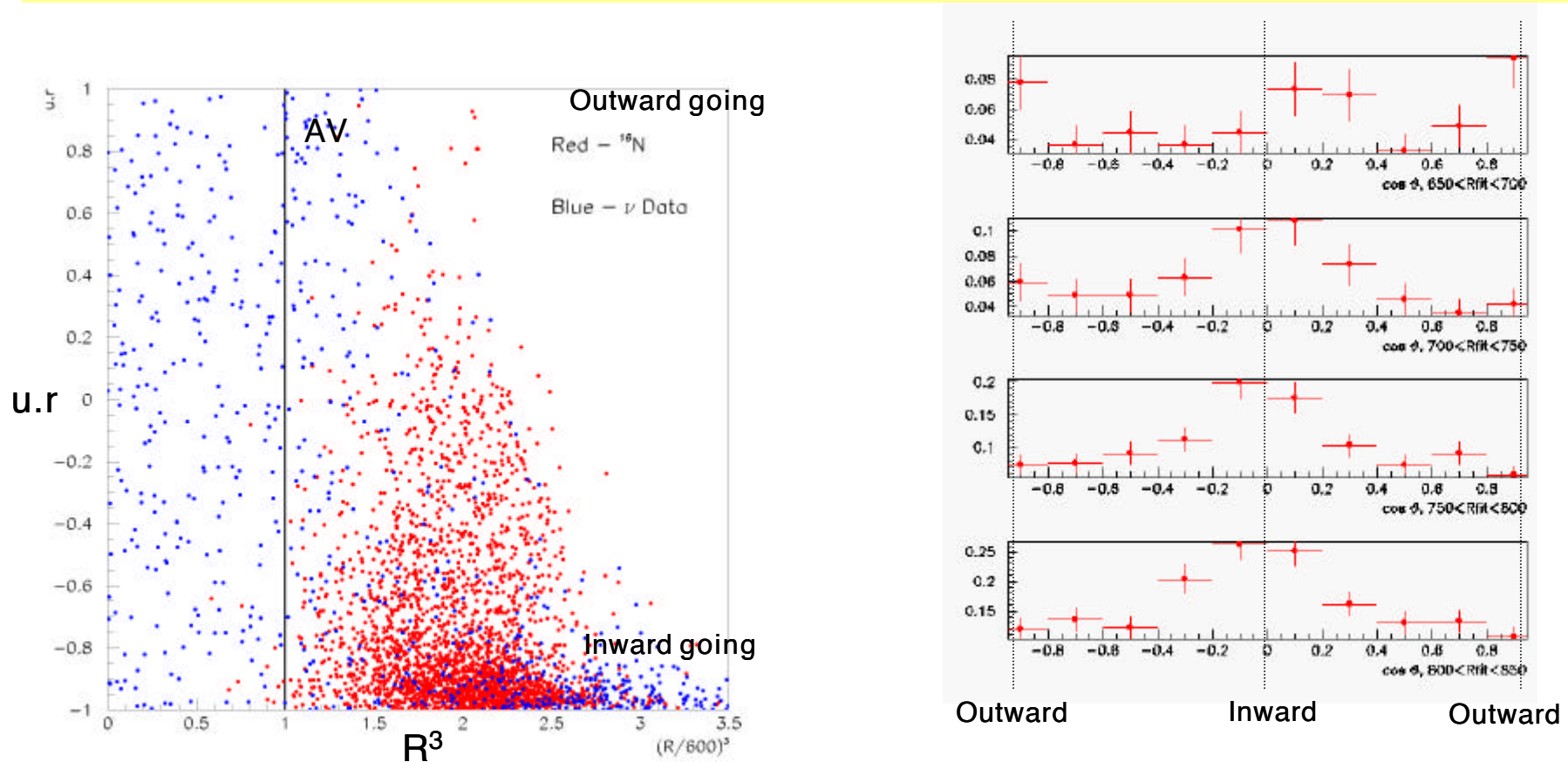
External γ -Ray Background

Origin

- from PMT's, rock wall

Determination

- empirical estimates from cavity measures
- direct observation
- ^{16}N calibration γ 's (bkgd < 0.8% in data set)



Experimental Systematic Errors on Fluxes

Error Source	CC Error (%)	ES Error (%)
Energy Scale	+6.1/-5.2	+5.4/-3.5
Energy Resolution	± 0.5	± 0.3
Energy Scale Non-Linearity	± 0.5	± 0.4
Vertex Shift	± 3.1	± 3.3
Vertex Resolution	± 0.7	± 0.4
Angular Resolution	± 0.5	± 2.2
Live Time	± 0.1	± 0.1
Trigger Efficiency	0.0	0.0
Cut Acceptance	+0.7/-0.6	+0.7/-0.6
Residual Backgrounds ($R_{\text{fit}} 550 \text{ cm}$)		
Instrumental Background	± 0.1	-0.6/+0.0
High Energy γ 's	-0.8/+0.0	-1.9/+0.0
Low Energy Background	-0.2/+0.0	-0.2/+0.0
Experimental Uncertainty	+7.0/-6.2	+6.8/-5.7
Cross Section	3.0	0.5

Signal Extraction

Maximum-Likelihood Fit to Characteristic Distributions

R^3

$\cos(\theta_{\text{Sun}})$

Neutron Energy Response

Energy Estimators

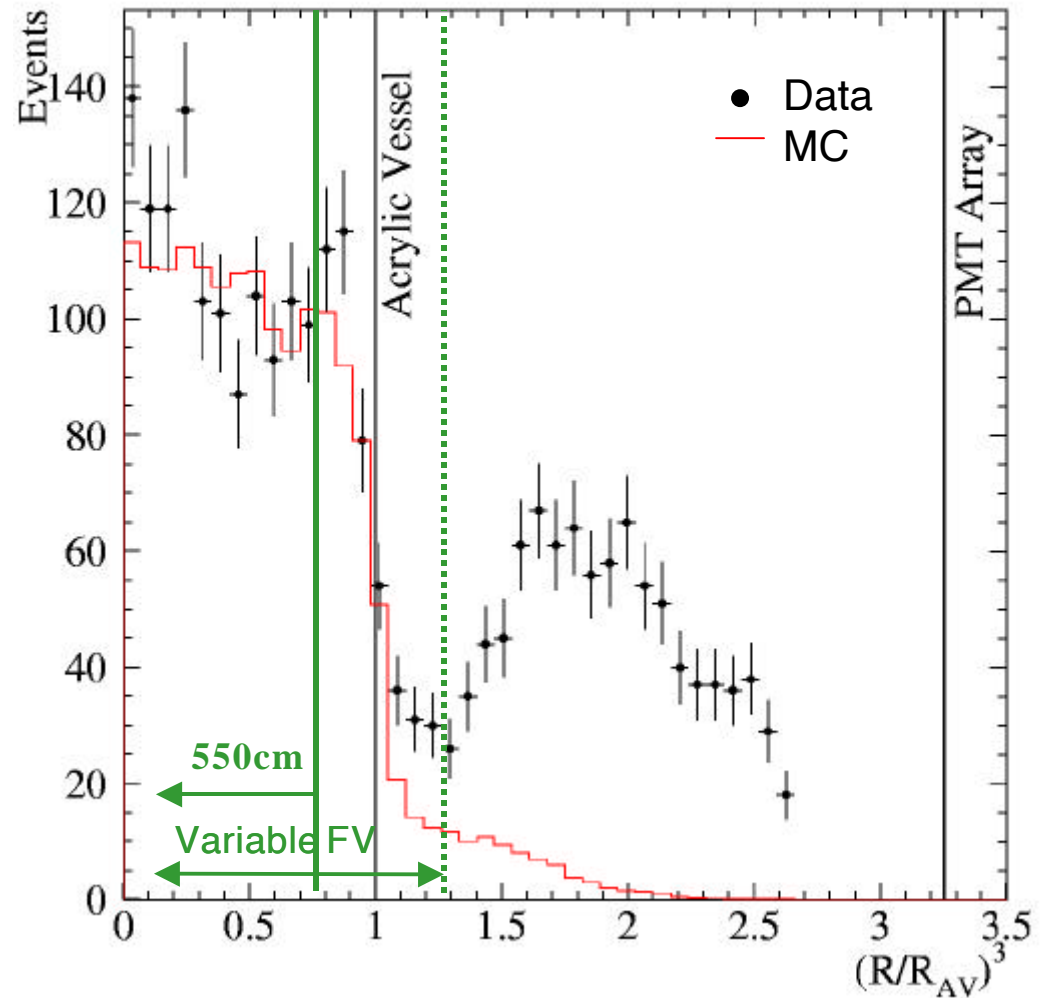
T_{eff}

or N_{hit}

Fiducial Volume

R_{fit} 550 cm

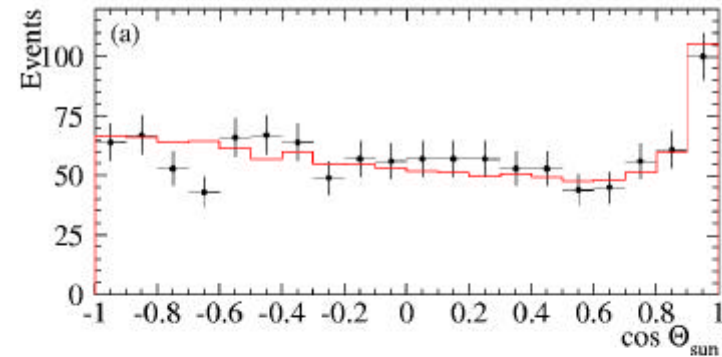
or variable FV $R = 650$ cm



First Solar Neutrino Results From SNO

Solar Angle Distribution

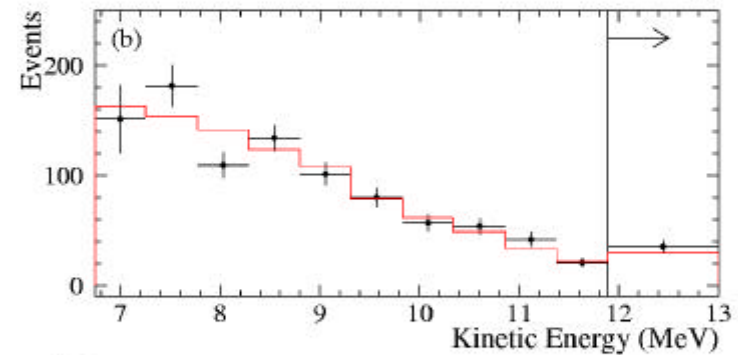
$T_{\text{eff}} = 6.75 \text{ MeV}$ and $R_{\text{fit}} = 550 \text{ cm}$



Energy Spectrum

$T_{\text{eff}} = 6.75 \text{ MeV}$ and $R_{\text{fit}} = 550 \text{ cm}$

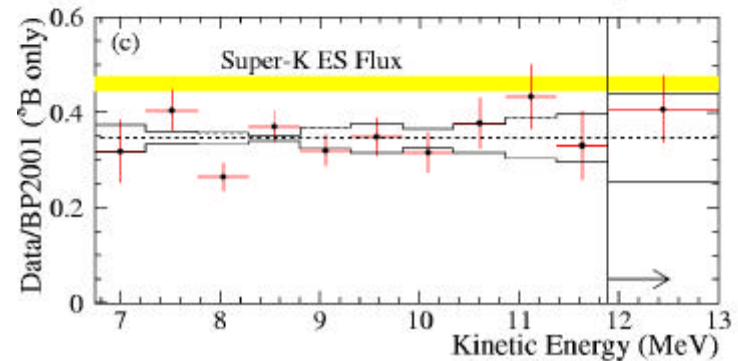
derived from fit without constraint on ${}^8\text{B}$ shape



CC Spectrum Normalized to Predicted ${}^8\text{B}$ Spectrum

$T_{\text{eff}} = 6.75 \text{ MeV}$ and $R_{\text{fit}} = 550 \text{ cm}$

With correlated systematic errors



Neutrino Fluxes

241- Day Data from SNO

$$\begin{aligned}\Phi_{\text{SNO}}^{\text{CC}}(^8\text{B}) &= 1.75 \pm 0.07 \text{ (stat.)} + 0.12/-0.11 \text{ (sys.)} && \times 10^6 \text{ cm}^{-2} \text{ s}^{-1} \\ \Phi_{\text{SNO}}^{\text{ES}}(^8\text{B}) &= 2.39 \pm 0.34 \text{ (stat.)} + 0.16/-0.14 \text{ (sys.)} && \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}\end{aligned}$$

→ assuming ^8B spectral shape, $T_{\text{eff}} < 6.75 \text{ MeV}$

→ radiative corrections are not applied yet, will only decrease CC flux

CC Flux Relative to BP2001

$$R^{\text{CC}}(^8\text{B}) = 0.347 \pm 0.029$$

Total ^8B Flux from the Sun

$$\begin{aligned}\phi_{\text{SNO}}(^8\text{B}) &= 5.44 \pm 0.99 && \times 10^6 \text{ cm}^{-2} \text{ s}^{-1} \\ \phi_{\text{SSM}}(^8\text{B}) &= 5.01 + 1.01/-0.82 && \times 10^6 \text{ cm}^{-2} \text{ s}^{-1} \text{ (BP2001)}\end{aligned}$$

⇒ Total flux in good agreement, CC is only component of total ^8B flux

CC/ES Ratio

$$\frac{CC}{ES} = \frac{v_e}{v_e + 0.15(v_\mu + v_\tau)}$$

ES_{SNO} and ES_{SK}

$$\begin{aligned} \Phi_{SNO}^{ES}({}^8\text{B}) &= 2.39 \pm 0.34 \text{ (stat.)} + 0.16/-0.14 \text{ (sys.)} && \times 10^6 \text{ cm}^{-2} \text{ s}^{-1} \\ \Phi_{SK}^{ES}({}^8\text{B}) &= 2.32 \pm 0.03 \text{ (stat.)} + 0.08/-0.07 \text{ (sys.)} && \times 10^6 \text{ cm}^{-2} \text{ s}^{-1} \end{aligned}$$

→ good agreement

CC_{SNO}/ES_{SK}

$$\begin{aligned} \Phi_{SNO}^{CC}({}^8\text{B}) &= 1.75 \pm 0.07 \text{ (stat.)} + 0.12/-0.11 \text{ (sys.)} \pm 0.05 \text{ (theor.)} && \times 10^6 \text{ cm}^{-2} \text{ s}^{-1} \\ \Phi_{SK}^{ES}({}^8\text{B}) &= 2.32 \pm 0.03 \text{ (stat.)} + 0.08/-0.07 \text{ (sys.)} && \times 10^6 \text{ cm}^{-2} \text{ s}^{-1} \end{aligned}$$

$$\rightarrow \Phi_{SK}^{ES}({}^8\text{B}) - \Phi_{SNO}^{CC}({}^8\text{B}) = 0.57 \pm 0.17 \Rightarrow 3.3 \sigma$$

→ Probability of not being a downward fluctuation: 99.96%

*S. Fukuda, et al., hep-ex/0103032

Neutrino Flavor Composition of ^8B Flux

Flavor content analysis of ^8B solar neutrino flux from: $\phi_{\text{ES}}^{\text{SK}}, \phi_{\text{CC}}^{\text{SNO}}$

$$\phi_{\text{CC}} = \phi_e$$

$$\phi_{\text{ES}} = \phi_e + \varepsilon \phi_{\mu,\tau}$$

$$\phi_{\text{CC}}^{\text{SNO}} = 1.75 \pm 0.13$$

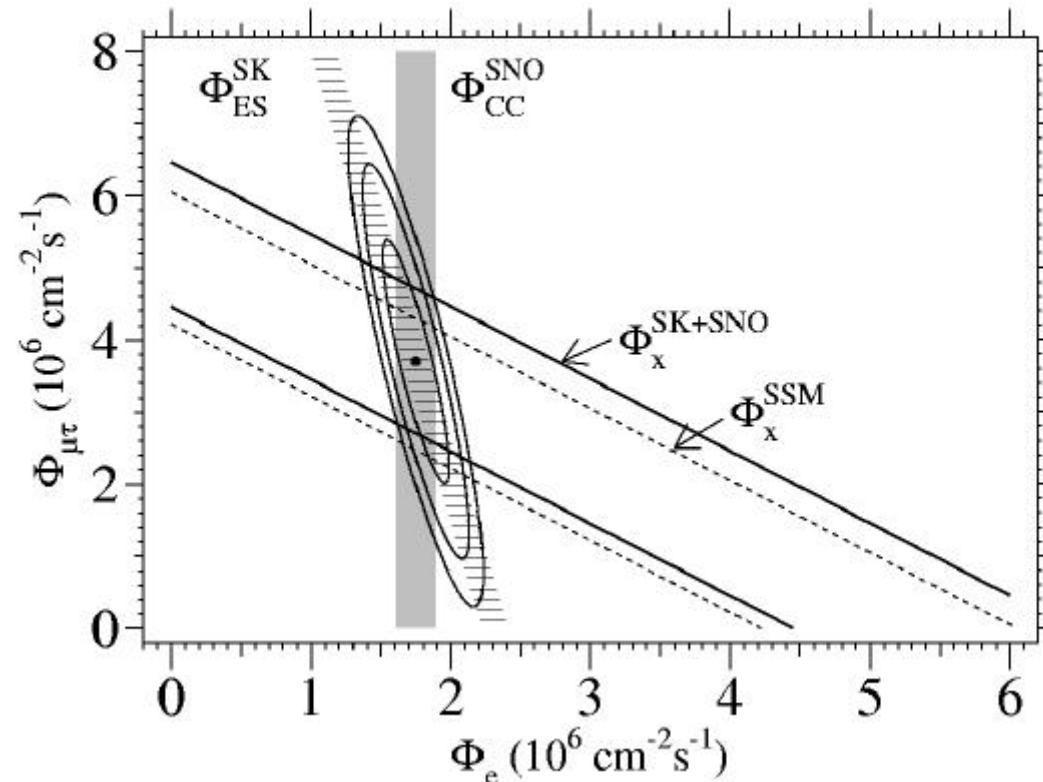
$$\phi_{\text{ES}}^{\text{SK}} = 2.32 \pm 0.09$$

Total active neutrino flux:

$$\begin{aligned} \phi_x &= \phi_e + \phi_{\mu,\tau} \\ &= \phi_{\text{CC}} + (\phi_{\text{ES}} - \phi_{\text{CC}}) / \varepsilon \end{aligned}$$

$$\phi_e = 1.75 \pm 0.13$$

$$\phi_{\mu,\tau} = 3.69 \pm 1.13$$



⇒ Evidence for oscillations: $\nu_e \rightarrow \nu_{\mu\tau}$

What About Sterile Neutrinos?

Comparing the Response of SNO and SK

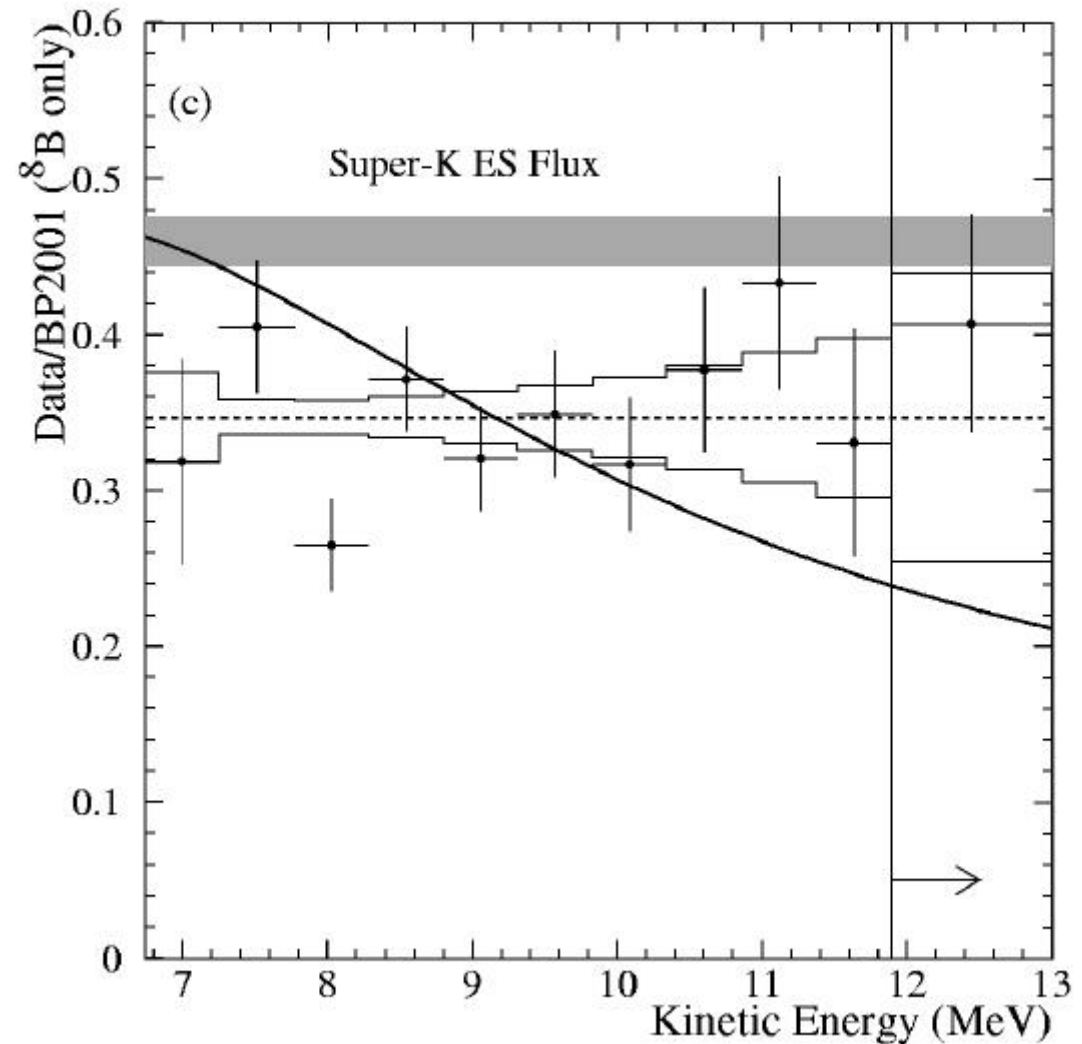
Ref: Fogli, Lisi, et al, hep-ph/0102288,
Villante et al., hep-ph/9807360

→ normalized rates over paired spectral regions are linearly related

SK Flux **SNO Flux**
> 8.5 MeV **> 6.75 MeV**

SK^{8B}(ES, >8.5) - SNO^{8B}(CC, >6.75)
= 0.54 ± 0.17

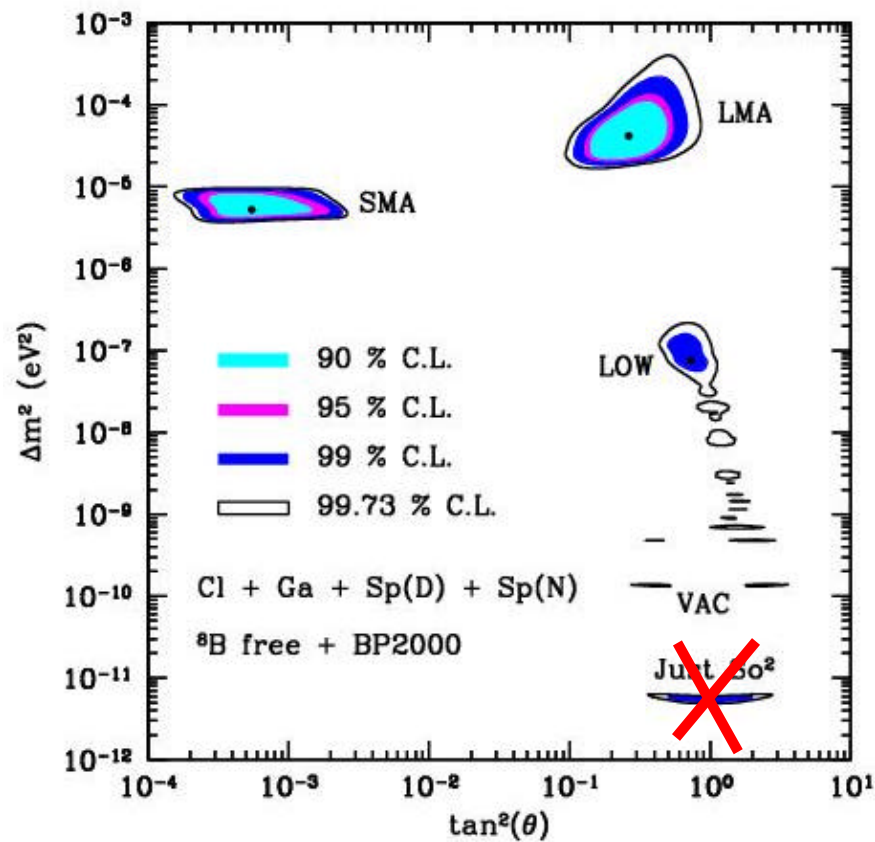
⇒ **oscillations to sterile ν**
excluded at 3.1 σ



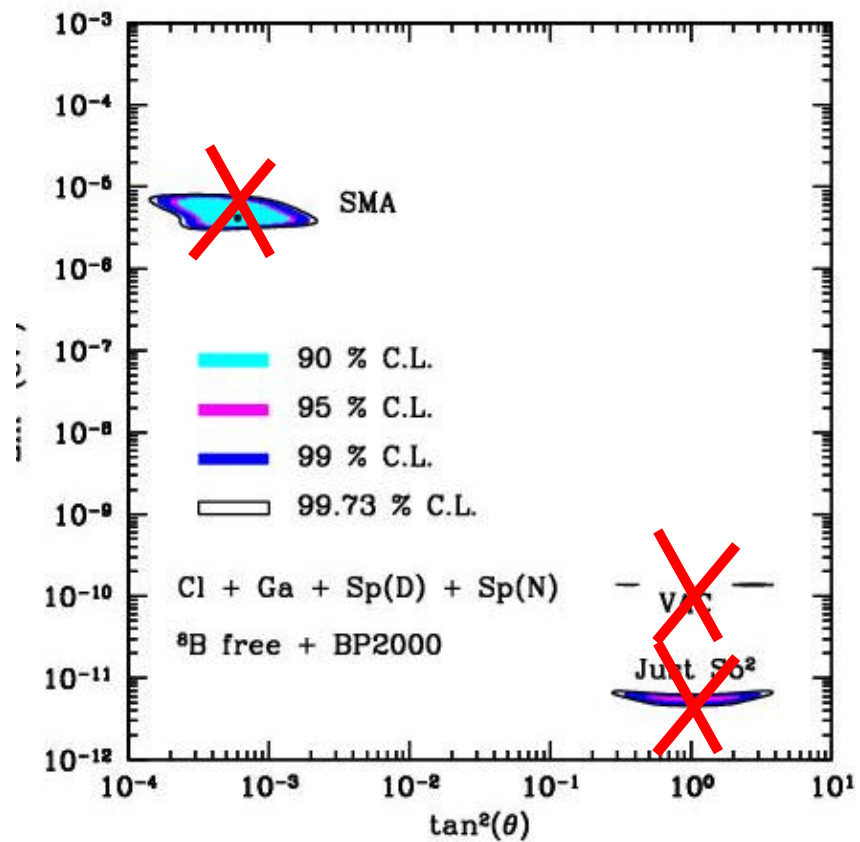
Neutrino Oscillation Scenarios

- data exclude sterile neutrinos and “Just So^2 ” parameter space
- oscillations to active species

To Active Neutrinos



To Sterile Neutrinos



Cosmological Implications

- These results plus previous analyses suggest:

$$(\Delta m_{e\mu})^2 < 10^{-3} \text{ eV}^2 \text{ or } (\Delta m_{e\tau})^2 < 10^{-3} \text{ eV}^2$$

- Limits on ν_e mass give:

$$m_{\nu_e} < 2.8 \text{ eV}$$

- Assuming the hypothesis of $\nu_\mu \leftrightarrow \nu_\tau$ oscillations in atmospheric neutrinos:

$$(\Delta m_{\mu\tau})^2 \approx 3 \times 10^{-3} \text{ eV}^2$$

$$\Rightarrow \Sigma \text{ neutrino masses: } 0.05 < \Sigma_{e\mu\tau} m < 8.4 \text{ eV}$$

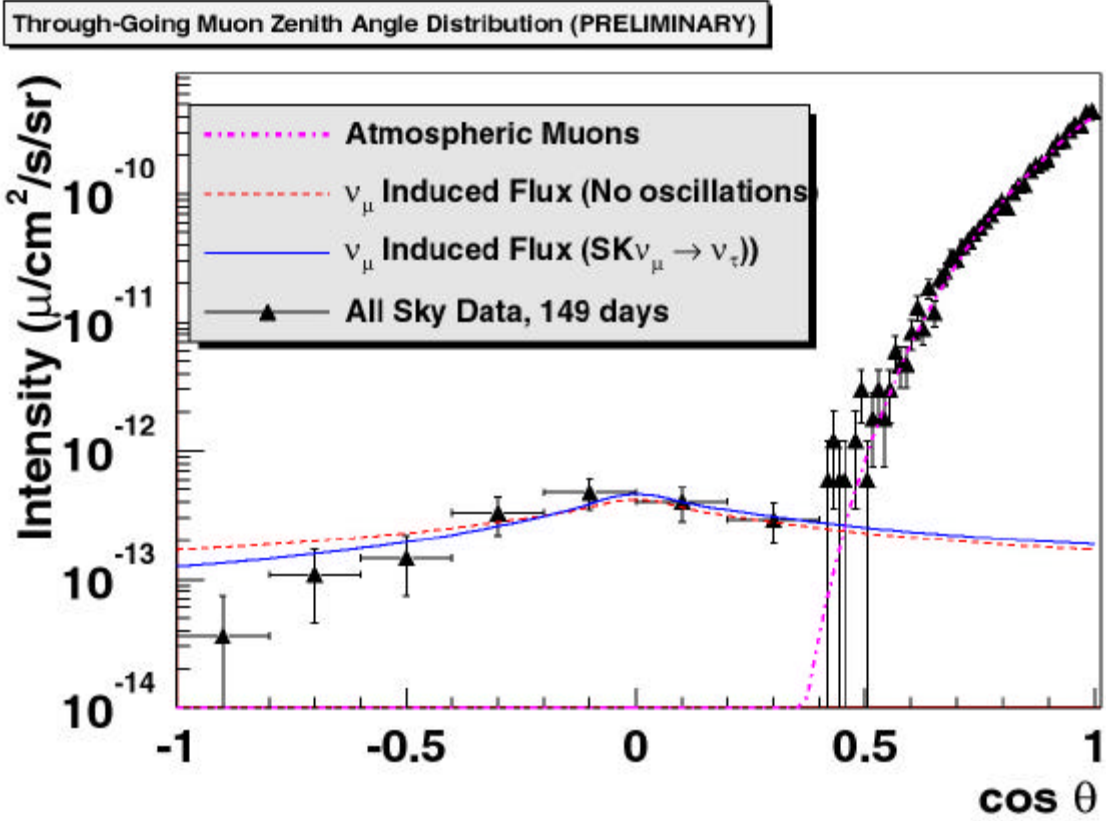
$$\Rightarrow \text{mass fraction of neutrinos in the universe: } 0.001 < \Omega_\nu < 0.18$$

Conclusions

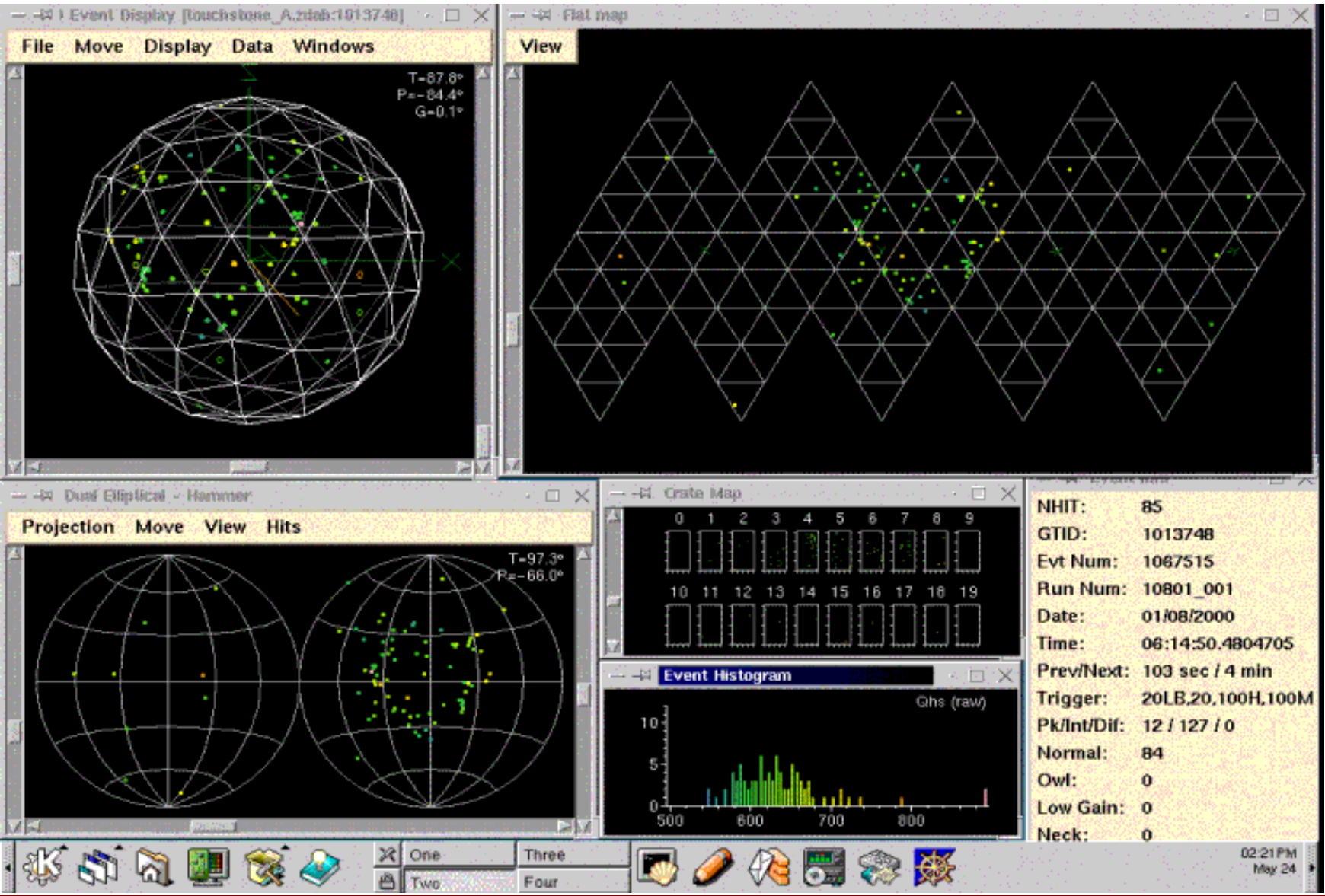
- **CC rate is low** compared to the SSM prediction, and to the ES rates as measured by SNO and SK
- First direct indication of **solar neutrinos of type other than ν_e**
- First measurement of the total flux of ^8B neutrinos. It agrees well with SSM predictions: $\phi_{\text{total}}(^8\text{B}) = 5.44 \pm 0.99 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$
- Data **exclude the “Just-So²”** and **sterile neutrino** parameter spaces
- $m^2(\nu_e \rightarrow \nu_{\mu,\tau}) < 10^{-3} \text{ eV}^2 \Rightarrow 0.05 < \Sigma m_{e\mu\tau} < 8.4 \text{ eV}$
- **Cosmological limit on neutrino mass: $0.001 < \Omega_\nu < 0.18$**
- **Phase I of SNO experiment complete**

Additional Slides

Muon Analysis



Neutrino Event



Oscillation Scenarios and SNO

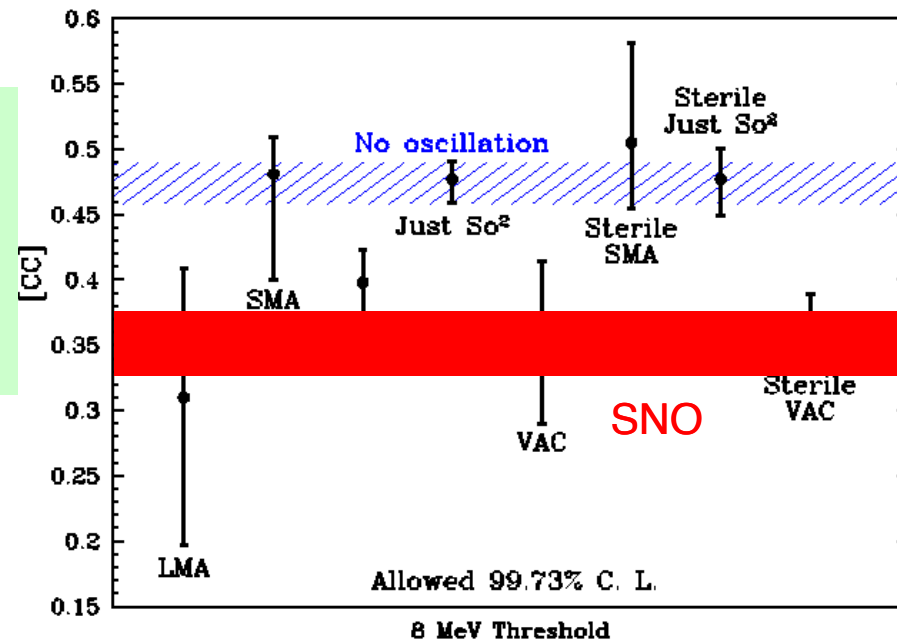
Ratio of measured ^8B flux relative to BP2000:

$$R_{\text{SNO}}^{\text{CC}} = 0.346 \pm 0.014 (\text{stat.}) \pm 0.020 (\text{sys.}) \pm 0.010 (\text{theor.})$$

$$R_{\text{SNO}}^{\text{ES}} = 0.529 \pm 0.073 (\text{stat.}) \pm 0.035 (\text{sys.}) \pm 0.014 (\text{theor.})$$

→ Neutrino oscillation models with maximal mixing are not compatible

→ Data exclude “Just So^2 ” parameters at $m^2 = 6 \times 10^{-12} \text{eV}^2$



From Bahcall, Krastev, and Smirnov
 hep-ph/0103179