

Les Houches, 22 June 01

**ν MASSES AND MIXING:
Outlook and Perspective**

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Neutrino oscillations

Experimental firm points and dilemmas:

- Atmospheric neutrinos:

$\nu_\mu \rightarrow \nu_\tau$ dominant, $\nu_\mu \rightarrow \nu_e$ small,
 $|U_{13}| < 0.2$ (CHOOZ), $\nu_\mu \rightarrow \nu_{\text{sterile}}$ small
 $\Delta m^2 \sim 2.5 \cdot 10^{-3} \text{ eV}^2$, $\sin^2 2\theta_{23} > 0.88$.

- Solar neutrinos:

$\nu_e \rightarrow \nu_{\mu,\tau}$ which solution?
 $\Delta m^2 \sim 6 \cdot 10^{-6} \text{ eV}^2$, $\sin^2 2\theta_{12} \sim 10^{-3}$ MSW-SA,
 $\Delta m^2 \sim 10^{-4} \text{ eV}^2$, $\sin^2 2\theta_{12} \sim 0.75$ MSW-LA,
 $\Delta m^2 \sim 10^{-7} \text{ eV}^2$, $\sin^2 2\theta_{12} > 0.75$ LOW,
 $\Delta m^2 \sim 10^{-10} \text{ eV}^2$, $\sin^2 2\theta_{12} > 0.8$ VO.

No direct oscillation signal seen yet.

No fit is really good for rate, spectrum, day-night (MSW-LA now preferred).

Pure $\nu_e \rightarrow \nu_{\text{sterile}}$ disfavoured.

- LSND true or false??

$\nu_\mu \rightarrow \nu_e, \nu_{\text{sterile}}$, $\Delta m^2 \sim 1 \text{ eV}^2$, $\sin^2 2\theta \sim \text{small}$.

Near Future of Atmospheric ν 's

Main Experiments

- **K2K**: Aims at confirmation in a terrestrial experiment (is running, preliminary results).
- **MINOS**: Precise measurements of Δm^2_{23} , $\text{tg}^2\theta_{23}$, U_{e3} (start ~ 2003).
- **OPERA**: ν_τ appearance experiment (start ~ 2005)
- **ICARUS**
- ν Factories

A ~ 20 EVIDENCE SO FAR

SK event summary

Summary through end of 2000 run:

- Superk livetime for FC events: 2.25×10^{19} pot
- Events observed vs expected (from 1 kT data):

Event type (FC, inside fid.vol.)	SK Observed	SK Expected (no osc)	SK Expected ($\Delta m^2 = 3 \times 10^{-3} \text{ eV}^2$)
1-ring Mu-like	14	20.8 ± 3.2	11.7 ± 1.9
1-ring e-like	1	1.9 ± 0.4	1.6 ± 0.3
Multi-ring	13	15.1 ± 2.5	11.4 ± 2.0
Total	28	$37.8^{+3.5}_{-3.8}$	24.7 ± 2.7

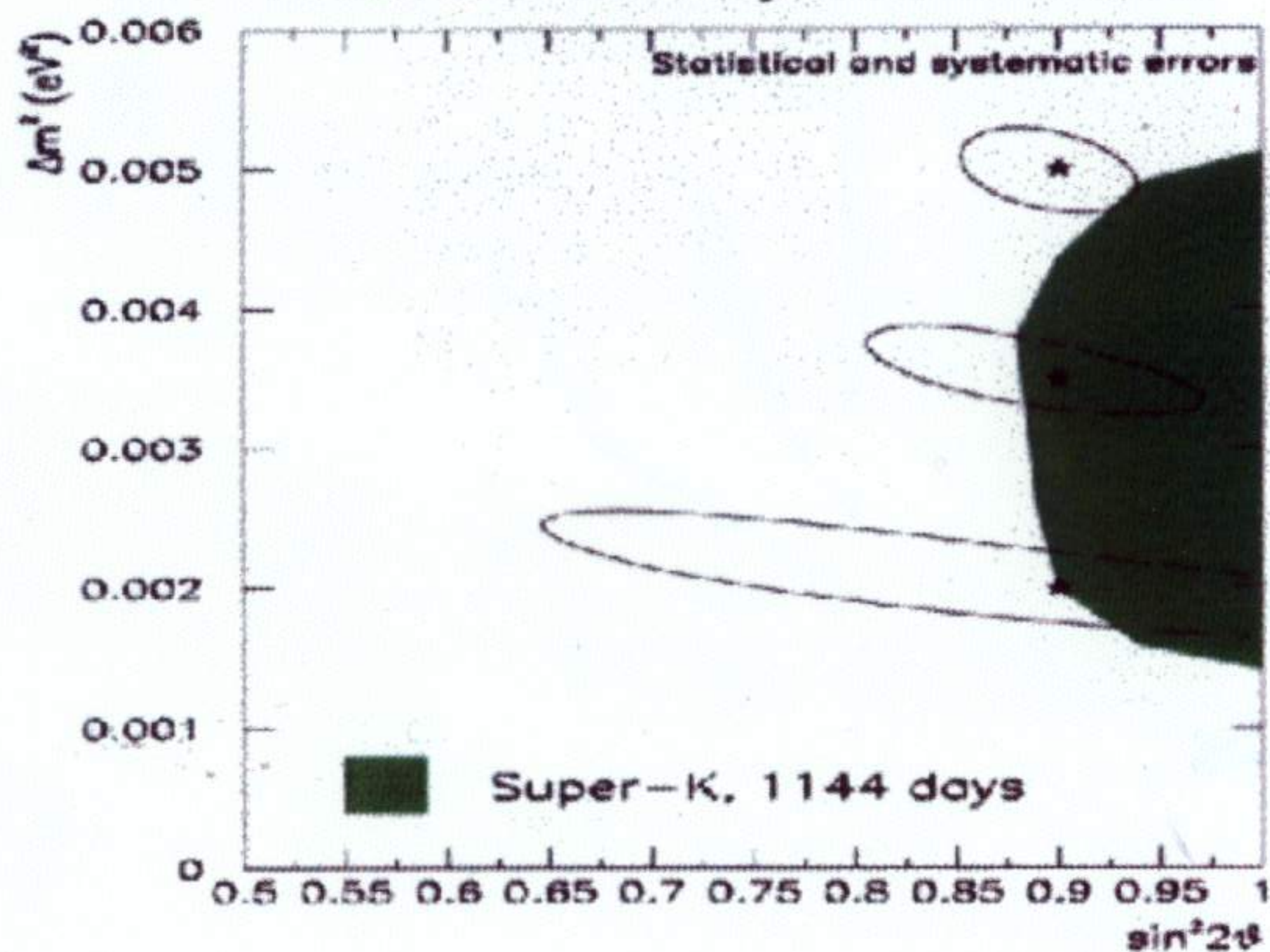
- Comparison of predicted total events (no-osc) from individual near detector subsystems:

KEK detector used for extrapolation	SK expected (no osc)
1 kT	37.8 ± 3.6
Sci-Fi	37.2 ± 7.3
Muon detector	41.0 ± 7.0

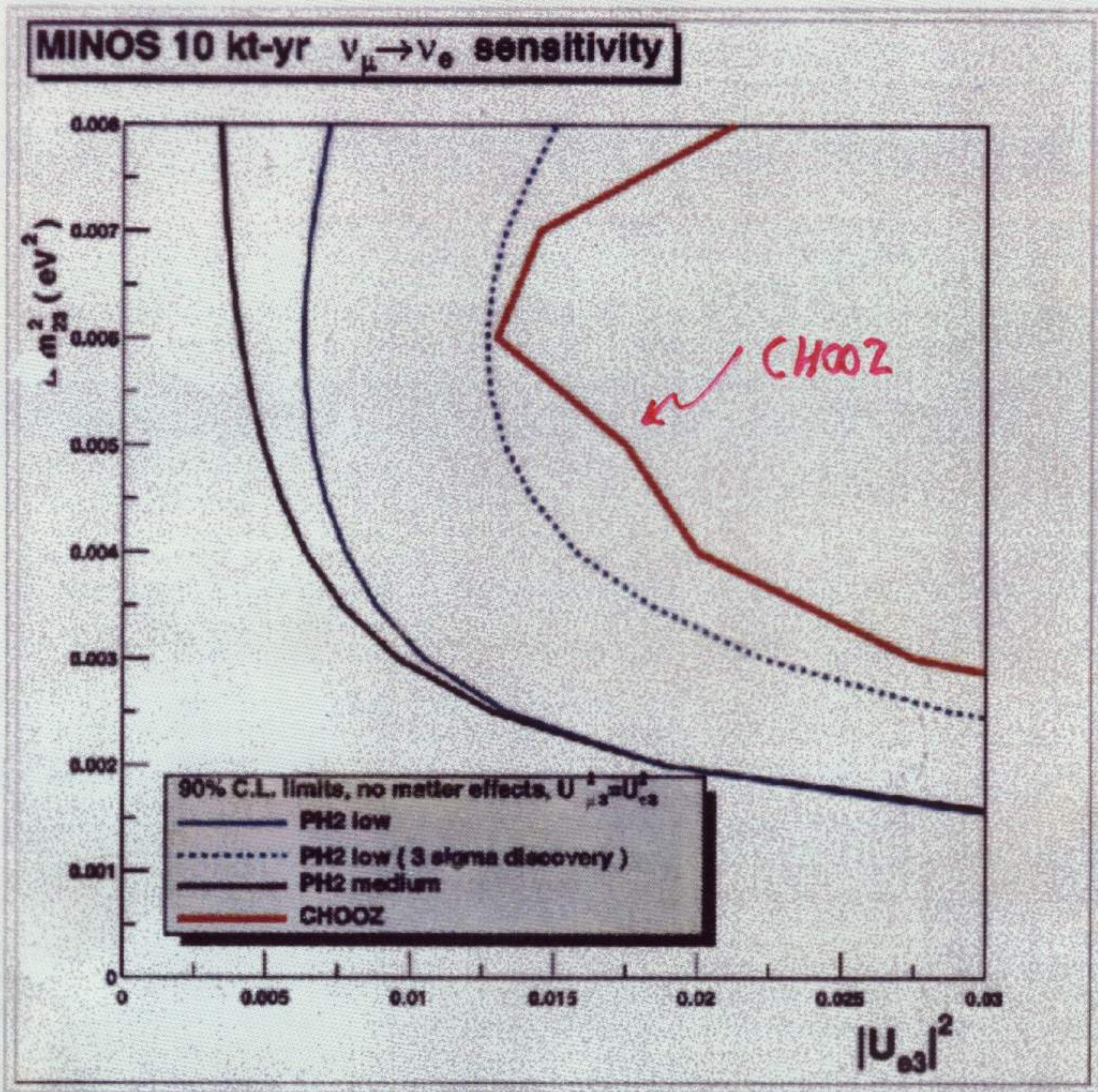


MINOS Sensitivity from CC

Ph2le, 10 kt. yr., 90% C.L.



$$\nu_\mu \rightarrow \nu_e : |U_{e3}|^2$$

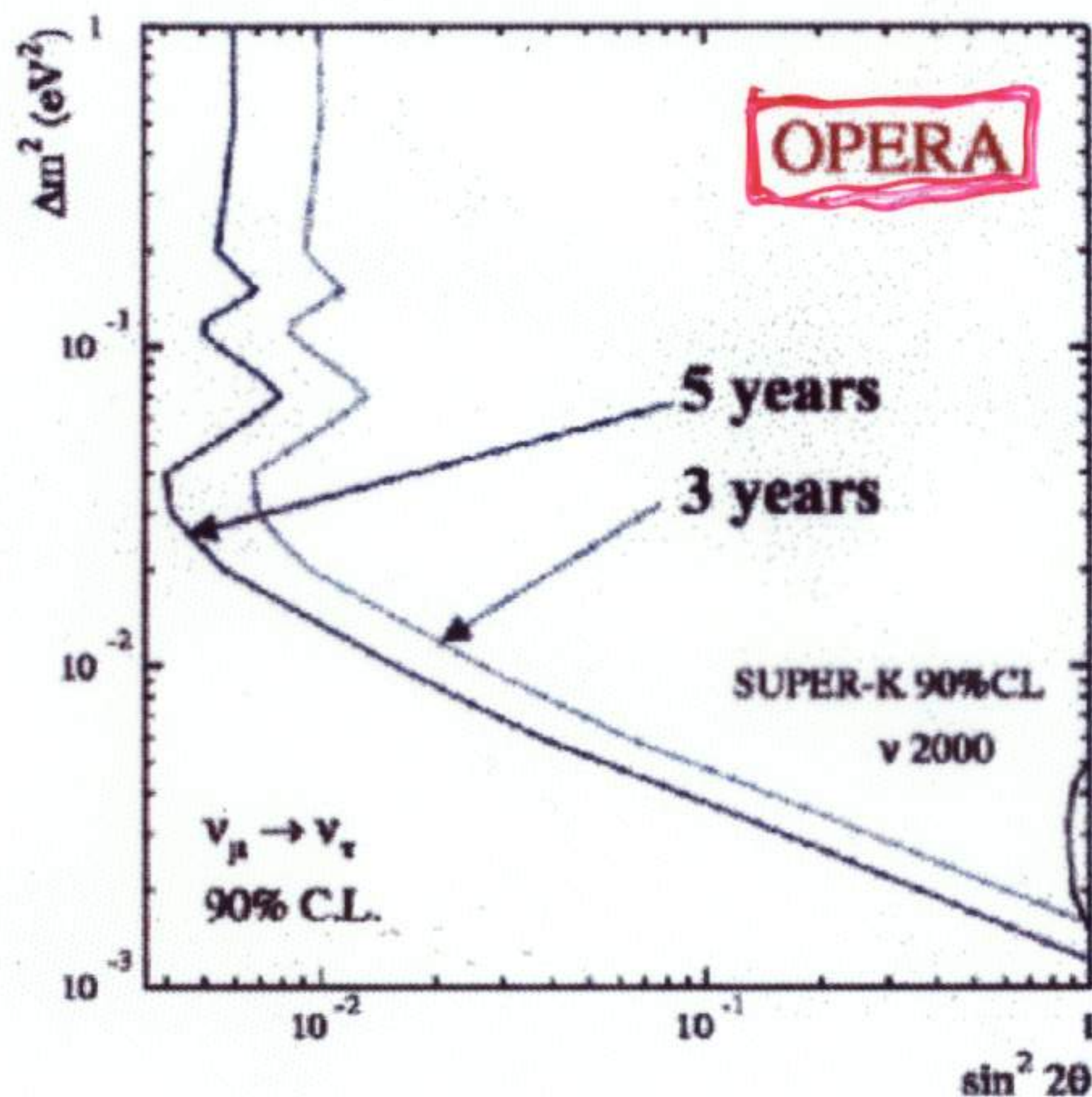


ν_τ APPEARANCE



Sensitivity

(average 90 % CL upper limit for a large number of exp.^{ts}
in the absence of a signal)



≈ 2005

5 years data taking

$\Delta m^2 = 1.2 \times 10^{-3} \text{ eV}^2$
at full mixing

$\sin^2(2\theta) = 6.0 \times 10^{-3}$
at large Δm^2

Near Future of Solar ν 's

Experimental Situation Now Unclear:

- No Oscillations Directly Observed
- Many Solutions

Main Experiments

- SNO: Can discriminate solutions by measuring CC, NC and ES.
First results now published!
- KAMLAND: Sensitive to LMA region. Can establish this solution and measure Δm^2_{12} and $\tan^2 \theta_{12}$ (start 2001).
- BOREXINO: Sensitive to ^7Be component (start ~2002).

SNO Measurements

Charged Current Reaction (D_2O):

CC



(only ν_e)

- ν_e energy spectrum (distortion $\Rightarrow$ MSW effect)
- Some directional sensitivity ($1 - 1/3 \cos \theta_e$)

Neutral Current Reaction (D_2O):

NC



(ALL ν types)

- Total solar ^8B neutrino flux (active neutrinos)

$$\text{Ratio} = \frac{\text{CC}}{\text{NC}} = \frac{(\nu_e) \text{ flux}}{(\nu_e + \nu_\mu + \nu_\tau) \text{ flux}}$$

Elastic Scattering Reaction (D_2O, H_2O):

ES



(mostly ν_e)

- Low counting rate
- Directional sensitivity (very forward peaked)

$$\text{Ratio} = \frac{\text{CC}}{\text{ES}} = \frac{(\nu_e) \text{ flux}}{0.86 \nu_e + 0.14(\nu_\mu + \nu_\tau) \text{ flux}}$$

NEW: $\Phi_e + \Phi_{\mu+\tau} \sim \text{SSM}$

BUT $\Phi_e \sim \frac{1}{2} \Phi_{\mu+\tau}$

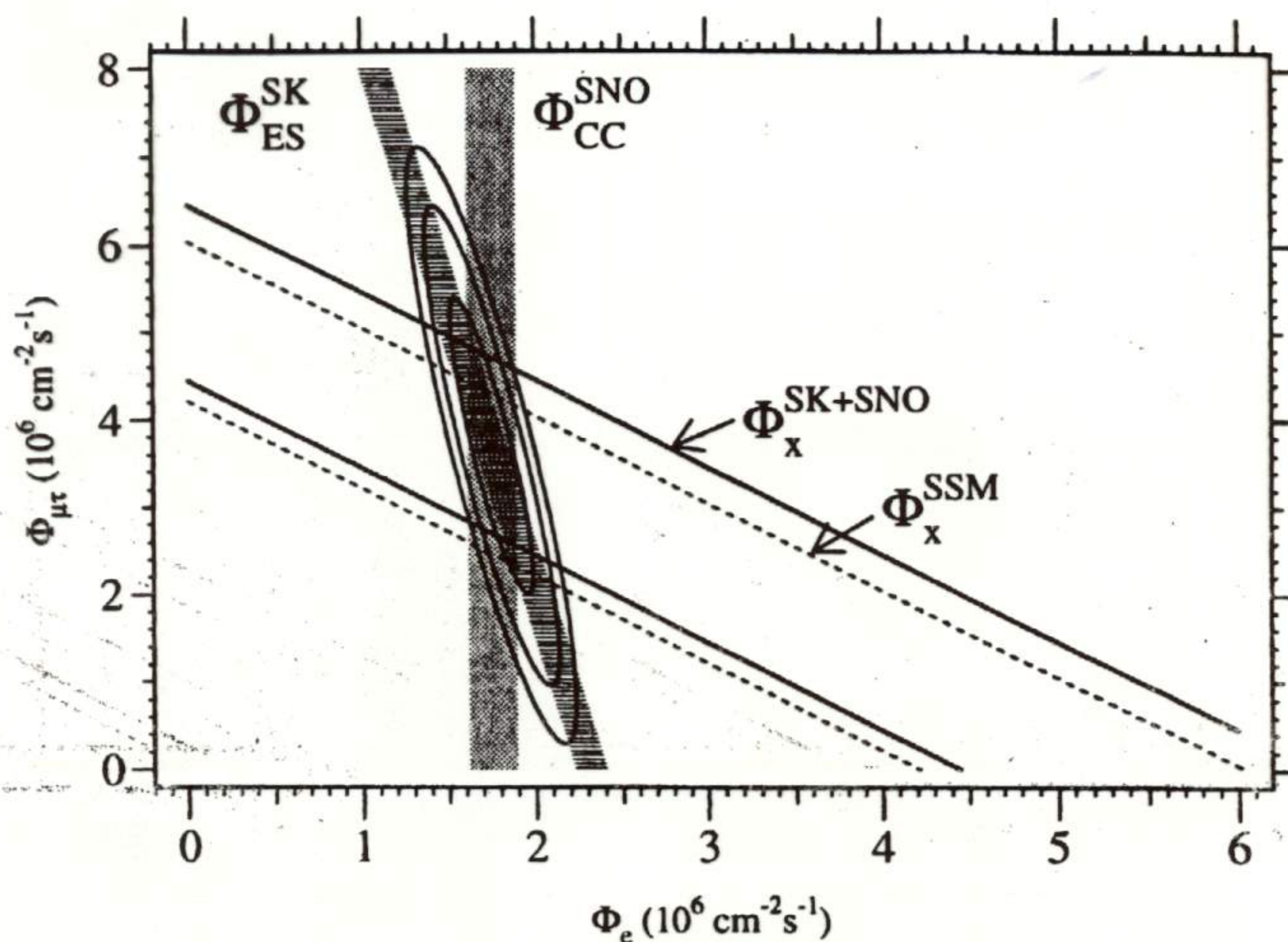
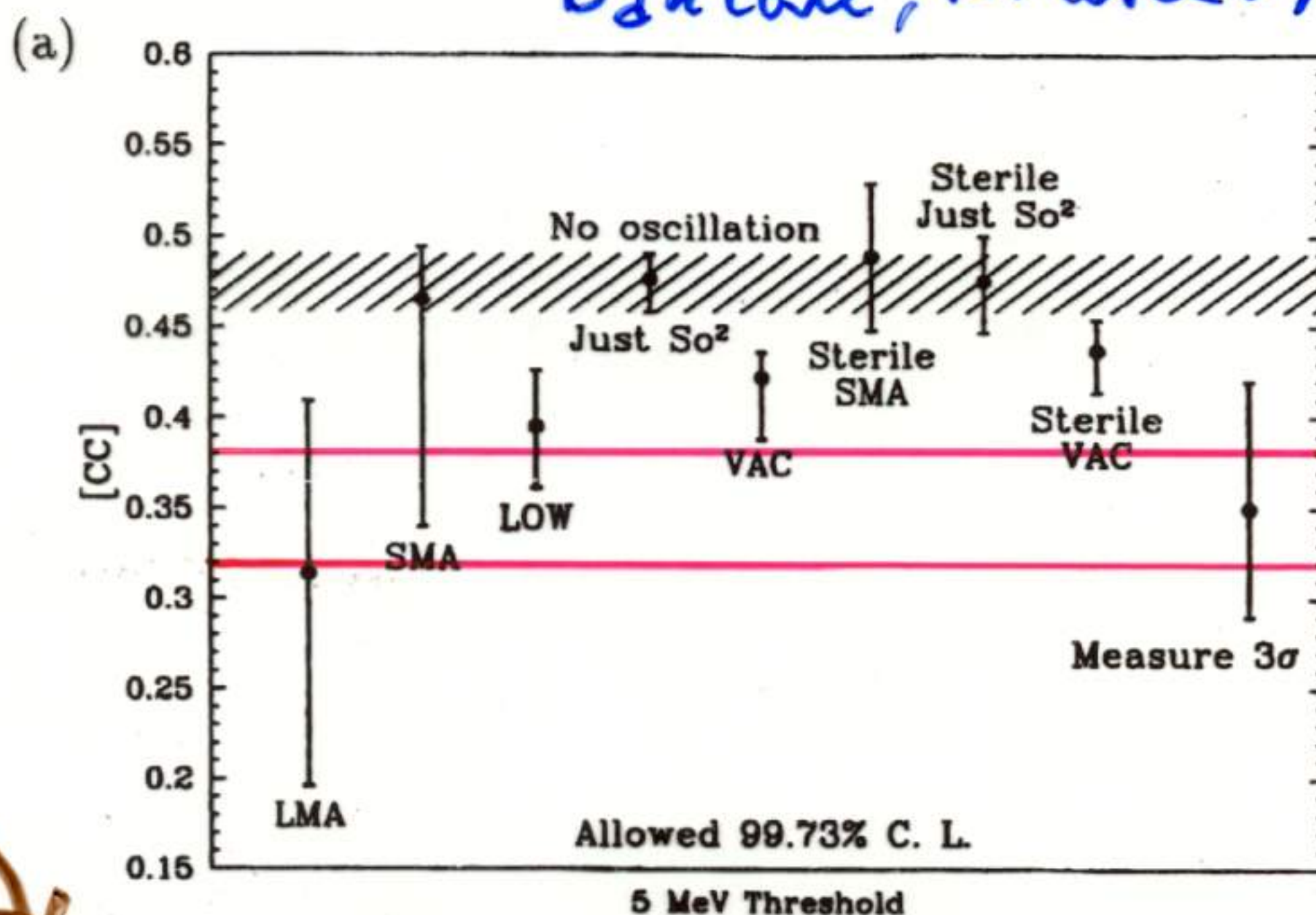


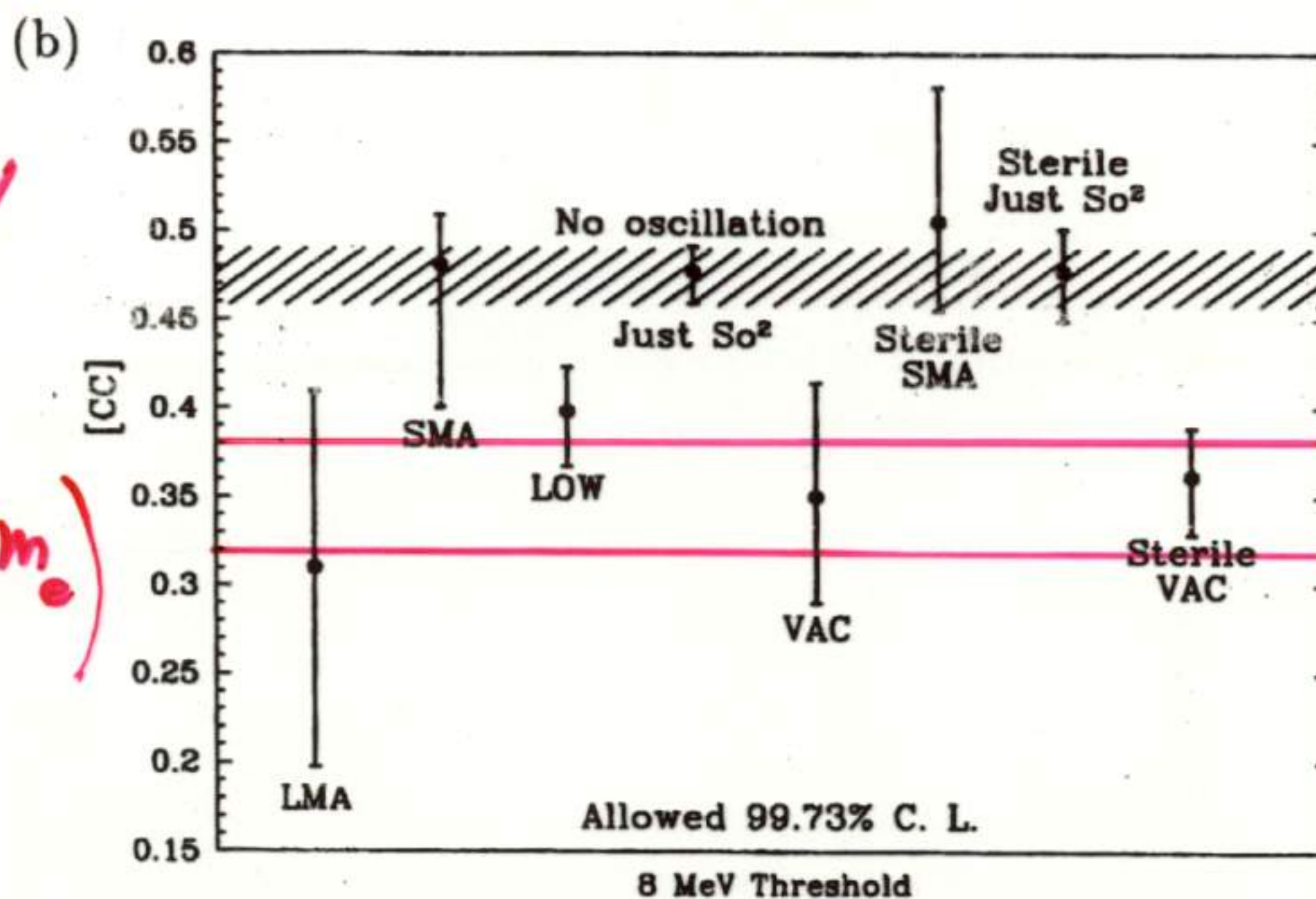
FIG. 3. Flux of ^8B solar neutrinos which are μ or τ flavor vs. the flux of electron neutrinos as deduced from the SNO and Super-Kamiokande data. The diagonal bands show the total ^8B flux $\phi(\nu_x)$ as predicted by BP2001 (dashed lines) and that derived from the SNO and Super-Kamiokande measurements (solid lines). The intercepts of these bands with the axes represent the $\pm 1\sigma$ errors.

Bahcall, Krastev, Smirnov



5 MeV Thr

SNO
DATA



8 MeV Thr

~7.25 MeV
Thr.

$$(E_{eff} = T_{eff} + m_e)$$

Figure 6: Comparison of the CC SNO rate and the no oscillation prediction. The shaded area is the no oscillation prediction based upon the measured Super-Kamiokande rate for $\nu - e$ scattering. The SNO CC ratios, $[CC] = (\text{to be measured})/(\text{BP2000})$, are shown on the vertical axes for different neutrino scenarios and two different total electron energy thresholds, 5 MeV and 8 MeV. The error bars on the neutrino oscillation results represent the range of values predicted by the 99.73% CL allowed neutrino oscillation solutions displayed in Fig. 1.

$hep, {}^8B$
 FLUXES
 FREE

BKS

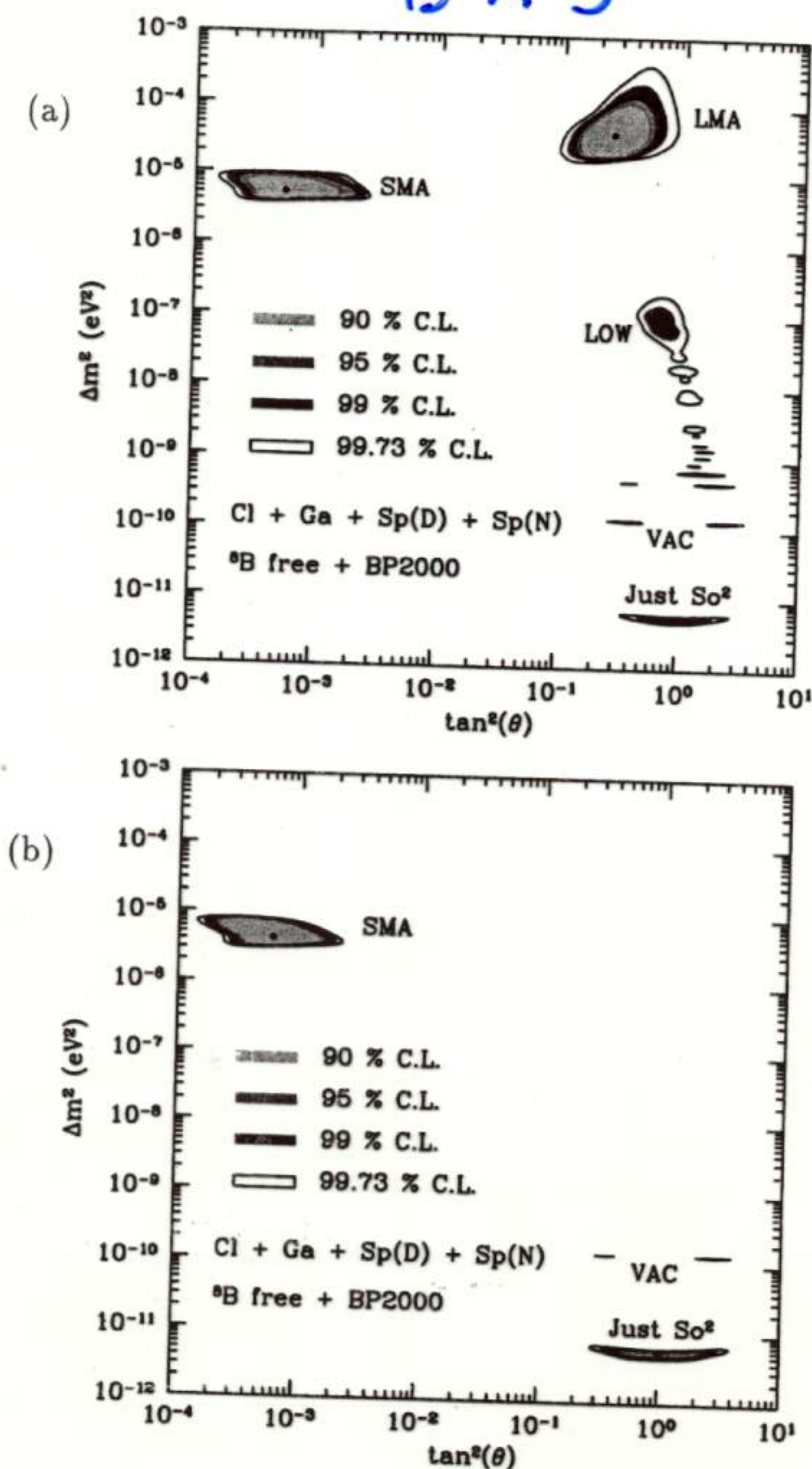


Figure 1: Global solutions, free 8B and hep fluxes. (a) Active neutrinos. (b) Sterile neutrinos. The input data include the total rates measured in the Homestake, SAGE, and GALLEX + GNO experiments and the electron recoil energy spectrum measured by Super-Kamiokande during the day and also the spectrum measured at night. The best-fit points are marked by dark circles; the allowed regions are shown at 90%, 95%, 99%, and 99.73% C.L. .

NO DEVIATION IN SHAPES
WRO NO OSCILLATIONS.

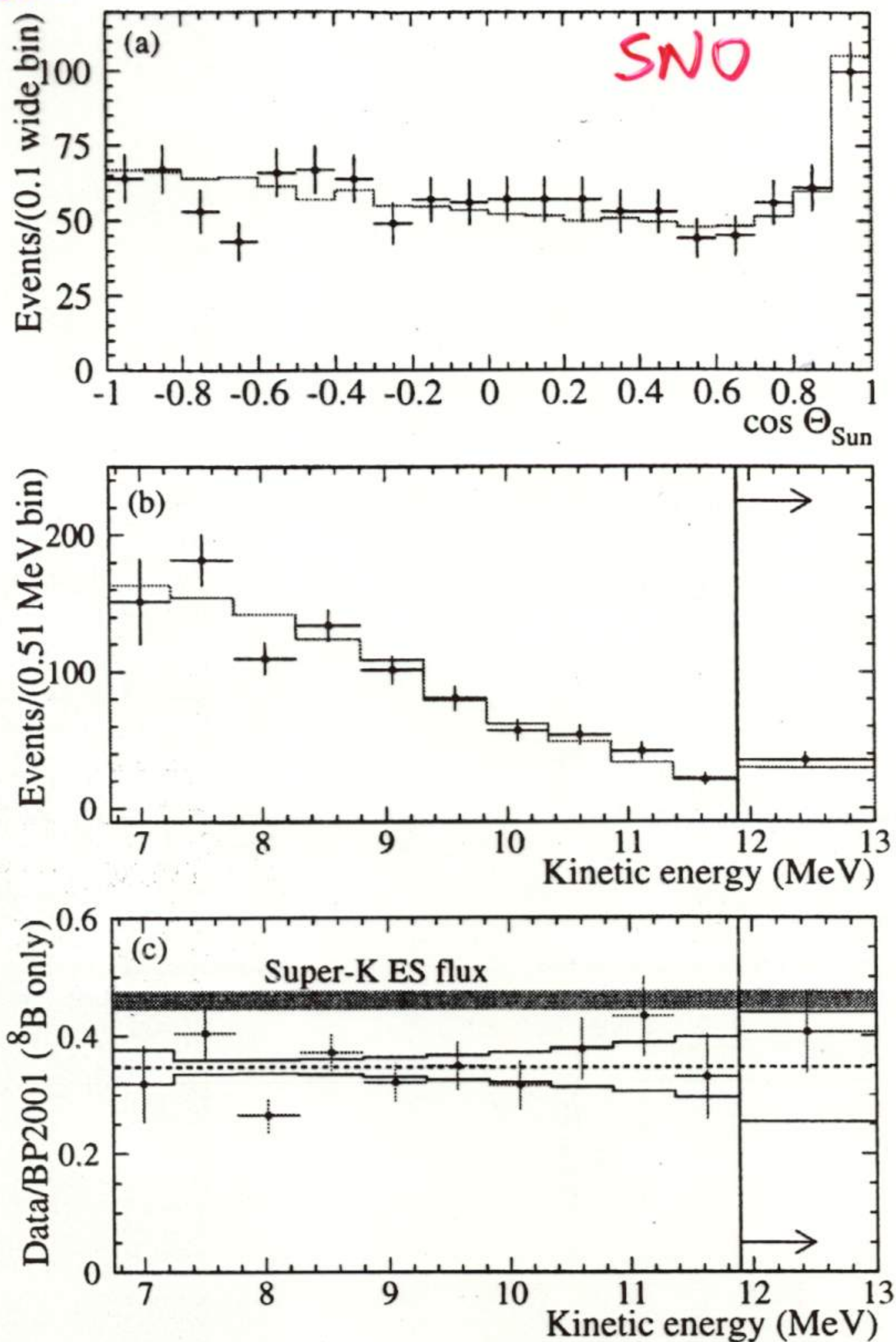


FIG. 2. Distributions of (a) $\cos \theta_{\odot}$, and (b) Extracted kinetic energy spectrum for CC events with $R \leq 5.50$ m and $T_{\text{eff}} \geq 6.75$ MeV. The Monte Carlo simulations for an undistorted ^8B spectrum are shown as histograms. The ratio of the data to the expected kinetic energy distribution with correlated systematic errors is shown in (c).

BUT MOST SURVIVAL PROBABILITIES
ARE FLAT IN THE REGION OF DATA
Behr et al

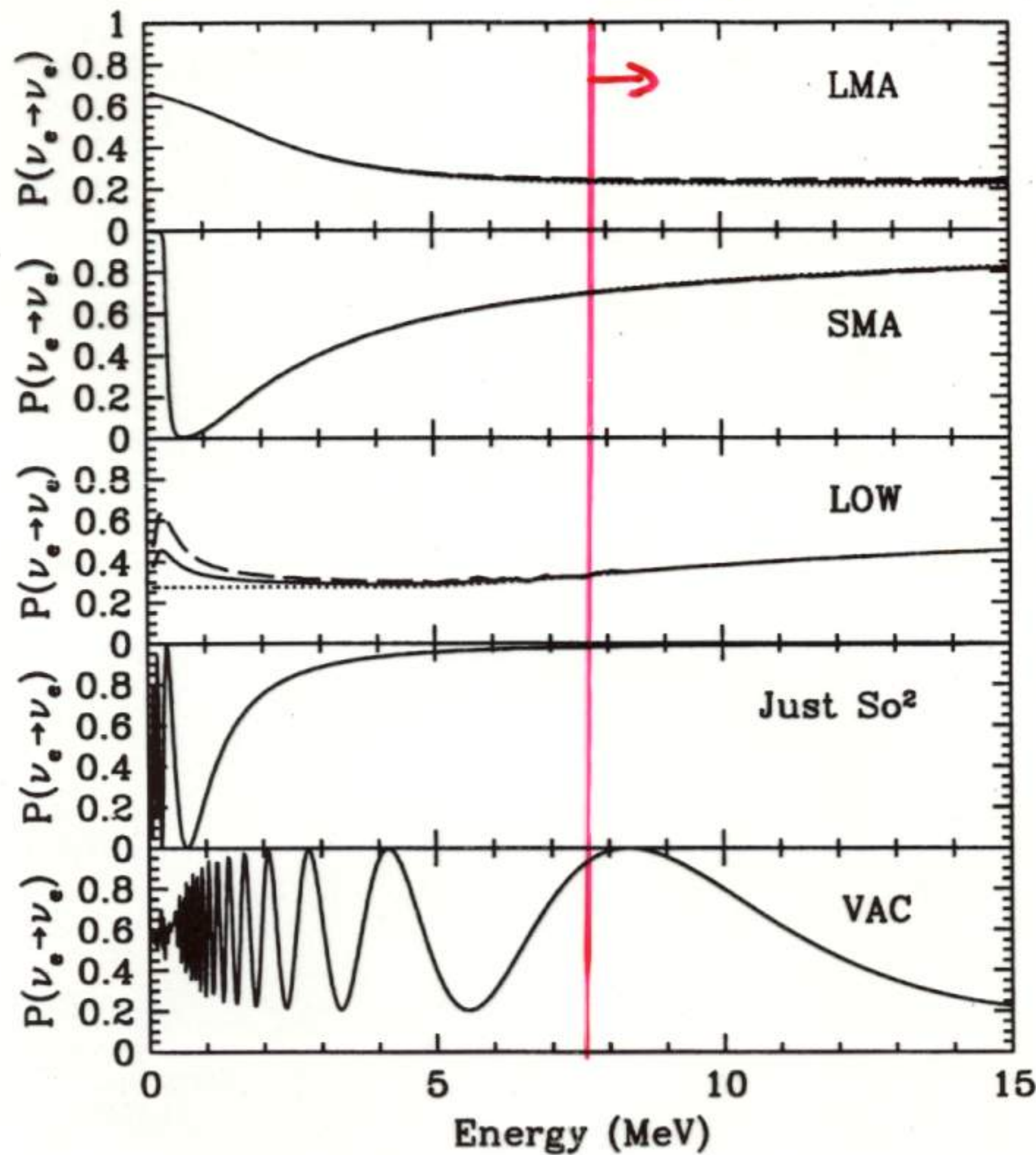


Figure 2: Survival probabilities. The figure presents the yearly-averaged, best-fit survival probabilities for an electron neutrino that is created in the sun to remain an electron neutrino upon arrival at the earth. The survival probabilities for the sterile solutions, SMA, Just So^2 , and SMA, are very similar to their counterparts for active neutrinos and are not plotted here. The full line refers to the average survival probabilities computed taking into account regeneration in the earth and the dotted line refers to calculations for the daytime that do not include regeneration. The dashed line includes regeneration at night. There are only slight differences between the computed regeneration probabilities for the detectors located at the positions of Super-Kamiokande, SNO and the Gran Sasso Underground Laboratory (see ref. [25]).

An interesting indicative analysis

Barger, Marfatia, Whisnant

H: High= ^8B , hep	Norm. Uncert.	18.0%
I: Interm.= ^7Be , pep, ^{15}O , ^{13}N		11.6%
L: Low=pp		1.0%

$$\begin{aligned}0.337 \pm 0.030 &= R_{\text{Cl}} = 0.764\beta_{\text{H}}P_{\text{H}} + 0.236P_{\text{I}} \\0.584 \pm 0.039 &= R_{\text{Ga}} = 0.096\beta_{\text{H}}P_{\text{H}} + 0.359P_{\text{I}} + 0.545P_{\text{L}} \\0.459 \pm 0.017 &= R_{\text{SK}} = \beta_{\text{H}}P_{\text{H}} + 0.171\sin^2\alpha(1-P_{\text{H}})\beta_{\text{H}} \\0.347 \pm 0.027 &= R_{\text{SNO}}^{\text{CC}} = \beta_{\text{H}}P_{\text{H}}\end{aligned}$$

R: Rates normalized to SSM

β_{H} : Rescaling of H norm. wro SSM

P_i : Probabilities for $\nu_e \leftrightarrow \nu_e$

$\sin^2\alpha$: fraction into active

Taking central values we have:

$$\beta_{\text{H}}P_{\text{H}}=0.347; P_{\text{I}}=0.305; P_{\text{L}}=0.810$$

If we add $\beta_{\text{H}}=1$ -----> $P_{\text{H}}=0.347$, $\sin^2\alpha=1$!

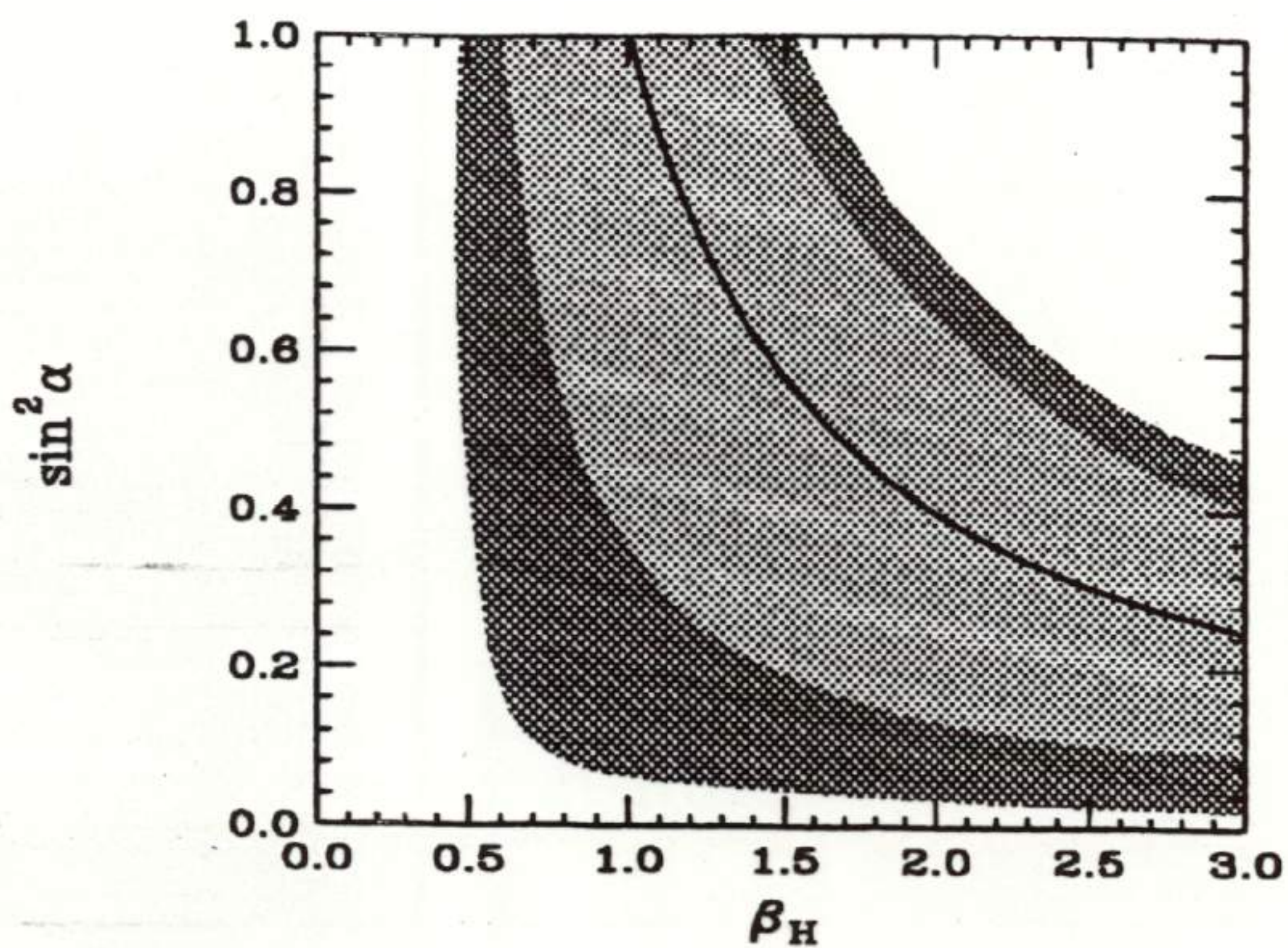
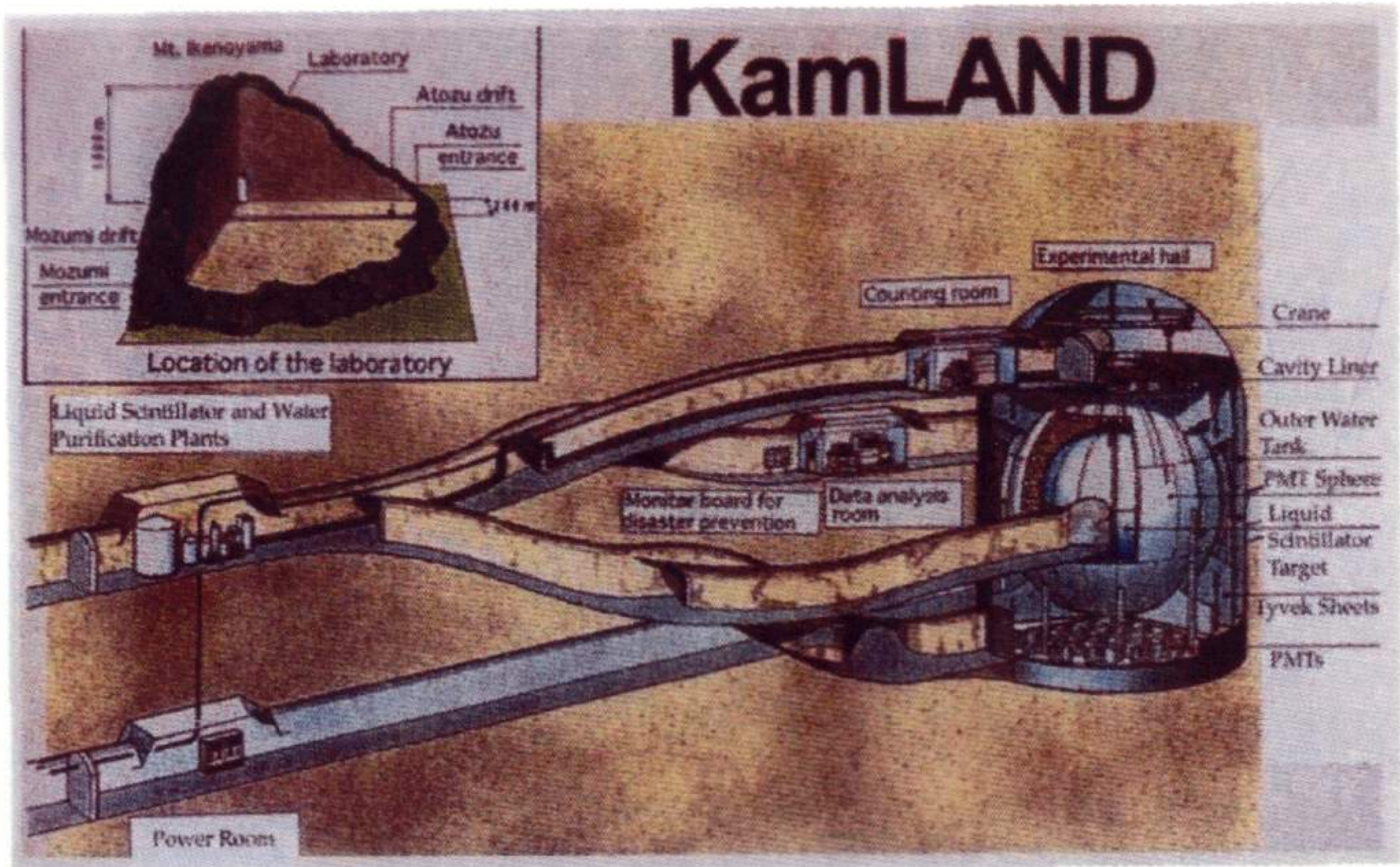


FIG. 1. Active neutrino fraction $\sin^2 \alpha$ versus ^8B neutrino flux normalization β_H . The line represents solutions with $\chi^2 = 0$, and the 1σ and 2σ allowed regions are shaded.

KamLAND

<http://www.awa.tohoku.ac.jp/KamLAND>

Kamioka Liquid scintillator Anti-Neutrino Detector



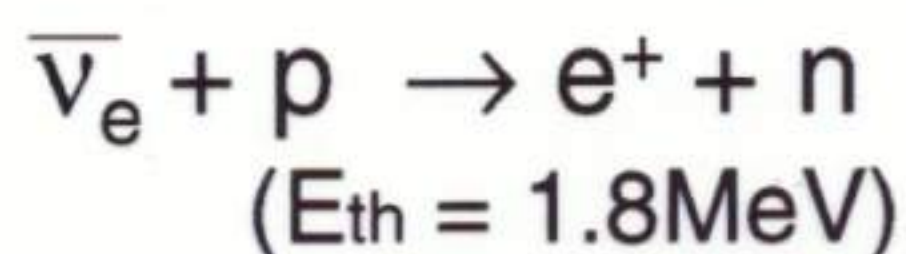
long baseline
reactor experiment
converted from
KAMIOKANDÉ

hosted by
Tohoku University

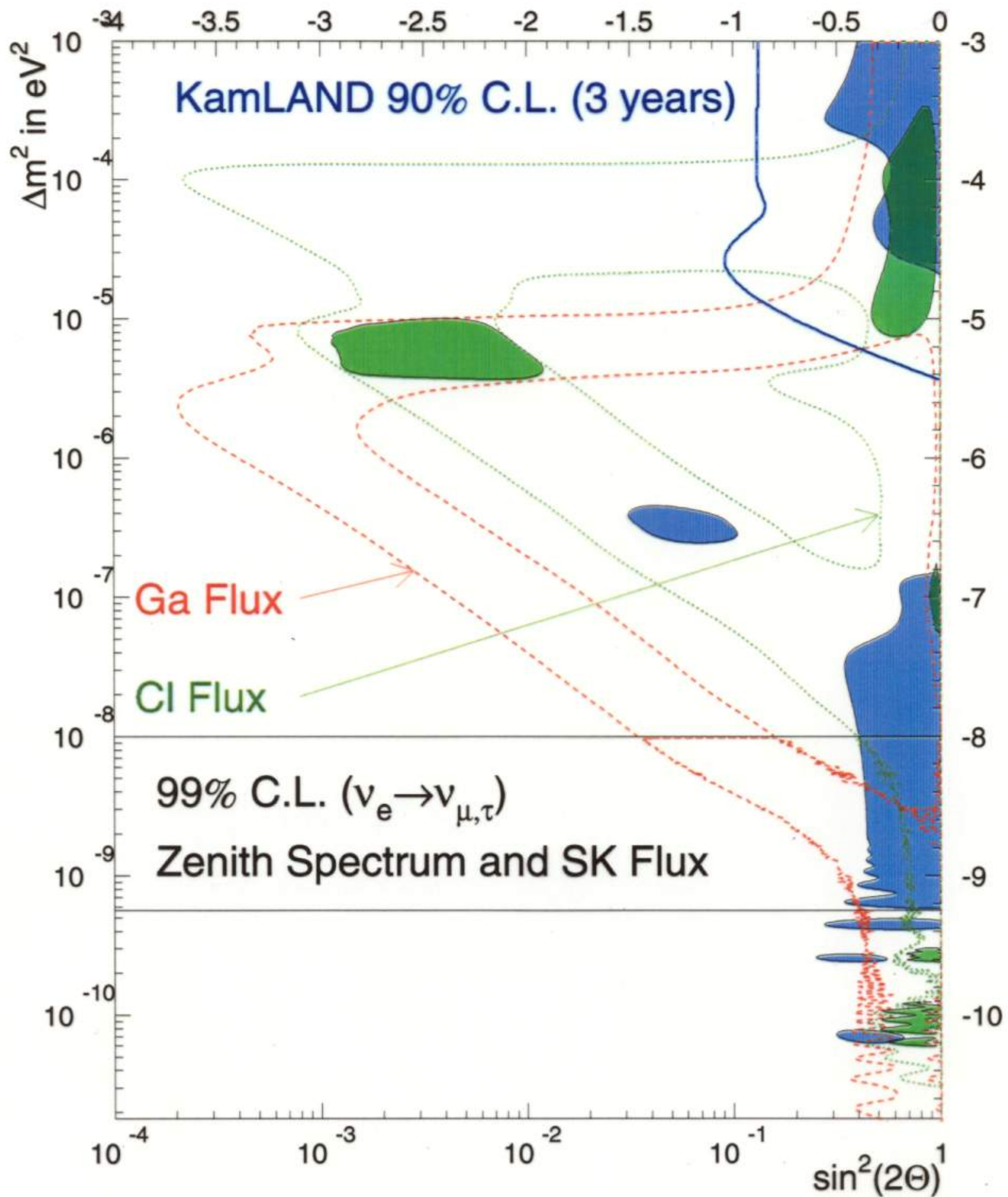
Filling: Apr. 2001~
Observation: Oct. 2001~

1,000 m³ liq. scint.
1,300 17-inch PMTs
+600 20-inch PMTs

22+14% coverage
anti: 3,000m³ water
reactor L~170km
700 events/kt/year



Allowed Regions



VERY PRECISE MEASUREMENTS OF Δm^2_{12} AND $\sin^2 2\theta_{12}$

Barger, Marfatia, Wood

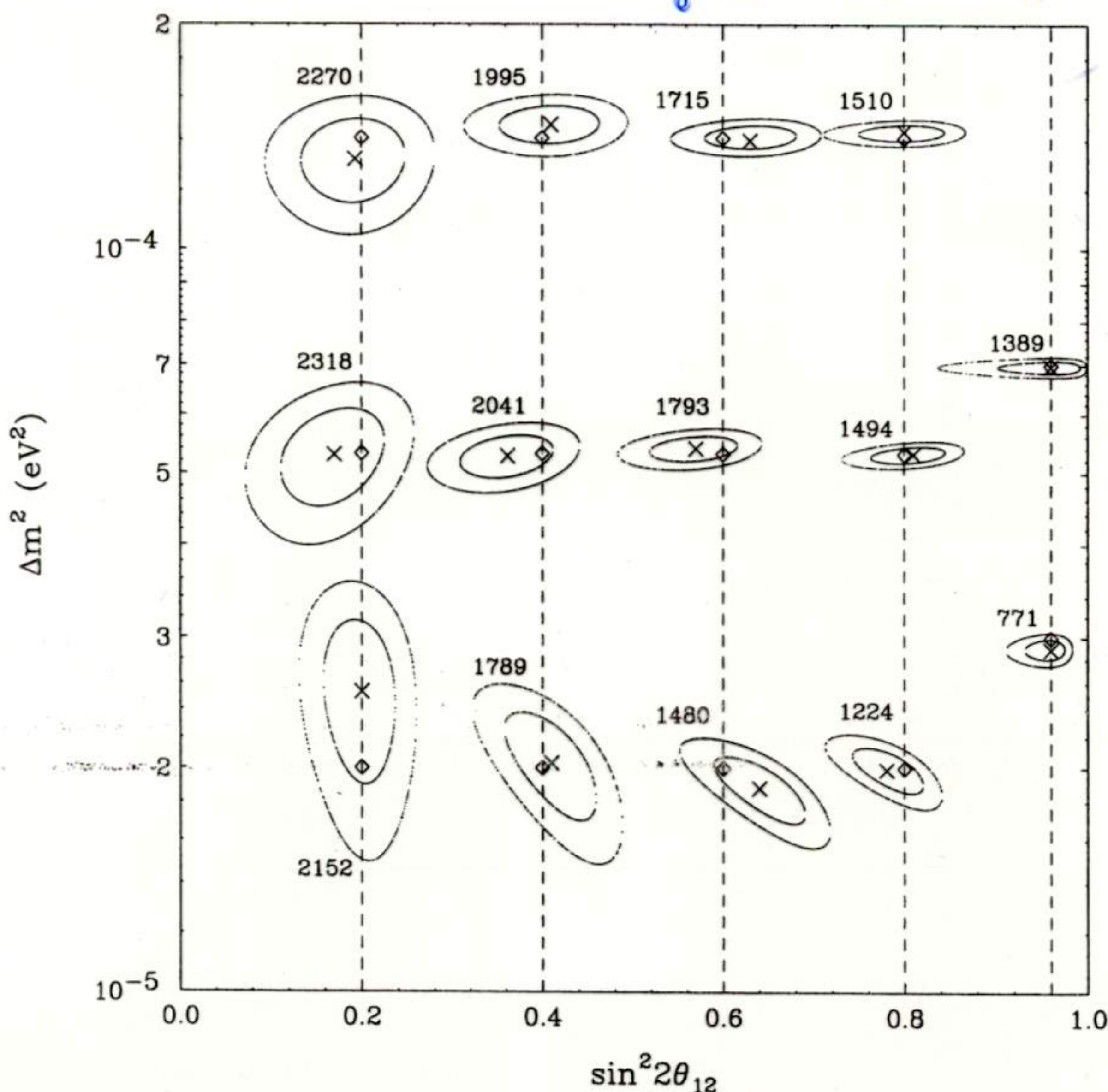
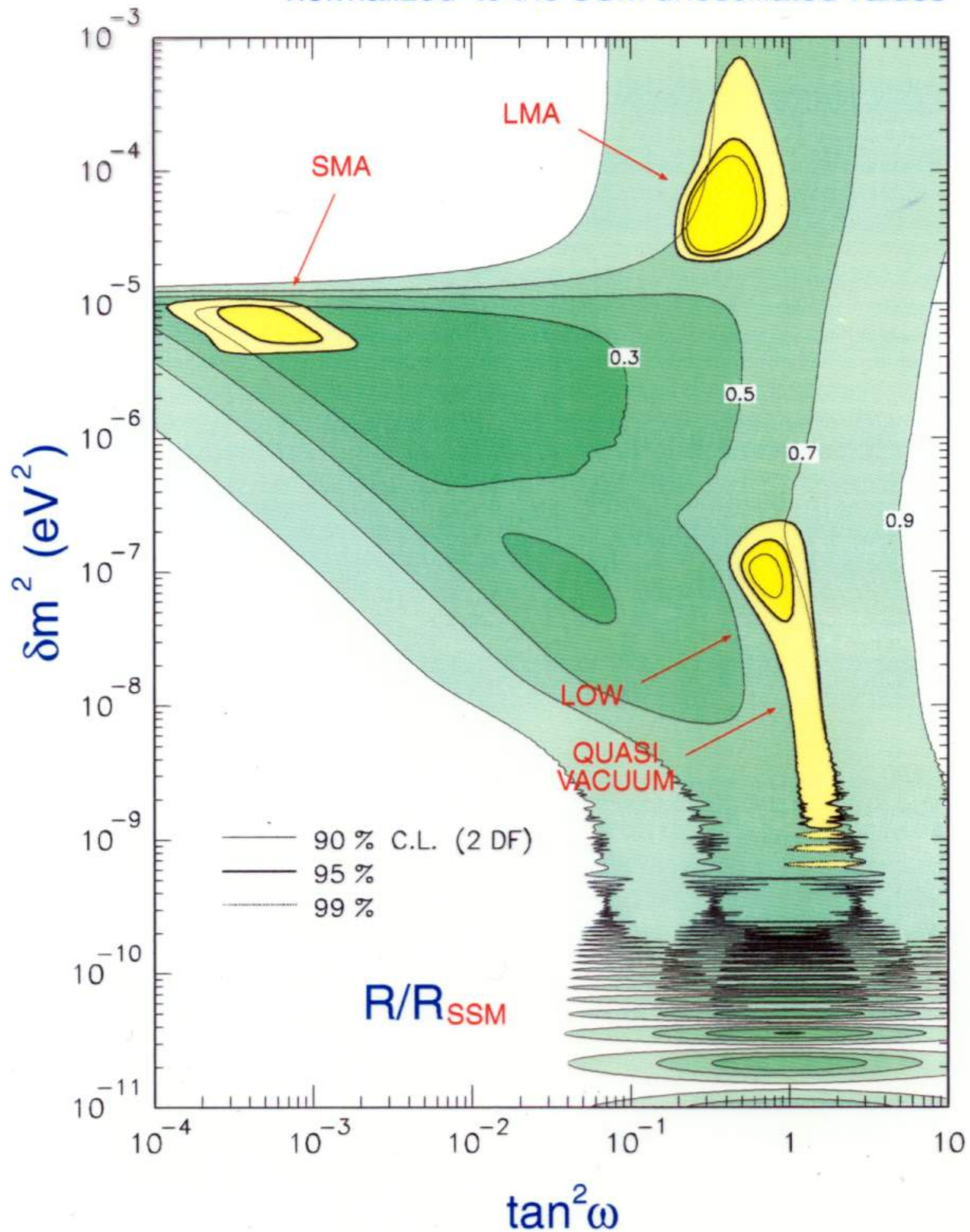


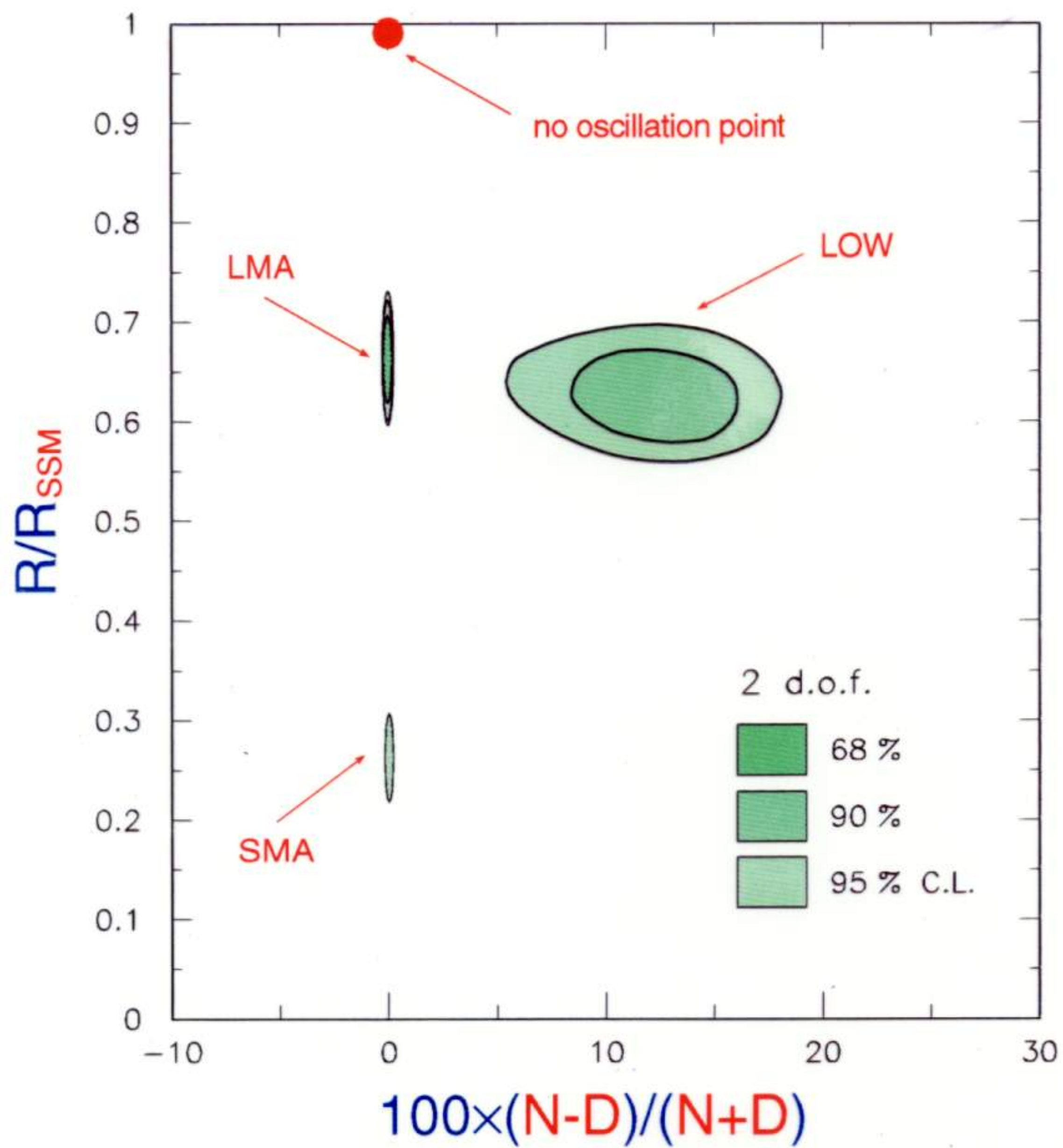
FIG. 3. Fits to e^+ spectra for values of Δm^2 and $\sin^2 2\theta_{12}$ covering the entire region of the LMA solution with $\theta_{13} = 0$. The 1σ (68.3%) and 3σ (95.4%) confidence contours are shown. The diamond is the theoretical value for which data was simulated and the cross marks the best fit point. Each point is labelled by the expected number of signal events in three years. If no oscillations occur, the expectation is 2400 events.

Borexino total rates compared with the SMA, LMA and LOW solutions

yearly-averaged total rates (N+D)
normalized to the SSM unoscillated values



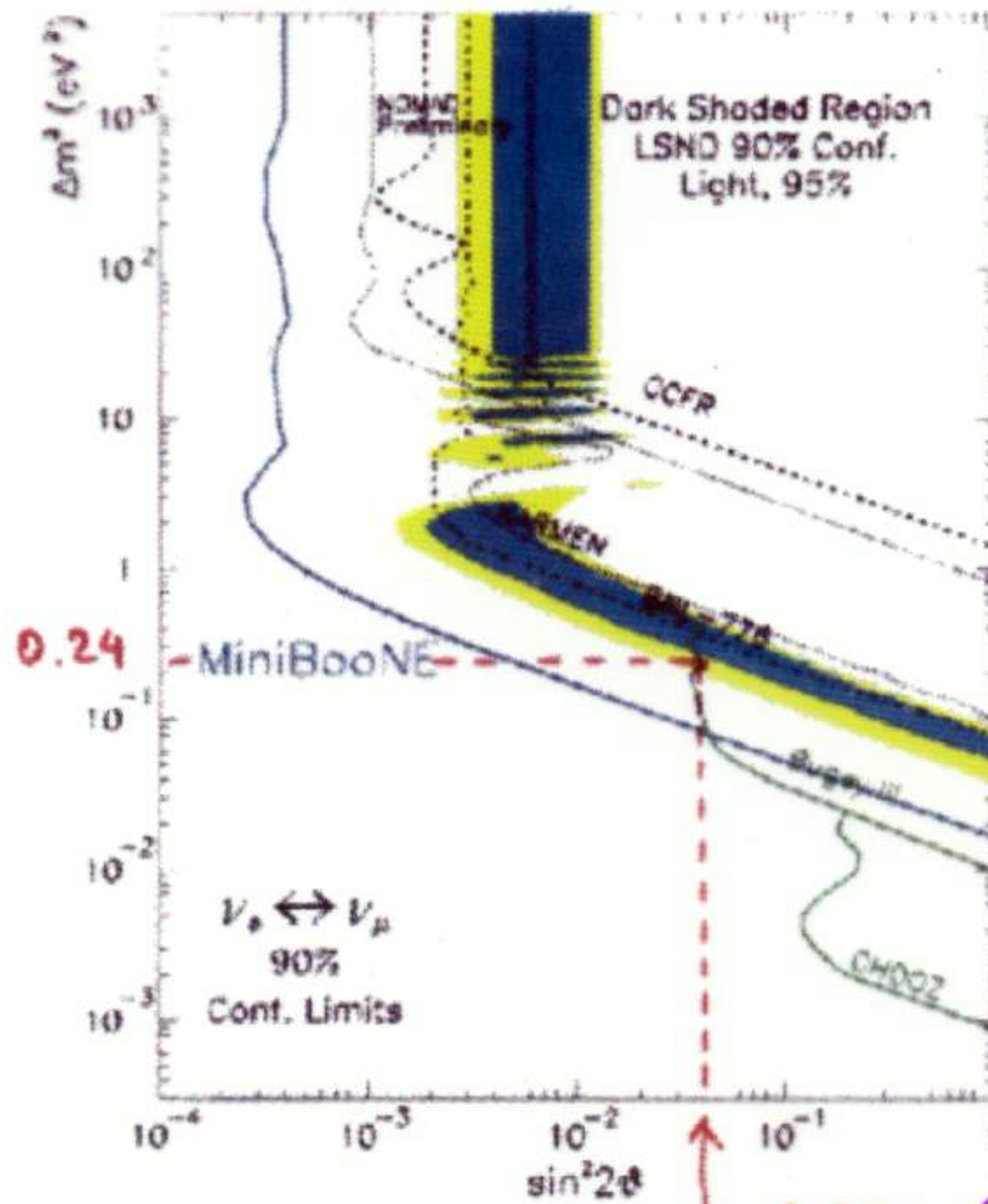
Borexino discovery potential compared with the SMA, LMA and LOW solutions



MINIBOOONE [START ~2002]

The MiniBooNE Experiment

MINIBOOONE SENSITIVITY:



0.041 ← LSND
BEST FIT

MiniBooNE sensitivity for one calendar year of running ($\nu_\mu \rightarrow \nu_e$)

Neutrino oscillations measure Δm^2

$$\Delta m^2_{\text{atm}} \sim 2.5 \cdot 10^{-3} \text{ eV}^2; \quad \Delta m^2_{\text{solar}} < \Delta m^2_{\text{atm}}$$

- Direct limits

$$m(\nu_e) < 2-3 \text{ eV}$$

$$m(\nu_\mu) < 170 \text{ KeV}$$

$$m(\nu_\tau) < 18 \text{ MeV}$$

- Cosmology

$$\Sigma_i m_{\nu_i} \leq \sim 6 \text{ eV} \quad [\Omega_\nu \leq \sim 0.2]$$

$$\text{All } \nu \text{ masses } \leq 2 \text{ eV}$$

Why ν 's so much lighter than quarks and leptons?

Neutrino masses are very small!

- Direct limits
- Cosmological limits (hot dark matter)
- ν oscillation data

----->

$$m_{\nu i} \leq 1 - 2 \text{ eV}$$

or: $m_\nu/m_e \leq 10^{-5}, \quad m_\nu/m_t \leq 10^{-11}$

Most appealing explanation:

$$m_\nu \sim m^2/M$$

M : scale of L non conserv. $\sim M_{\text{GUT}} - M_{\text{Pl}}$
 $m \leq m_t \sim \nu \sim 200 \text{ GeV}$

Note:

$$m_\nu \sim (\Delta m_{\text{atm}}^2)^{1/2} \sim 0.05 \text{ eV}$$
$$m \sim \nu \sim 200 \text{ GeV}$$

-----> $M \sim 10^{15} \text{ GeV}$

Neutrino masses are a probe of GUT physics!

A very natural and exciting explanation:

ν 's are nearly massless because they get masses through Lepton Number non conserving interactions suppressed by a large scale $M \sim M_{\text{GUT}}$.

- ν masses are probing the physics near M_{GUT}
- this explanation beautifully fits in a comprehensive picture of the large scale structure of particle physics.

$\log_{10} E(\text{GeV})$

FUNDAMENTAL THEORY

19 **QUANTUM GRAVITY**
(STRINGS, BRANES, $d=10, \dots$) d_{fund}

16 **SUSY GUTS**

4 **MSSM**

LOW ENERGY EFFECTIVE THEORY

$\mathcal{L}_{\text{eff}}$

$\Lambda: M_{\text{GUT}}, M_{\text{Pl}}$

$\sim \bar{\psi}\psi$

$$\mathcal{L}_{\text{eff}} \sim o(\Lambda^2) \mathcal{L}_2 + o(\Lambda) \mathcal{L}_3 + o(1) \mathcal{L}_4 + o\left(\frac{1}{\Lambda}\right) \mathcal{L}_5 + o\left(\frac{1}{\Lambda^2}\right) \mathcal{L}_6 + \dots$$

$\hookrightarrow \sim \psi^2$ $\hookrightarrow \bar{\psi}\gamma^\mu\psi A_\mu$ $\hookrightarrow \bar{\psi}\psi\bar{\psi}\psi$

$\mathcal{L}_n$ is of OPERATOR DIMENSION n

$\mathcal{L}_3$: PROTECTED BY $SU(2) \otimes U(1)$, CHIRAL SYMM
 $\bar{\psi}\psi$ $o(\Lambda) \mathcal{L}_3 \rightarrow m \ln \Lambda \mathcal{L}_3$

$\mathcal{L}_2$: PROTECTED BY SUSY:
 $o(\Lambda^2) \mathcal{L}_2 \rightarrow \Delta M_{\text{SUSY}}^2 \mathcal{L}_2$

GUTS MAKE ν MASSES VERY
PLAUSIBLE



- MOST GUT GROUPS REQUIRE EXISTENCE OF ν_R

(NOT $SU(5)$, BUT $SU(10)$, E_6 , ...)

$$\bar{5} + 10$$

TOO NICE

NOT TO BE IMPORTANT

$$16 = \bar{5} + 10 + 1!$$

- L NOT CONSERVED IN GUTS

ALSO:

- LARGE M_{GUT} OFFERS NATURAL MECHANISM FOR m_ν VERY SMALL

SEE-SAW MECHANISM

Yanagida
Gell-Mann, Ramond, Slansky

IN S.M. THERE IS NO LORENTZ INV., $\text{DIM} \leq 4$ OPERATOR
(COMPATIBLE WITH $SU(3) \times SU(2) \times U(1)$)

THAT VIOLATES B AND/OR L
EXCEPT $M_{\text{GUT}} \nu_R^T \nu_R$ ($\bar{\nu}_R^c \nu_R = \nu_R^T C \nu_R$)

FOR EXAMPLE:

$$u + u \rightarrow e^+ + \bar{d}$$

IS OK (e.g. COLOUR: $3+3=6+\bar{3}$)

BUT: $\frac{\lambda}{M^2} \bar{d}^c u \bar{e}^c u \Rightarrow \text{DIM } 6$

$\uparrow \quad \uparrow$
 $M \equiv M_{\text{GUT}} \quad SU(5) \quad p \rightarrow e^+ \pi^0$

[SAME IN SUSY WITH R-PARITY CONSERV'N]

BUT IF ν_R IS INTRODUCED

THEN $\nu_R^T \nu_R$ IS $SU(3) \times SU(2) \times U(1)$
INVARIANT AND $\Delta L = 2$!

WITH ν_R L CONSERV. NOT AUTOMATIC!!

$$\begin{aligned}
 \mathcal{L}_\nu &= \bar{L} h \nu_R H + \text{h.c.} + \\
 &\quad \xrightarrow{\quad} m_D = h v \quad (\text{DIRAC}) \\
 &+ \nu_R^T M_R \nu_R + \\
 &+ \nu_L^T \frac{\lambda}{M_L} \nu_L H H \quad \rightarrow (\text{MAJORANA}) \\
 &\quad \hookrightarrow m = \frac{\lambda v^2}{M_L}
 \end{aligned}$$

SEE-SAW MECHANISM:

$$m = \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix} \begin{pmatrix} \frac{\lambda v^2}{M_L} & m_D \\ m_D & M_R \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix}$$

$$|m_{\text{light}}| \approx \frac{m_D^2}{M_R} \div \frac{\lambda v^2}{M_L}$$

$$m_{\text{heavy}} \approx M_R$$

$$m_{\text{eff}} = \nu_L^T m_{\text{light}} \nu_L$$

IN GENERAL BOTH $\mathcal{O}_5 \sim \nu_L^T \frac{\lambda^2}{M} \nu_L H H$

AND THE SEE-SAW MECHANISM
ARE OPERATIVE :

$$\nu_L^T m_\nu \nu_L = \nu_L^T m_D^T M^{-1} m_D \nu_L + \nu_L^T \frac{\lambda^2 \nu^2}{M} \nu_L$$

THE 2 TERMS HAVE THE SAME
FORM, THE SAME TRANSF.

PROPERTIES UNDER $\nu_L' = U \nu_L$,

BUT DIFFERENT ORIGINS

[e.g. in GUT'S m_D RELATED
TO q & l DIRAC MASSES]

THEY CAN BE OF COMPARABLE
OR OF VERY DIFFERENT SIZE

[e.g. $1/M_{\text{GUT}}$ vs $1/M_{\text{Pl}}$]

NUCLEON MASSES AND COSMOLOGY

$$\rho_0 \equiv \Omega \rho_c = \Omega \frac{3H_0^2}{8\pi G} = \Omega h^2 \cdot 11 \frac{\text{keV}}{\text{cm}^3}$$

$$H_0 = 100 h \text{ km/s/Mpc}$$

$$\Omega = \Omega_m + \Omega_\Lambda = 1 \quad (\text{FAVOURABLE BY INFLATION})$$

$$\text{EXP: } \Omega_\Lambda \approx 0.62 \pm 0.16 \pm ? ; \Omega_m \approx 0.24 \pm 0.10 \pm ?$$

$$\Omega \approx 1 \pm 0.2 \pm ?$$

$$\Omega_m h^2 \approx 0.12 \pm 0.05$$

$$h \approx 0.7 \pm 0.2$$

$$\Omega_\nu \lesssim 0.3 \Omega_m$$

$$\Omega_\nu h^2 \lesssim 0.04 \div 0.06$$

AT DECOUPLING ($T \sim \text{MeV}$):

$$\frac{n_\nu}{n_\gamma} \approx \frac{3}{11}$$

(ν : LIGHT NEUTRINO)
WITH $m_\nu < \text{MeV}$)

$$n_\gamma \sim 400/\text{cm}^3 \Rightarrow n_\nu \sim 110/\text{cm}^3$$

$$\rho_\nu = n_\nu m_\nu = 110 m_\nu/\text{cm}^3 = \Omega_\nu h^2 11 \frac{\text{keV}}{\text{cm}^3}$$

$$\Sigma m_\nu \lesssim \Omega_\nu h^2 100 \text{ eV} \lesssim 6 \text{ eV}$$

$$m_\nu \sim 0.05 \text{ eV} \Rightarrow \Omega_\nu \sim 10^{-3} \quad [\Omega_{\text{stars}} \approx 5 \cdot 10^{-3}]$$

IMPRESSIVE EXP! RESULTS ON COSMOLOGICAL PARAMETERS

$$H_0 \sim 0.6 - 0.7 \cdot 100 \text{ km/Mpc s}$$

Hubble Telescope
(age of universe)

$$\Omega_{\text{TOT}} = \Omega_m + \Omega_\Lambda = 1 \quad (\text{INFLATION})$$

1st acoustic peak : Boomerang, Maximum

$$\Omega_m = \Omega_B + \Omega_{DM} \approx 0.35$$

LENSING

↓
0.03

Nucleosynthesis

↓
~0.3

MASS DIST'N
AT LARGE
SCALES

$$\Omega_\Lambda \approx 0.65$$

WHY SO SMALL?

WHY NOW? (QUINTESSENCE)

$$m = 1$$

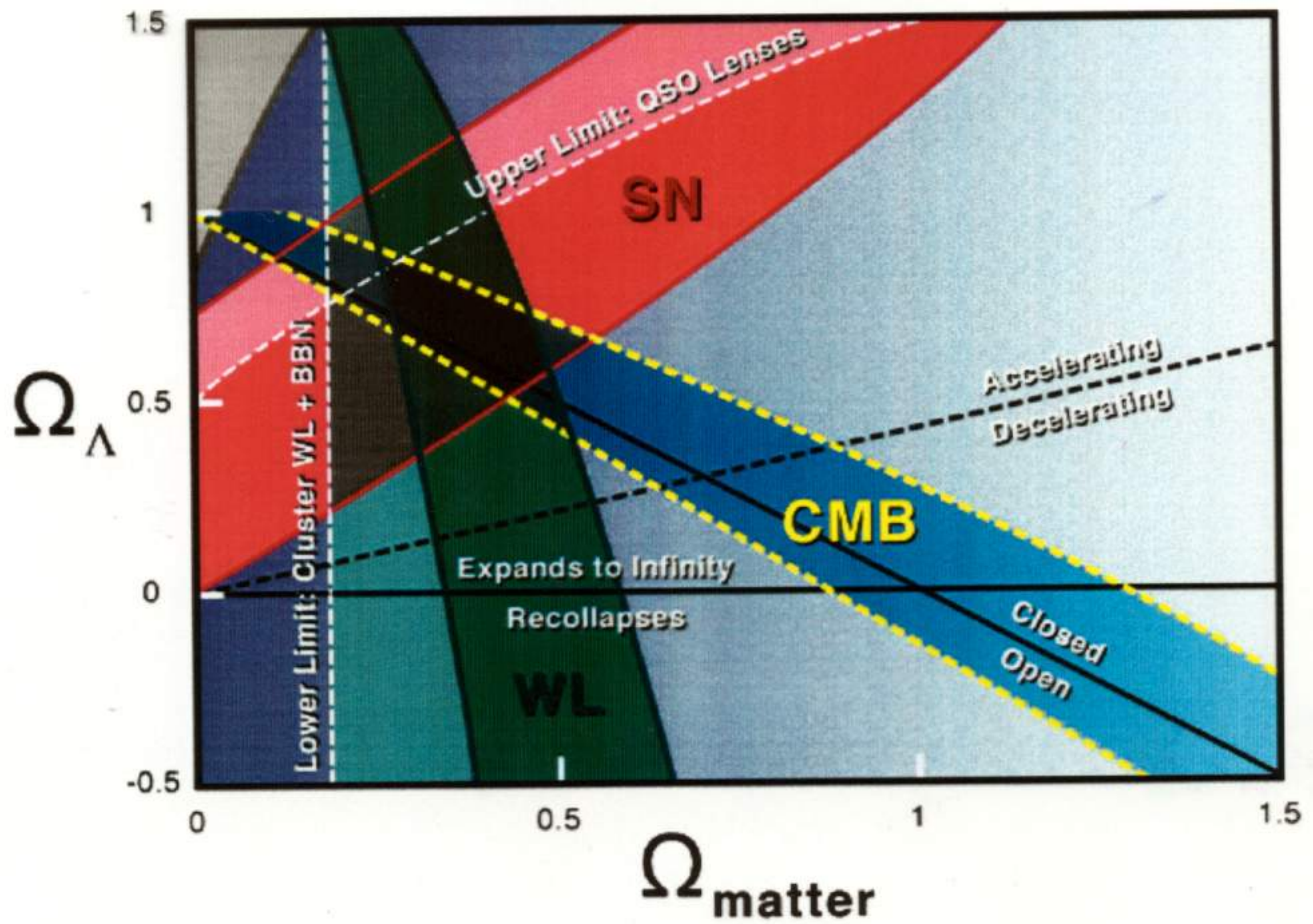
Supernovae

(age of universe)

INHOMOGENEITY OF
MASS DIST'N

FT $\rho \approx k^m$ (ν LARGE)

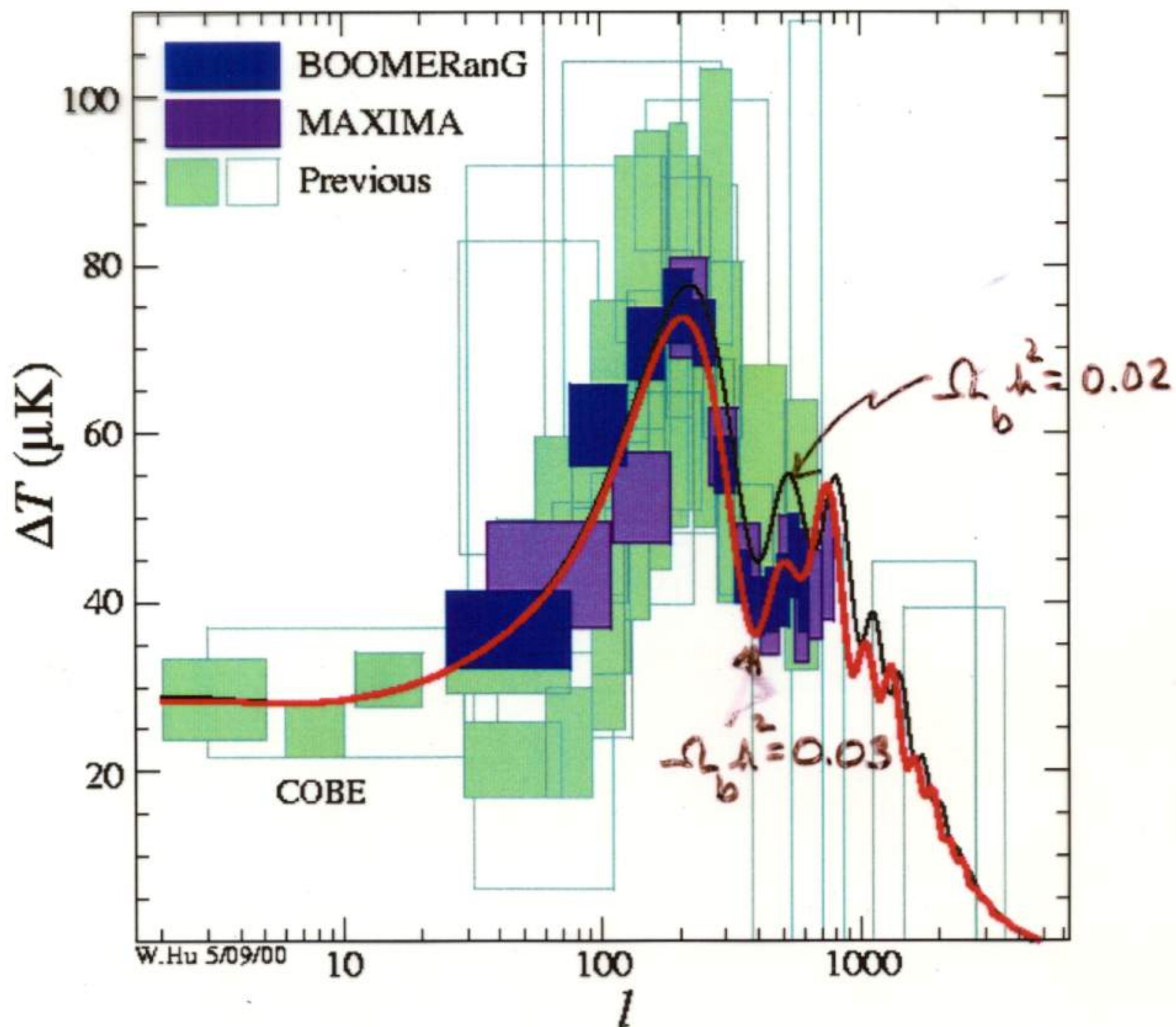
Status 2000



T. Tyson

Current Power Spectrum:

BOOMERanG and MAXIMA



Notes: black curve: LCDM $\Omega_m=0.35$, $h=0.65$, $\Omega_b h^2=0.02$, $n=1$; red curve: LCDM $\Omega_m=0.4$, $h=0.8$, $\Omega_b h^2=0.03$, $n=1$. Click on figure to download postscript version

BOOMERanG Nature Article: [Nature 404, 955 \(2000\)](#)

News and Views for the lay-scientist: [Nature 404, 939 \(2000\)](#)

[FAQ](#) on the BOOMERanG result

[MAXIMA](#)

[Background Material](#)

W. Hu

n : POWER-LAW INDEX OF DENSITY PERTURBATIONS

$$n = 0.99 \pm 0.07$$

(INFLATION $n \approx 0.7 - 1.2$)

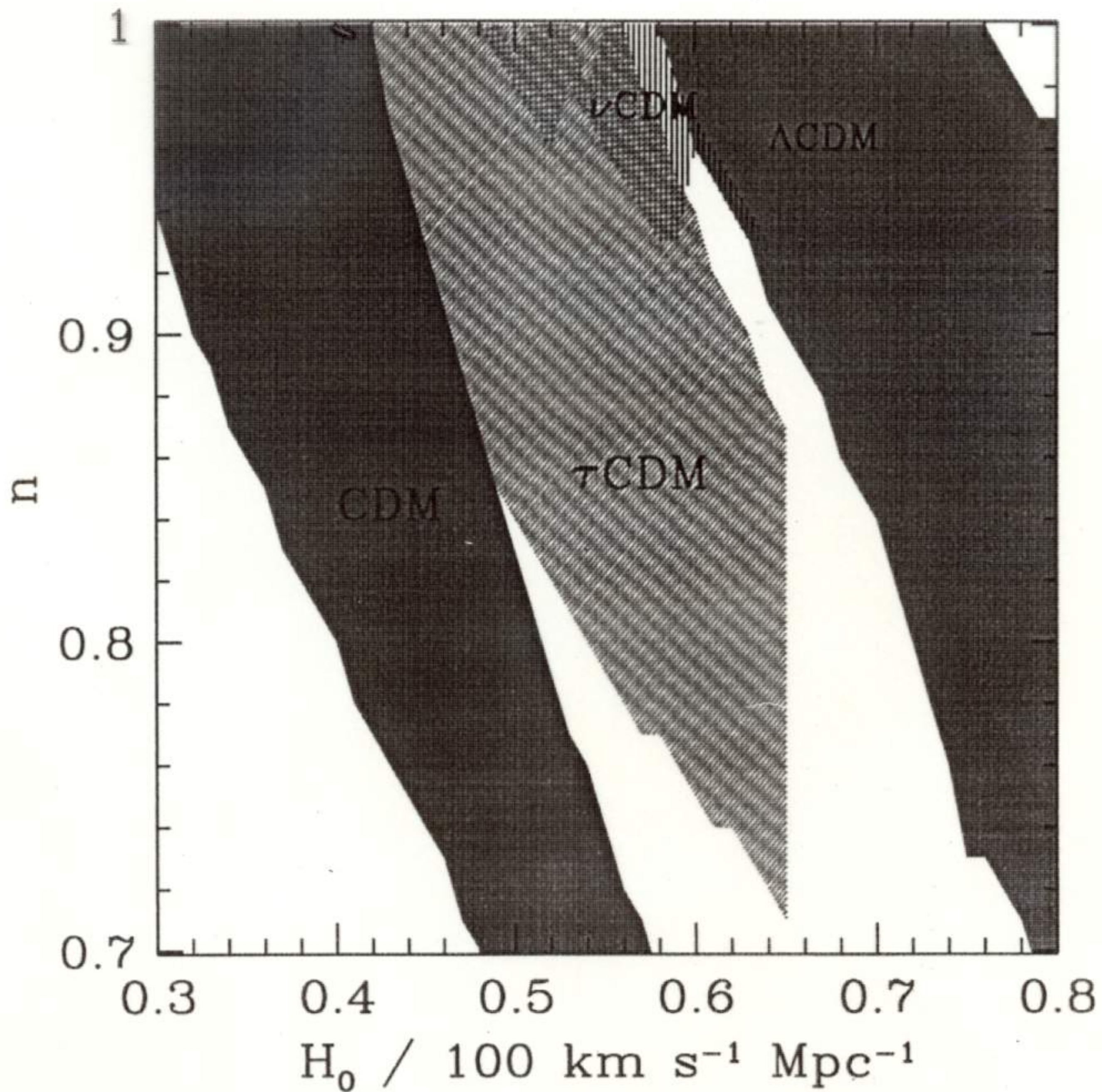


Figure 13: Acceptable cosmological parameters for different CDM models, as are characterized by their invisible matter content: simple CDM (CDM), CDM plus cosmological constant (Λ CDM), CDM plus some hot dark matter (ν CDM), and CDM plus added relativistic particles (τ CDM) (from Dodelson *et al.*, 1996).

ν CDM HAS AN ISLAND OF VIABILITY AROUND $H_0 \sim 0.6$
 $n \sim 0.95$

FOR $n \sim 1$ AND $H_0 > 0.6 \Rightarrow \Lambda$ CDM

BARYOGENESIS $n_B/n_\gamma \sim 10^{-10}$, $n_{\bar{B}} \ll n_B$

Conditions for BG: (Sacharov '67)

- B non conserv'n ---> obvious
- C, CP non conserv'n ---> $B - \bar{B}$ odd under C, CP
- No thermal equilibrium ---> $n = \exp[(\mu - E)/kT]$
at equilibrium $\mu_B = \mu_{\bar{B}}$
 $m_B = m_{\bar{B}}$ by CPT

Warning: If different phases of BG exist at different scales the asymmetry created by one out-of-equilibrium phase at larger mass may be erased in subsequent equilibrium phases.

-----> BG at lowest scale best

$M \rightarrow m_1, m_2, \dots$

(M heavy, B non cons. decay, out of eq.) --> BG

$m \rightarrow \mu_1, \mu_2, \dots$ (B non cons. decay, in eq.) -->

-----> BG washed out

Possible epochs for BG

- **BG at the EW scale** $T_{EW} \sim 0.1-10 \text{ TeV}$
(Rubakov, Shaposhnikov; Cohen, Kaplan, Nelson; Quiros.....)

In SM:

- **B non cons. by instantons** 't Hooft
(negligible at $T=0$ but large at $T=T_{EW}$)
B-L conserved!!

- **CP viol. by CKM phase**
Enough?? By general consensus far too small

- **Out of equilibrium during the EW phase transition**

Needs strong 1st order phase trans. (bubbles):

Only possible for $m_H \leq 80 \text{ GeV}$

NOW EXCLUDED BY LEP!

BG at the weak scale possible in MSSM?

- Additional sources of CP viol.
- Bounds on m_H modified by scalars with strong couplings to Higgs sector
(e.g s-top)

Requires:

$$m_h \leq 80-100 \text{ GeV}$$

$$m_{s\text{-top1}} \leq m_t$$

$$\tan \beta \sim 1.2 - 5 \text{ preferred}$$

LEP HAS
DECIDED:
NO!

Espinosa, Quiros, Zwirner; Giudice; Myint; Carena, Quiros, Wagner, Laine; Cline, Kainulainen; Farrar, Losada.....

- **BG at the GUT scale**

$T \sim 10^{12}-10^{15}$ GeV (after inflation)

Only survives if $\Delta(B-L) \neq 0$

(otherwise is washed out at $\geq T_{EW}$ by instantons).

A most attractive possibility:

BG via leptogenesis

ν_R are heavy: $M \sim 10^{12}-10^{15}$ GeV

L viol. in ν_R out-of-equilibrium decay:

B-L excess is created that at T_{EW} survives and produces B viol.

Quantitative studies show that for $m_3 \gg m_{1,2}$, the range of $m_{1,2}$ from ν oscillations is compatible with BG via LG

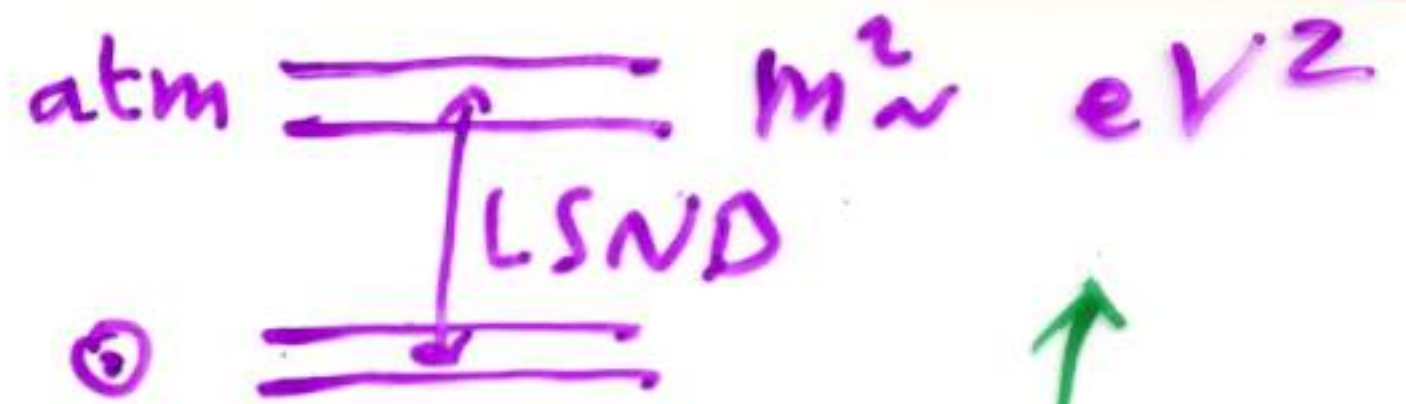
$$10^{-6} \leq m_{1,2} \leq 10^{-2} \text{ eV}$$

(Buchmuller, Yanagida; Plumacher; Ellis, Lola, Nanopoulos; Giudice et al.....)

THERE ARE MANY ALTERNATIVE MODELS

- $\geq 4 \nu$'s (LSND)

$\nu_{\text{STERILE}} ??$



↑
HOT
DARK
MATTER

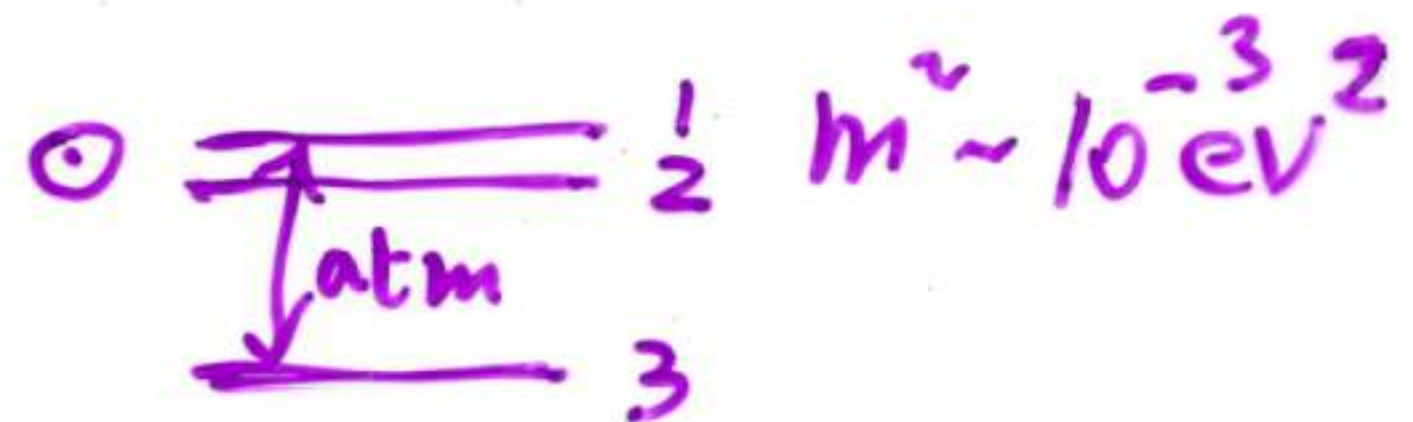
- 3ν 's (NO LSND)

- DEGENERATE

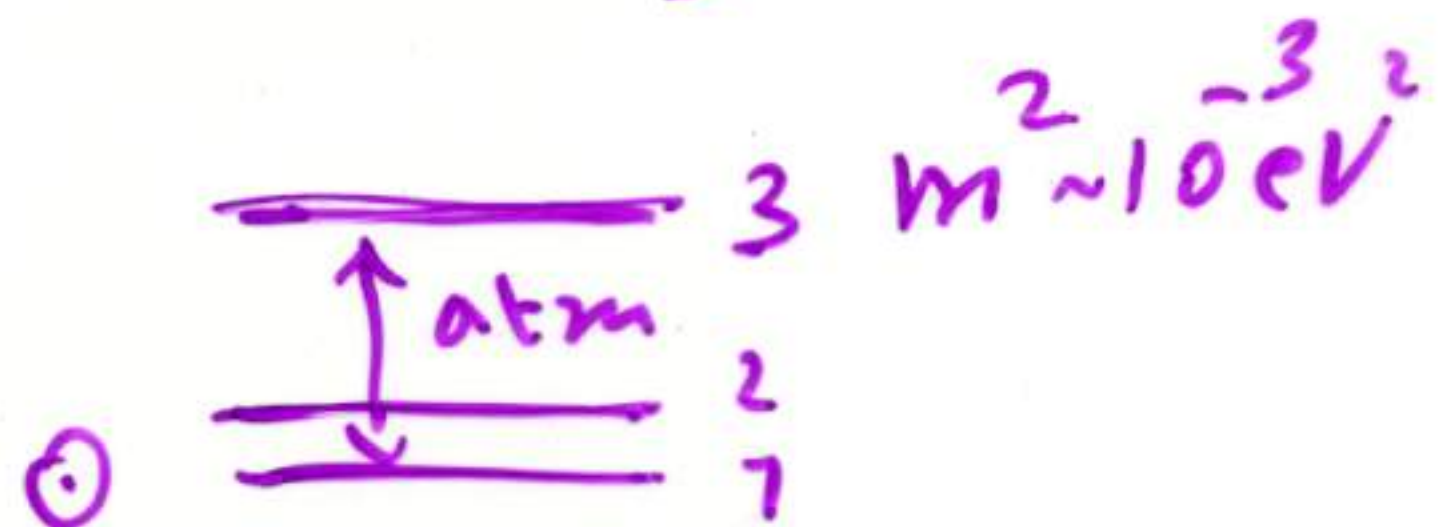
$\equiv m^2 \sim eV^2$

$0 \nu \beta \beta$ CLOSE TO LIMIT

- INVERSE HIERARCHY



- NORMAL HIERARCHY



I WILL ARGUE FOR THIS CASE

$$m_\nu \sim m_{\text{Dirac}}^T M^{-1} m_{\text{Dirac}}$$

DOMINANCE
OF SEE-SAW

CONNECTION TO q, l MASSES VIA GUT'S

↑
GUT'S
MODELS AND
IDEAS

- $SU(5) \otimes U(1)$ HORIZONTAL MODELS
- FROM MINIMAL TO "REALISTIC" $SU(5)$
- $SU(5)$ FROM EXTRA-DIMENSIONS

ν_R is a heavy "sterile" neutrino:

sterile: no gauge int's
 ν_R has: colour = $t_3^W = Q = 0$

ν_L is a light "active" neutrino:

LEP: $N_{\nu_L} = 3$

Are there light sterile neutrinos?

-----> Is LSND signal true?

LSND + Solar + Atm. Oscill's

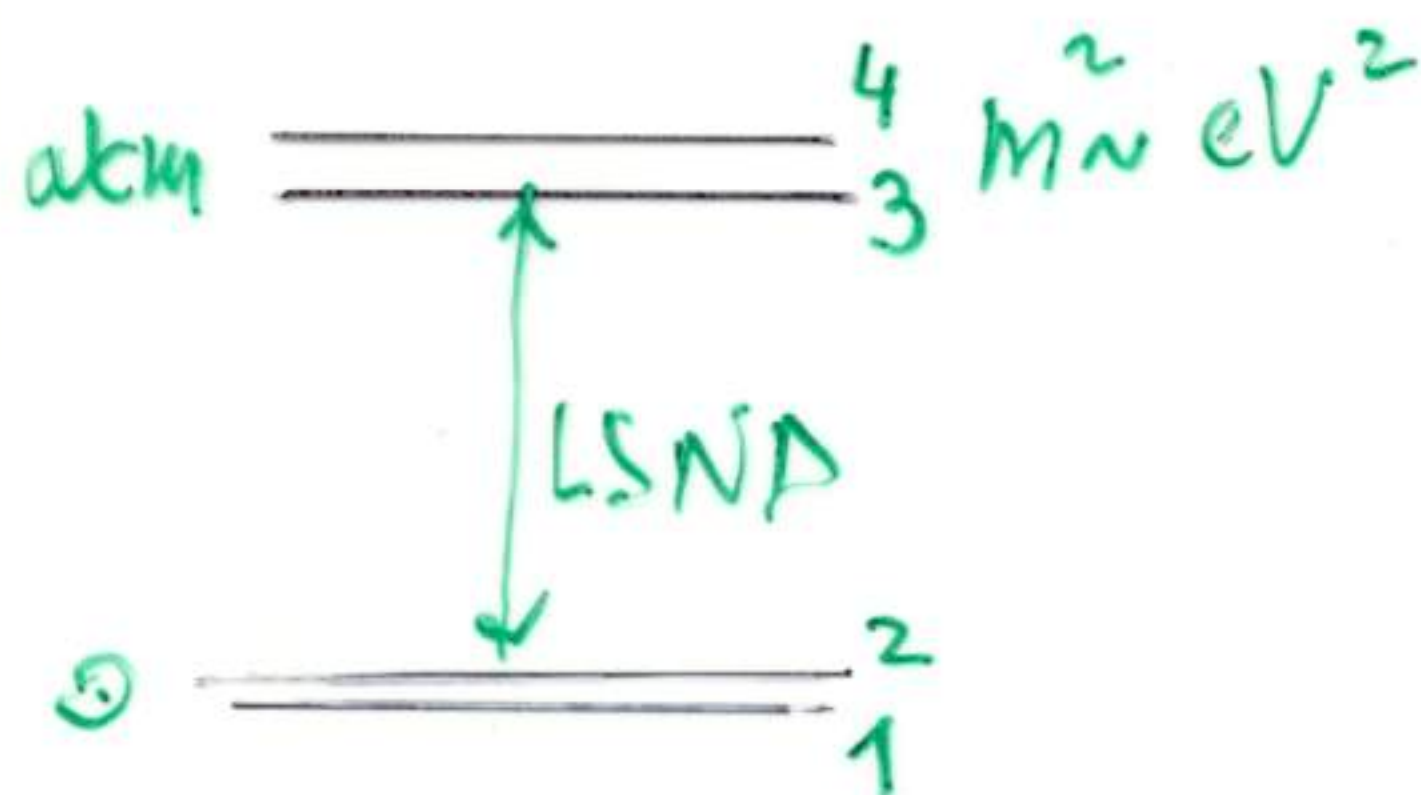
-----> at least 4 light ν 's

LSND not double checked (MiniBoone)
KARMEN did not confirm

Perhaps will fade away. But if right
LSND + Solar + Atm -----> ≥ 4 ν 's
or ≥ 1 light sterile ν 's

4-V Models

Typical configuration (Bilenky et al; Barger et al; Gonzales-Garcia et al)



- Can be compatible with hot dark matter, $m_{4,3} \sim 2 \text{ eV}$
- Pure two-neutrino $\nu_e \leftrightarrow \nu_s$ oscill's disfavoured for solar

Viabale alternatives:

- 6- $\rightarrow$ 4 mixing angles: $\nu_e \leftrightarrow \nu_s + \nu_a$
(a: active= μ, τ) for solar Gonzales-Garcia et al; Fogli, Lisi
- A K-K tower of sterile ν_s (extra-dim models)

Since ν_s mixings better be small
(limits from weak processes, supernovae, nucleosynthesis)
the preferred solar neutrino solution is
MSW-SA

Sterile ν 's from extra dimensions

Context:

Large extra dimensions

Gravity propagates in all dim (bulk)

SM particles on a 4-dim brane

$$\begin{aligned}d &= n + 4 \\(m_s R)^n &= (M_P/m_s)^2 \\m_s &\sim \text{TeV}\end{aligned}$$

Assume 1 very large dim: $1/R \leq 0.01 \text{ eV}$
+ $n-1$ smaller ($1/\rho \geq \text{TeV}$)

$$\begin{aligned}(m_s R) (m_s \rho)^{n-1} &= (M_P/m_s)^2 \\&\text{or} \\(m_s R) &= (M_P/m_s)^2\end{aligned}$$

V_s : SUSY partners of gravitational moduli
(string th.)

Also propagate in the bulk

(Arani-Hamed et al; Benakli and Smirnov, Dvali and Smirnov, Faraggi and Pospelov, Mohapatra et al, Ioannisian and Pilaftis, Ioannisian and Valle, Barbieri et al, Lukas et al; Dienes and Sarcevic, Caldwell et al;

Good Features

Caldwell et al, Mohapatra et al, Lukas et al

- A "physical" picture for v_s .
- v_s has KK recurrences:

$$v_s(x,y) = 1/\sqrt{R} \sum_n v_s^{(n)}(x) \cos(ny/R)$$

with: $m_{vs} = n/R$

and mixes with L :

$$h(m_s/M_P) L v_s^{(n)} H$$

[the suppression factor (m_s/M_P) is automatic from the bulk volume!]

- Interference among a few KK states make spectrum compatible with solar data

$$P(v_e \rightarrow X) = \sum_n m_e^2 / (M_e^2 + n^2/R^2)$$

$$1/R \sim 10^{-2} - 10^{-3} \text{ eV}$$

$$R \sim 10^{-3} - 10^{-2} \text{ cm: very close to limits!!}$$

Problems

- GUT's? Connection with GUT's?
- What forbids (on the brane)

$$1/m_s L^T \lambda L H H \quad ??$$

Recall that m_s is small $\sim \text{TeV}$

- ν_e, ν_μ, ν_τ ??
- Only 1 large extra dim has problems
(linear evolution of couplings from 0.01 eV to TeV) Antoniadis, Bachas; Arkani Hamed et al
But more large extra dim

$$\begin{aligned} P(\nu_e \rightarrow X) &= \sum_n m_e^2 / (M_e^2 + n^2/R^2) \\ &= \int m_e^2 n^{d-1} dn / (M_e^2 + n^2/R^2) \end{aligned}$$

High KK states do not decouple fast enough,
mixing large.
Compromise $d=2$?

3-V Models

$$\Delta m_{\text{atm}}^2 = m_3^2 - m_{1,2}^2 \sim 2.5 \cdot 10^{-3} \text{ eV}^2$$

$$\Delta m_{\text{sun}}^2 = m_2^2 - m_1^2 \ll \Delta m_{\text{atm}}^2$$

Possible configurations

Degenerate

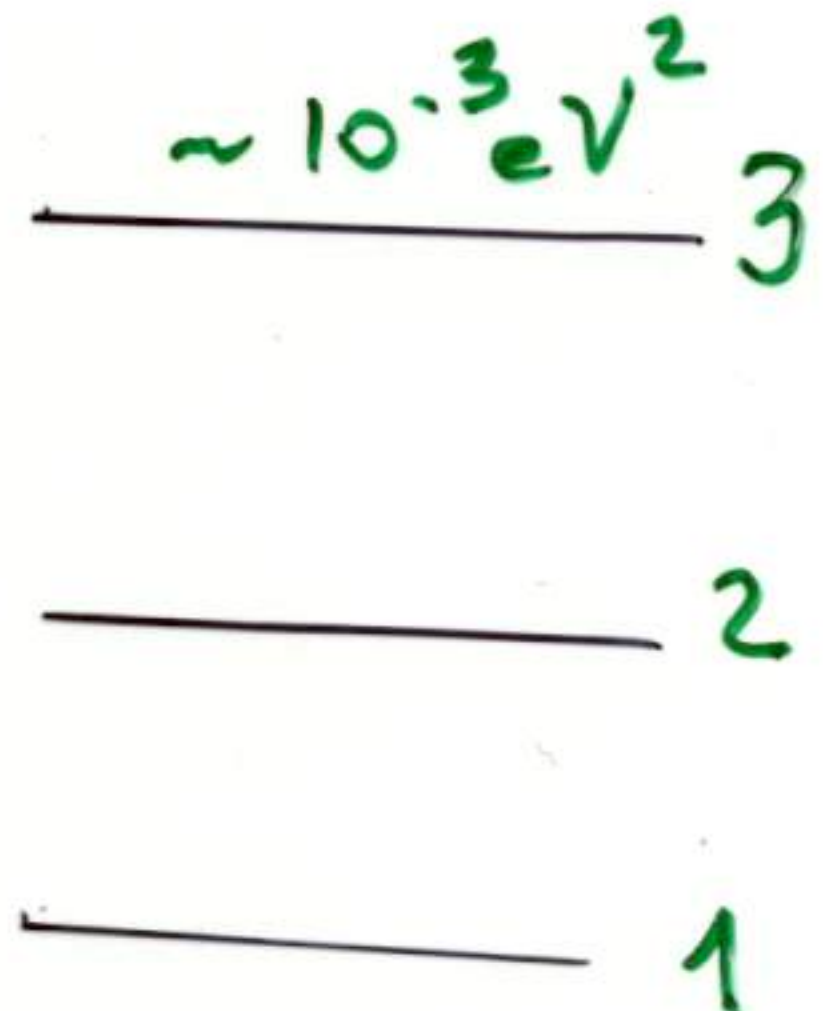
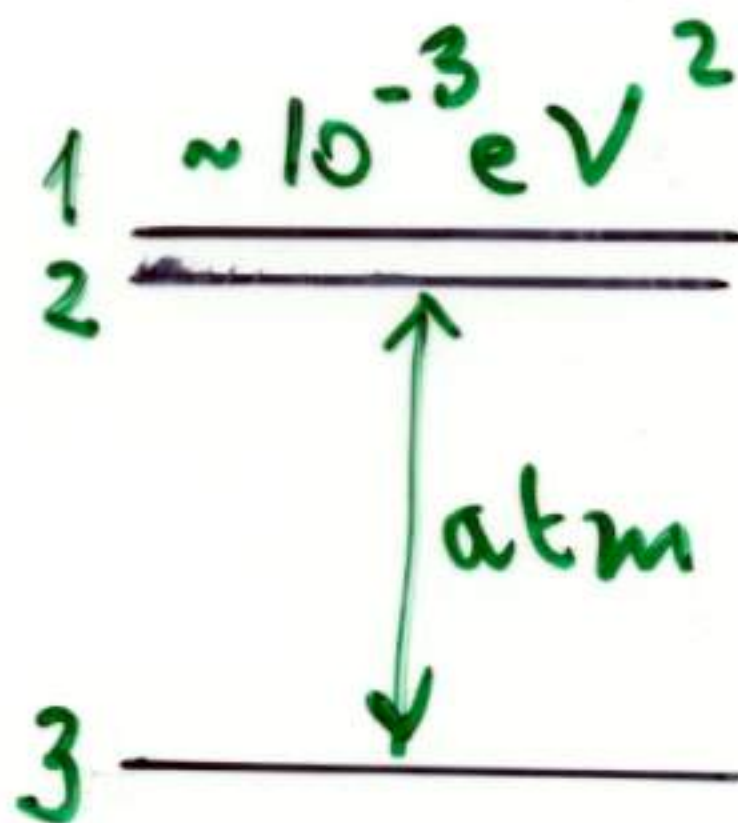
Inverted

Hierarchical

m^2 

$$m^2 \gg \Delta m^2$$

$m \sim 2 \text{ eV}$
for HDM



$0\nu\beta\beta$ CLOSE
TO EXP. LIMIT


NO HDM

Degenerate V 's

- Compatible with hot dark matter ($m \sim 2 \text{ eV}$)
- Limits on m_{ee} from $0\nu\beta\beta$ imply double maximal mixing (bimixing) for atmospheric and solar oscill's:

Vissani; Georgi, Glashow.

$$m_{ee} = m_1 \cos^2 \theta_{12} + m_2 \sin^2 \theta_{12}$$
$$m_{ee} \leq 0.3 - 0.5 \text{ eV (exp.) needs}$$

$$m_1 = -m_2$$

and

$$\cos^2 \theta_{12} \sim \sin^2 \theta_{12}$$

[Note: $\sin^2 2\theta > 0.99 \rightarrow \cos^2 \theta - \sin^2 \theta < 0.1$]

$0\nu\beta\beta$ near the bound would be a signal!!

- For naturalness $\Delta m/m$ cannot be too small (e.g. vacuum sol. $\Delta m/m \sim 10^{-11}$)
MSW-LA would be preferred in this respect. But is θ_{12} sufficiently maximal?

- For degenerate ν 's see-saw dominance is unlikely:

See-saw: $m_\nu = m_D^T M^{-1} m_D$

We expect m_D to be hierarchical as for q & l
(conspiracy between m_D and M unpalatable)

More likely:

Degenerate ν 's from dim-5 operators

$$1/M \bar{L}^T \lambda L H H$$

unrelated to m_D and q & l .

- For $m \sim 2$ eV, $\nu \sim 200$ GeV, $\lambda \sim 1$:

$$M \sim 10^{13} \text{ GeV} \quad \text{Somewhat low?}$$

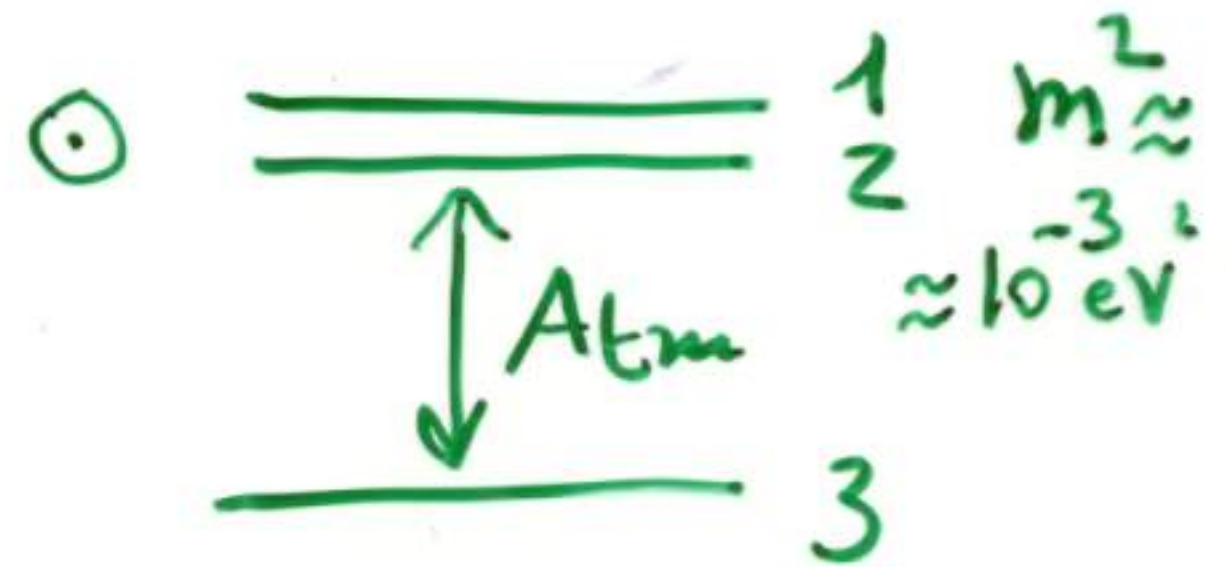
Inverted hierarchy

Joshipura et al; Mohapatra et al; Jarlskog et al;
Frampton and Glashow; Barbieri et al.....; Zee

Provides interesting models for bimixing.

$$U = \begin{bmatrix} 1/\sqrt{2} & -1/\sqrt{2} & 0 \\ 1/2 & 1/2 & -1/\sqrt{2} \\ 1/2 & 1/2 & 1/\sqrt{2} \end{bmatrix}$$

$$m_{\text{diag}} = M \begin{bmatrix} 1 & & \\ & -1 & \\ & & 0 \end{bmatrix}$$



$$U m_{\text{diag}} U^+ = \frac{1}{\sqrt{2}} \begin{bmatrix} 0 & M & M \\ M & 0 & 0 \\ M & 0 & 0 \end{bmatrix} \text{ (in flavour basis)}$$

- From dim-5 $L^T L H H$
- Approximate $L_e - L_\mu - L_\tau$ symmetry.
- 1-2 degeneracy stable under rad. correct's.
- Prefers VO or LOW for solar, but could be comp. with MSW-LA
(is mixing large enough?)

SIGN OF Δm_{32}^2 : (MATTER EFFECTS)

LONG BASELINE EXP'S
[ν FACTORIES]

S. Geer

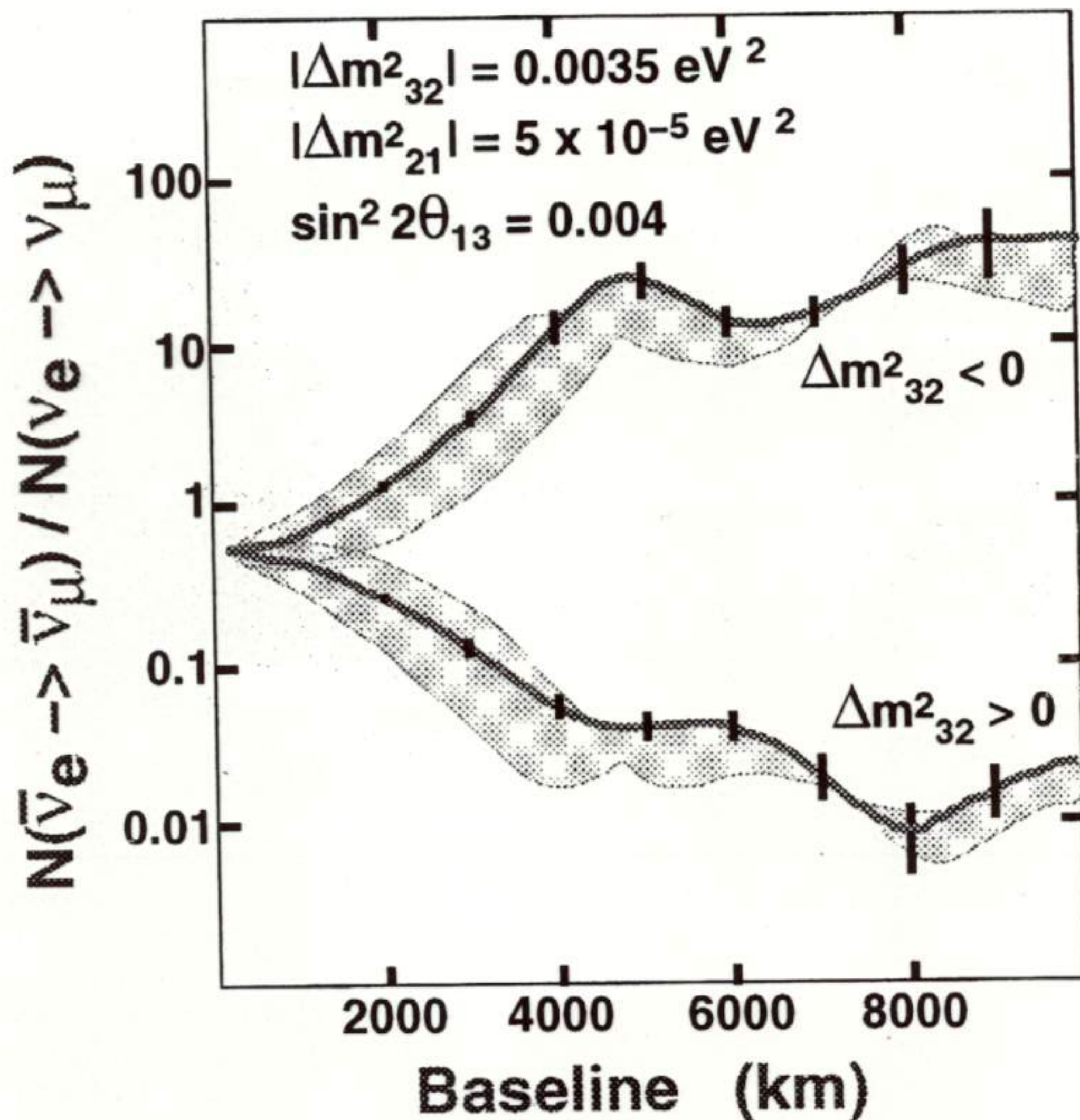
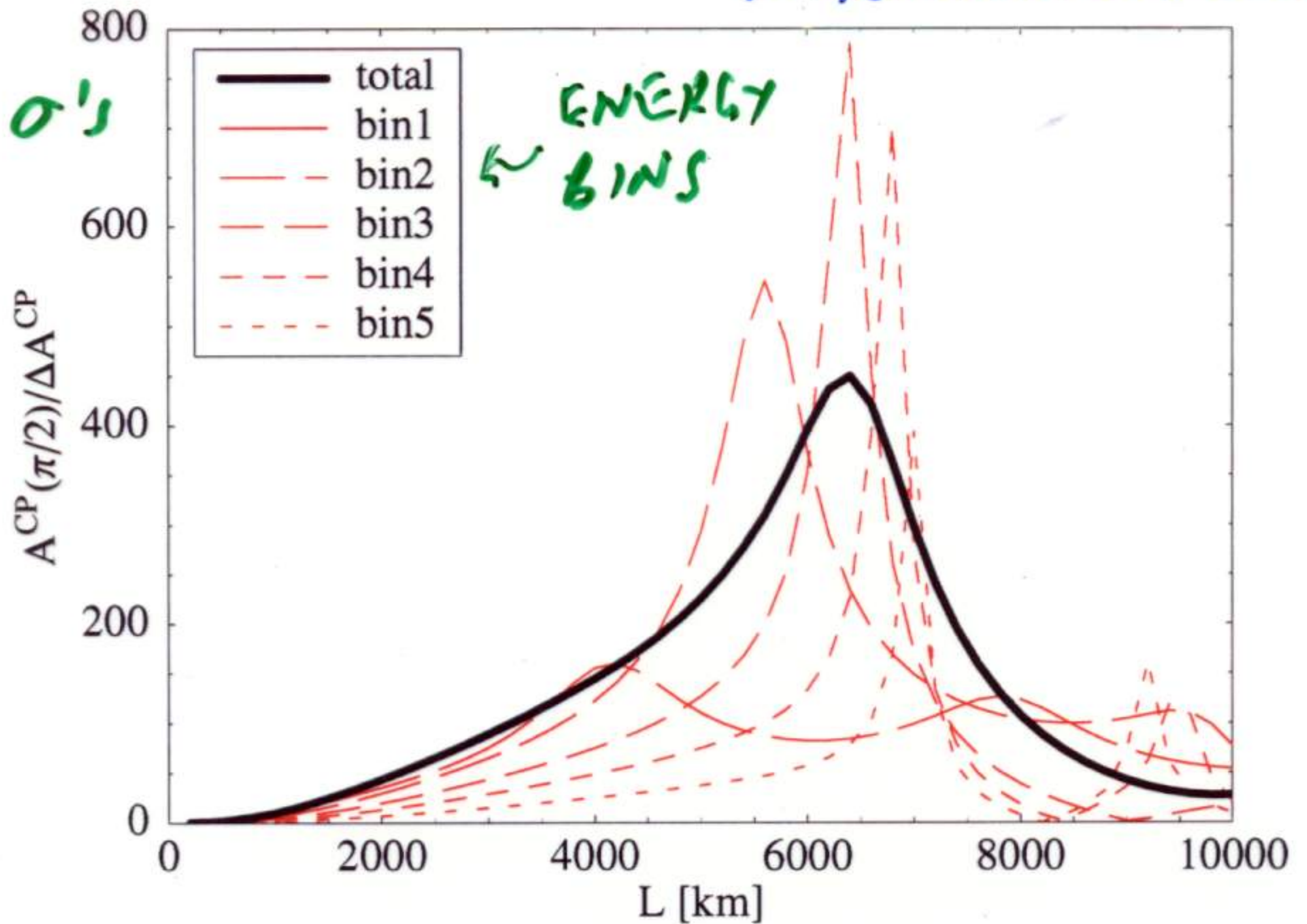


Figure 6: Measuring δ and $\text{sign}(\Delta m_{32}^2)$ at a Neutrino Factory. In this plot the bands correspond to varying δ between 0 and $\pi/2$, and the error bars are estimated for a high performance Neutrino Factory (taken from ref.[20].)

MSW-SA

P. Hernandez et al

of σ 's



FAKE CP ASYMMETRY
INDUCED BY MATTER

2

EFFECTS

THE SENSITIVITY TO $\text{sign}[\Delta m_{23}^2]$
IS DIRECTLY THIS ONE

Hierarchical neutrinos

- Assume 3 widely split light neutrinos
- $SO(10) \rightarrow \nu_R$ + assume see-saw dominant:

$$m_\nu \sim m_D^T M^{-1} m_D$$

Maximally constraining: Gut's relate q , l , ν masses!!

- For u , d , l Dirac mass matrices:
the 3rd generation eigenv. dominant.
- It is natural to assume this is also true for m_D^ν : $\text{diag } m_D^\nu \sim (0, 0, m_{D3})$
- After see-saw, $m_\nu \sim m_D^T M^{-1} m_D$, in general will be even more hierarchical:
fine tuned compensation between m_D and M unlikely.

- A possible problem:

We need both large m_3 - m_2 splitting and large mixing in 2-3 sector.

$$m_3 \sim (\Delta m_{\text{atm}}^2)^{1/2} \sim 5 \cdot 10^{-2} \text{ eV}$$

$$m_2 \sim (\Delta m_{\text{sol}}^2)^{1/2} \sim \begin{matrix} 2 \cdot 10^{-3} \text{ eV} & - & 10^{-5} \text{ eV} \\ \text{(MSW)} & & \text{(VO)} \end{matrix}$$

- The "theorem" that large Δm_{32} implies small mixing (pert. th.: $\theta_{ij} \sim 1/|E_i - E_j|$) is not true in general:

All we need is (sub)det[23] ~ 0

NOTE: for MSW-LA the splitting could be by a factor of ~ 10 only: a factor of 3 in m_D easily becomes a factor of 10 in

$$m_\nu \sim m_D^T M^{-1} m_D$$

Example

$$m_{23} \sim \begin{bmatrix} x^2 & x \\ x & 1 \end{bmatrix}$$

$$\text{Det}[m_{23}] \sim 0$$

$$\text{Eigenvalues: } 0, 1+x^2$$

$$\text{Mixing: } \sin^2(2\theta) = 4x^2/(1+x^2)^2$$

For $x \sim 1$ large splitting and large mixing!

So we need mechanisms for $\text{Det}[m_{23}] \sim 0$ automatic.

MECHANISMS FOR $\text{Det}[23] \sim 0$

$$m_\nu = m^T M^{-1} m$$

- ① A ν_R IS LIGHTEST AND
COUPLED TO μ & τ : King
Alexander
Burbicield

$$M \sim \begin{pmatrix} E & \\ & 1 \end{pmatrix} \rightarrow M^{-1} \sim \begin{pmatrix} 1/E & \\ & 1 \end{pmatrix} \approx \begin{pmatrix} 1/E_0 & \\ & 1 \end{pmatrix}$$

$$m_\nu \sim \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1/E_0 & \\ & 1 \end{pmatrix} \begin{pmatrix} a & c \\ b & d \end{pmatrix} \approx \frac{1}{E} \begin{pmatrix} a^2 & ac \\ ac & c^2 \end{pmatrix}$$

- ② M GENERIC, BUT $m \sim \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & x & 1 \end{pmatrix}$

$$M^{-1} \sim \begin{pmatrix} a & b & c \\ b & d & e \\ c & e & f \end{pmatrix}$$

$$m_\nu \approx f \begin{pmatrix} 0 & 0 & 0 \\ 0 & x^2 & x \\ 0 & x & 1 \end{pmatrix}$$

S.A, F. Feruglio

- Hierarchical neutrinos and see-saw dominance

$$m_\nu \sim m_D^T M^{-1} m_D$$

allow to relate q, l, ν masses and mixings-->
 --> **GUT's models**

- The correct pattern of masses and mixings, also including ν 's, is obtained in simple models based on

$$SU(5) \otimes U(1)_{\text{horizontal}}$$

- SO(10) models are often more predictive, but are based on specific textures from a set of special operators

(Albright, Barr; Babu et al; Buccella et al)

SIMPLEST FN ($\Rightarrow$ RANDOM)

PREDICTS

$$\begin{pmatrix} 0 & 0 & 0 \\ 0 & \tilde{1} & \tilde{1} \\ 0 & \tilde{1} & \tilde{1} \end{pmatrix}$$

$$\hookrightarrow \det M_{23} \neq 0 \text{ (d1)}$$

OR

$$m_2 \sim m_3$$

$\Theta_{\odot}$ SMALL

THUS SMA IS NO GOOD

$$\frac{m_3}{m_2} \sim 20-30 \sim \frac{1}{\lambda^3}$$

AND LA SOLUTIONS ARE
NO GOOD EITHER ($\Theta_{\odot}$ LARGE)

BUT ONE CAN DO MODELS
THAT WORK ($\det M_{23} \sim \lambda^n$,

$\Theta_{\odot}$ SMALL OR LARGE
(NEGATIVE CHARGES, MORE FLAVONS..)

The Far Future: ν Factories

- An intense beam of muons, $10^{21} \mu^+$,
 $E_\mu \sim 50 \text{ GeV}$.
- Long baseline $\sim 750\text{-}3000\text{-}7000 \text{ Km}$.
- Magnetized iron detector of $\sim 40 \text{ Kton}$.

$$\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu :$$

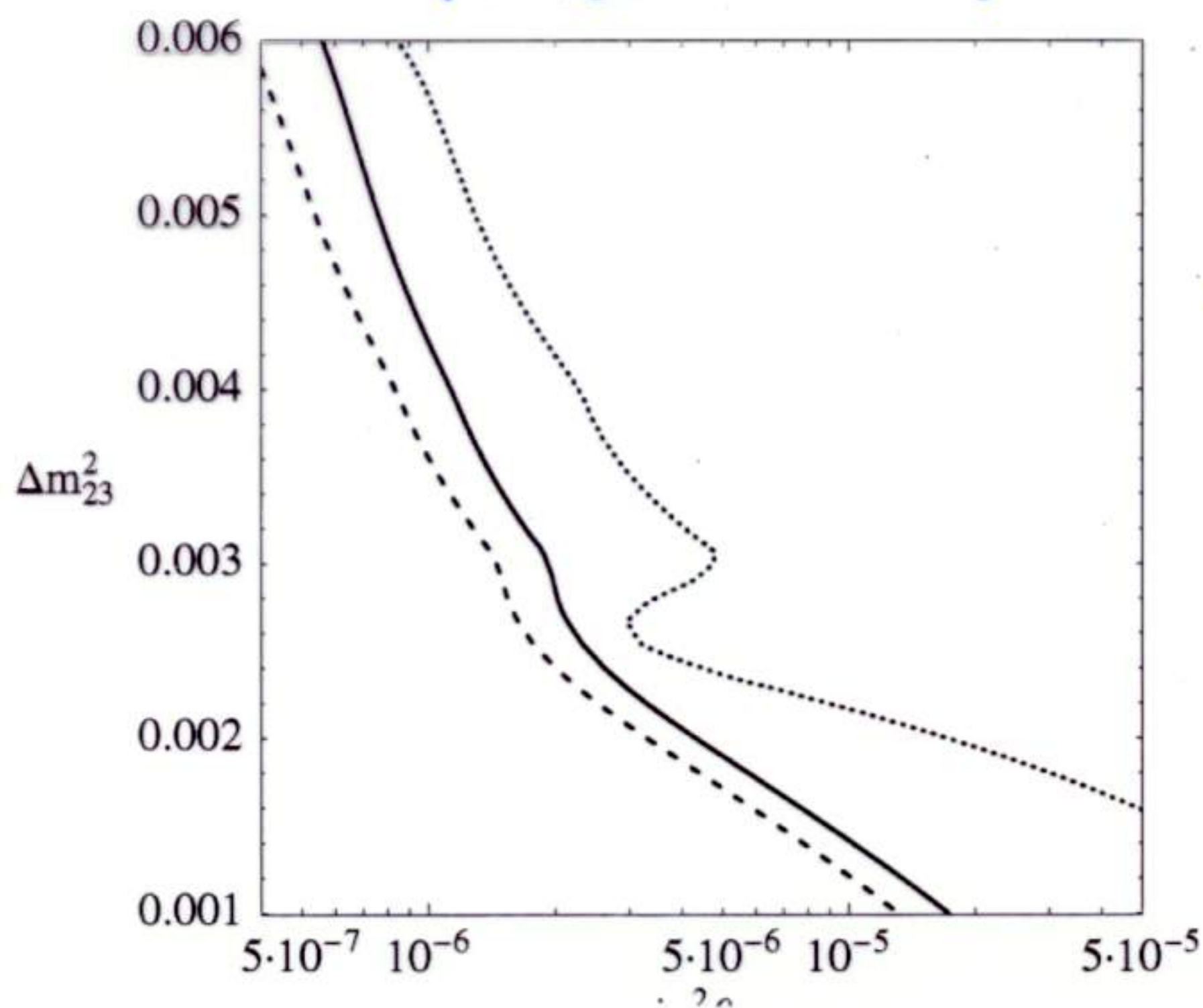
If ν_e oscillates into ν_μ produces "wrong sign" muons.

At the time of the ν factory Δm^2_{23} and $\text{tg}^2 \theta_{23}$ will be known precisely, not θ_{13} , δ and $\text{sign}[\Delta m^2_{23}]$.

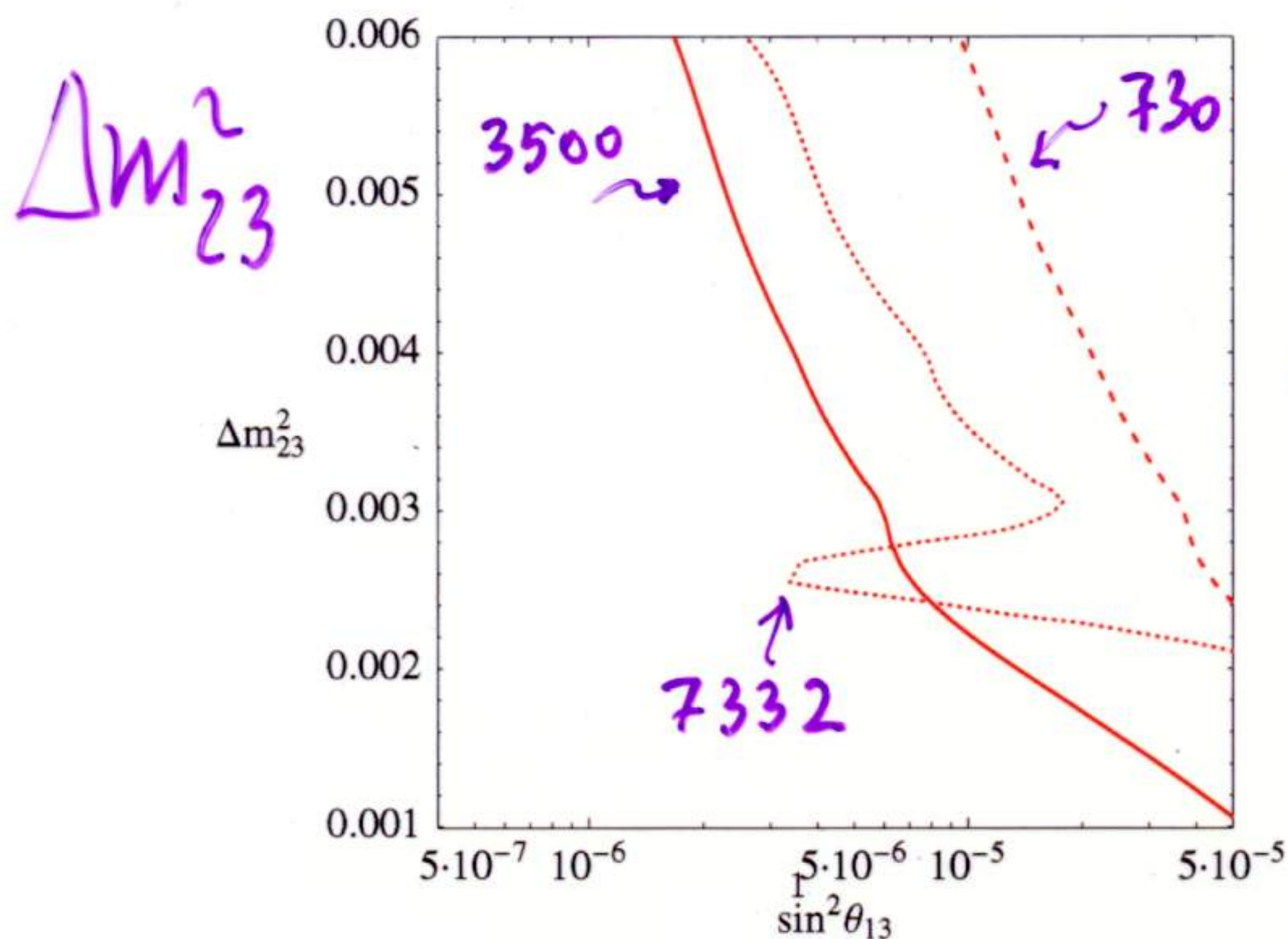
θ_{13} , δ and $\text{sign}[\Delta m^2_{23}]$ are goals of ν factories.

EXCLUSION PLOTS

P. Hernandez et al



ONLY
STATISTICAL
ERRORS



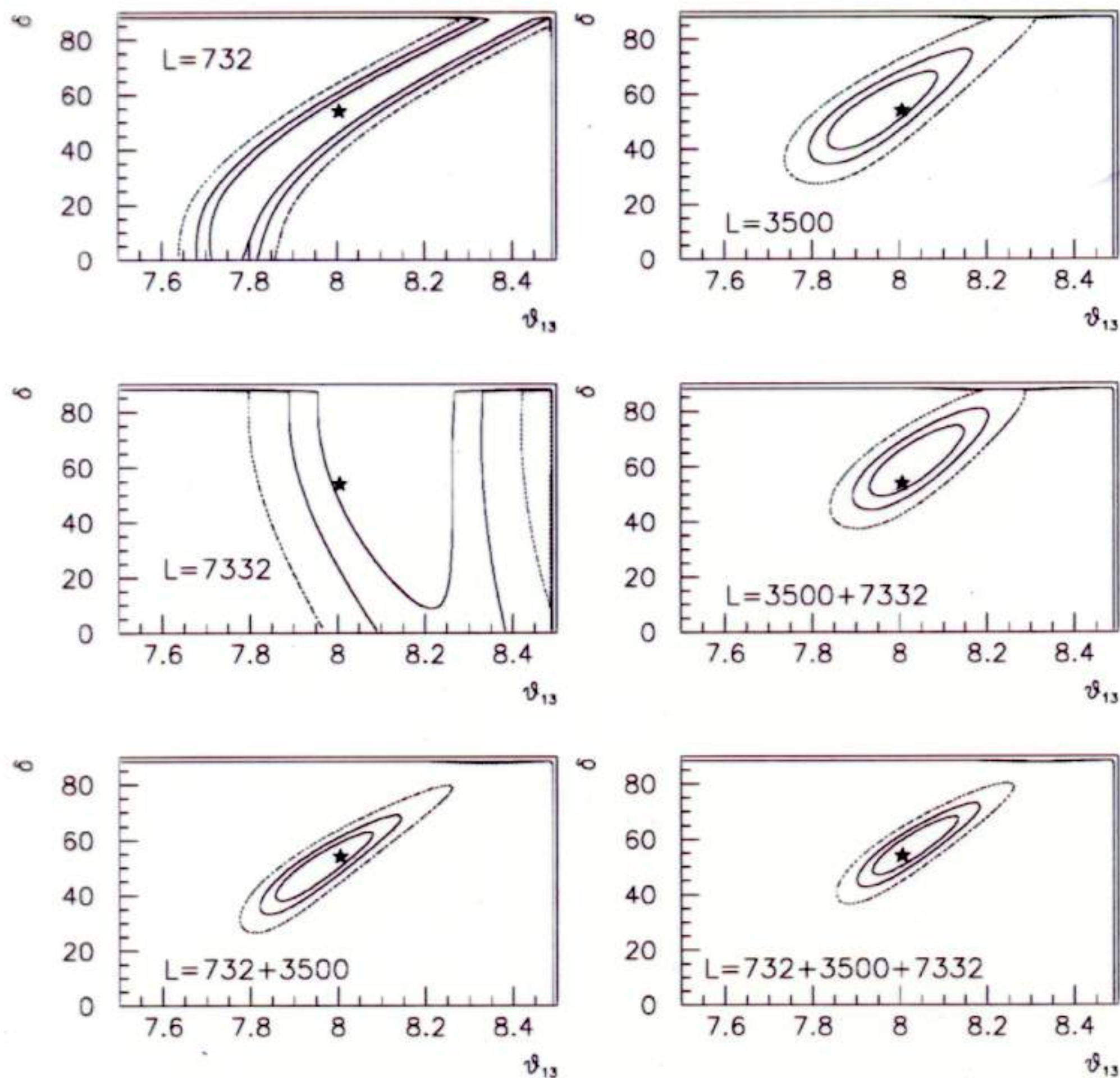
ALL
ERRORS
INCL.

$\sin^2 \theta_{13}$

FITS TO θ_{13} AND δ

~~CP~~ DETECTION REQUIRES LA

δ



θ_{13}

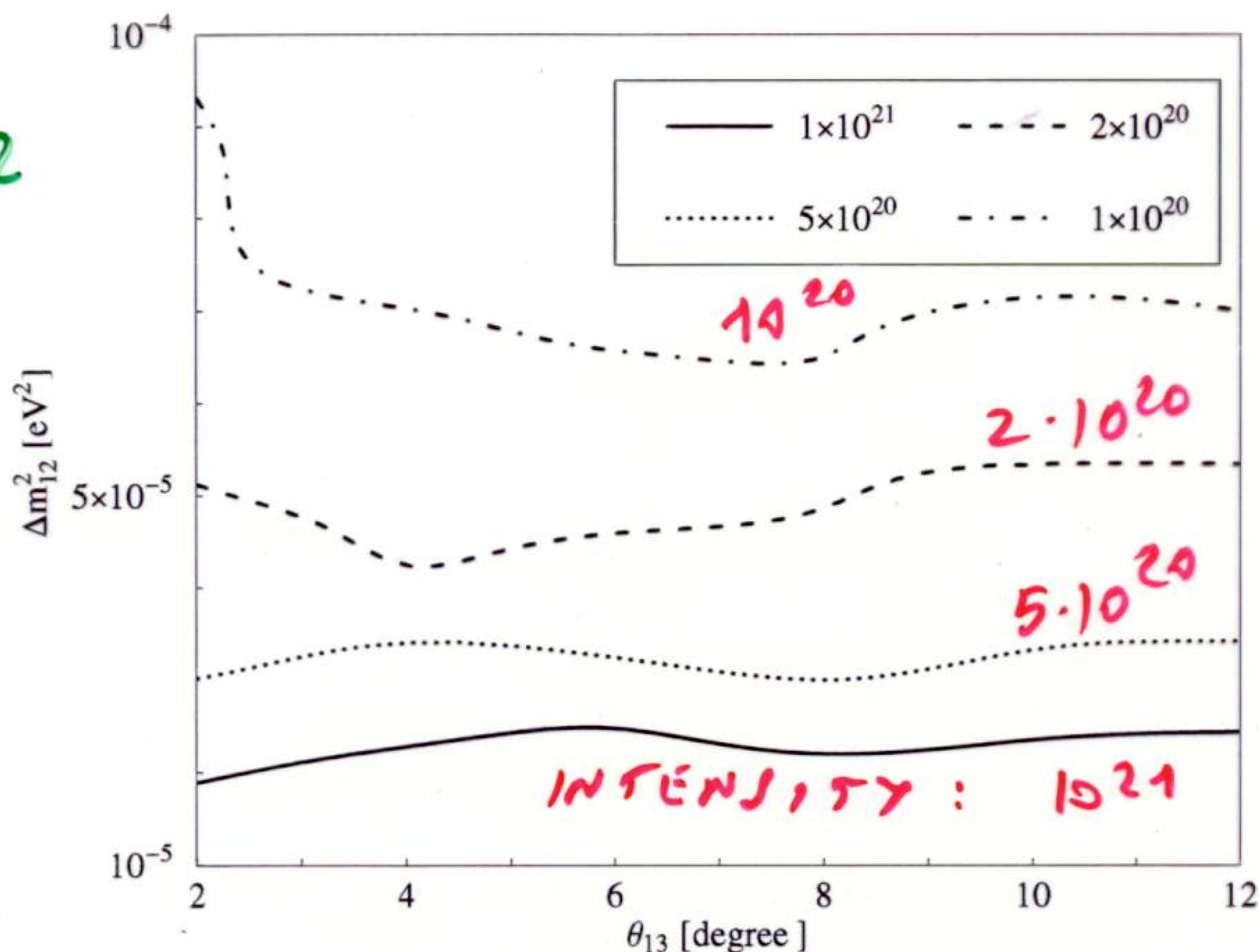
ASSUMED CENTRAL
VALUES

$$\theta_{13} = 8^\circ$$

$$\delta = 54^\circ$$

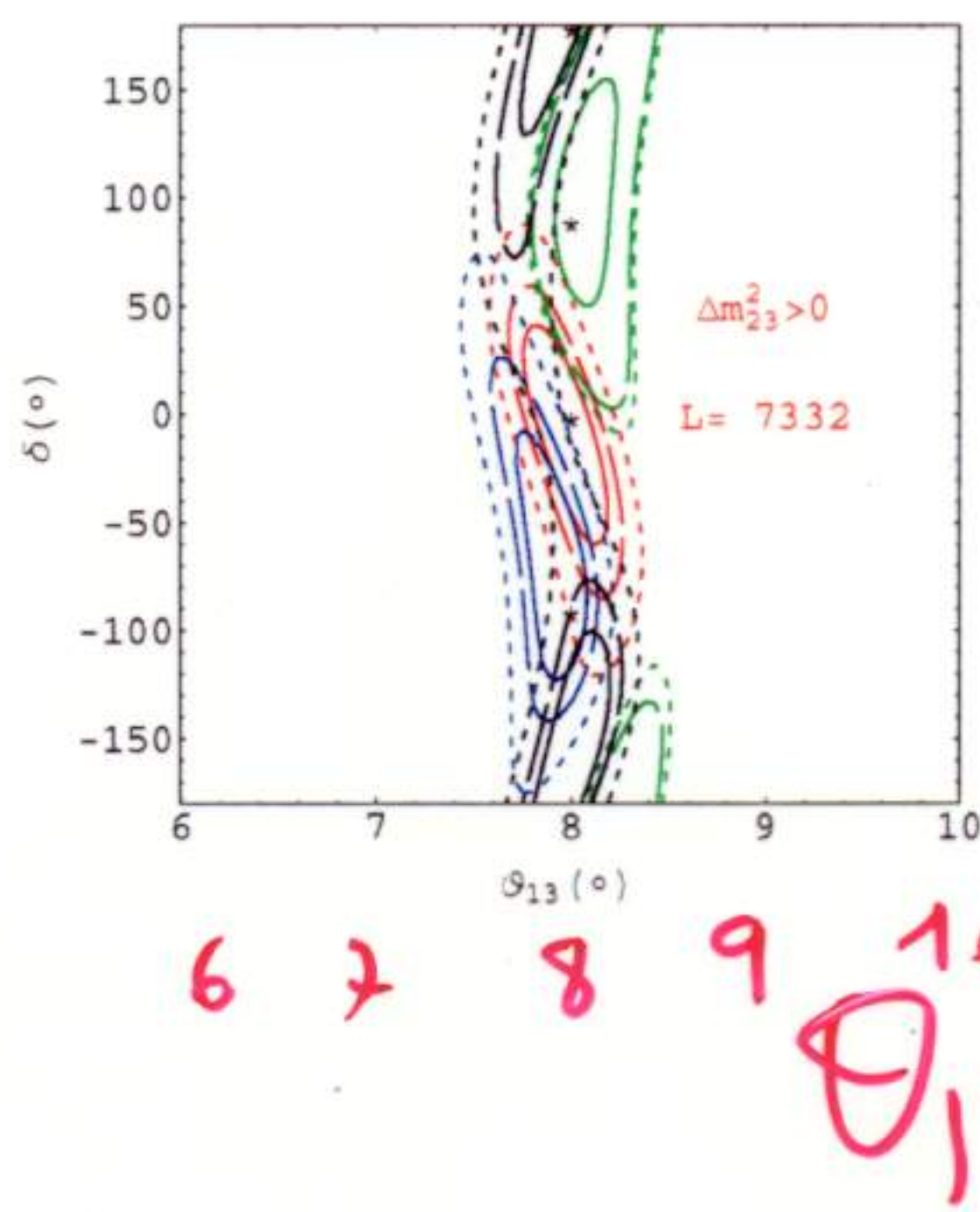
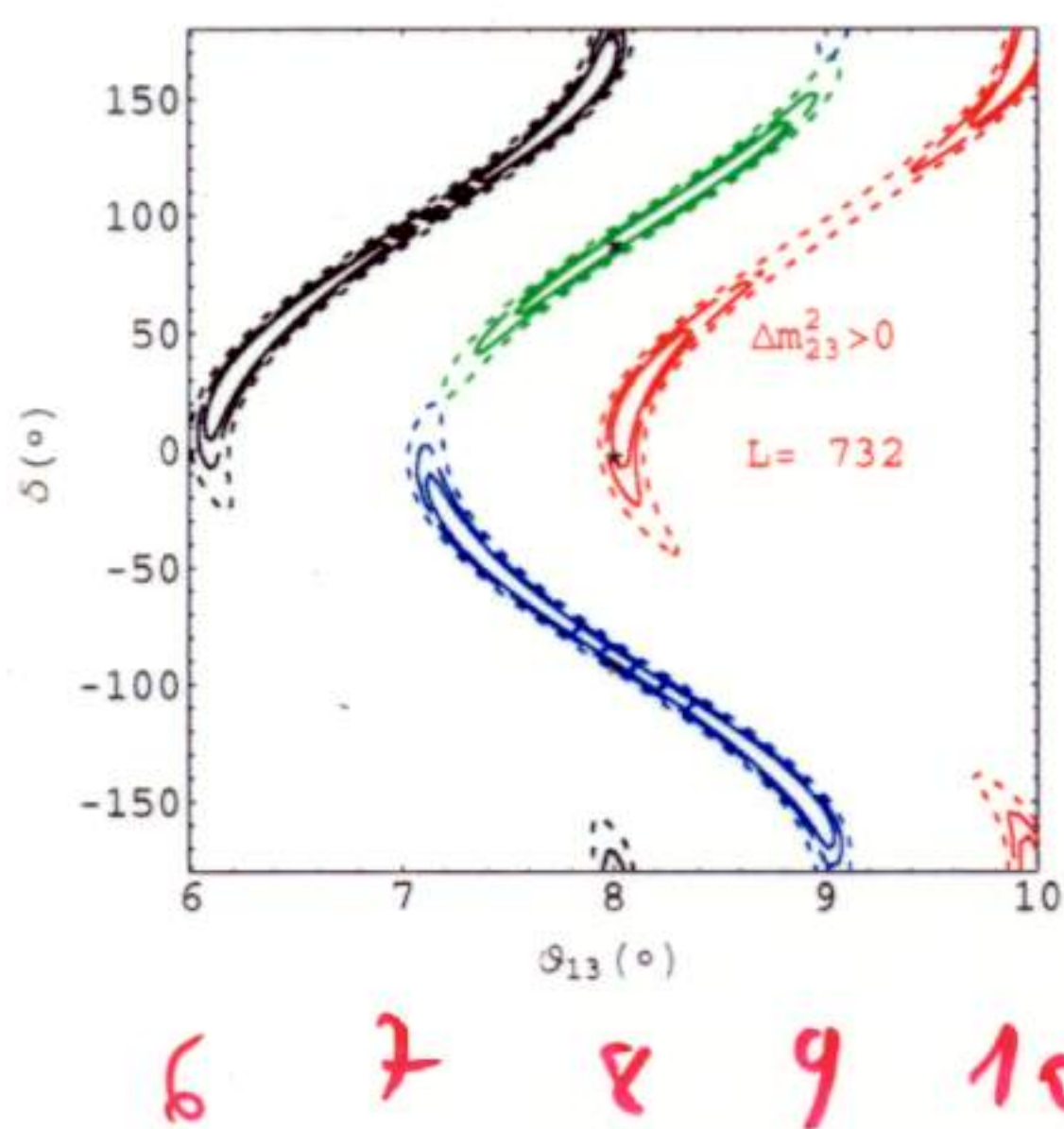
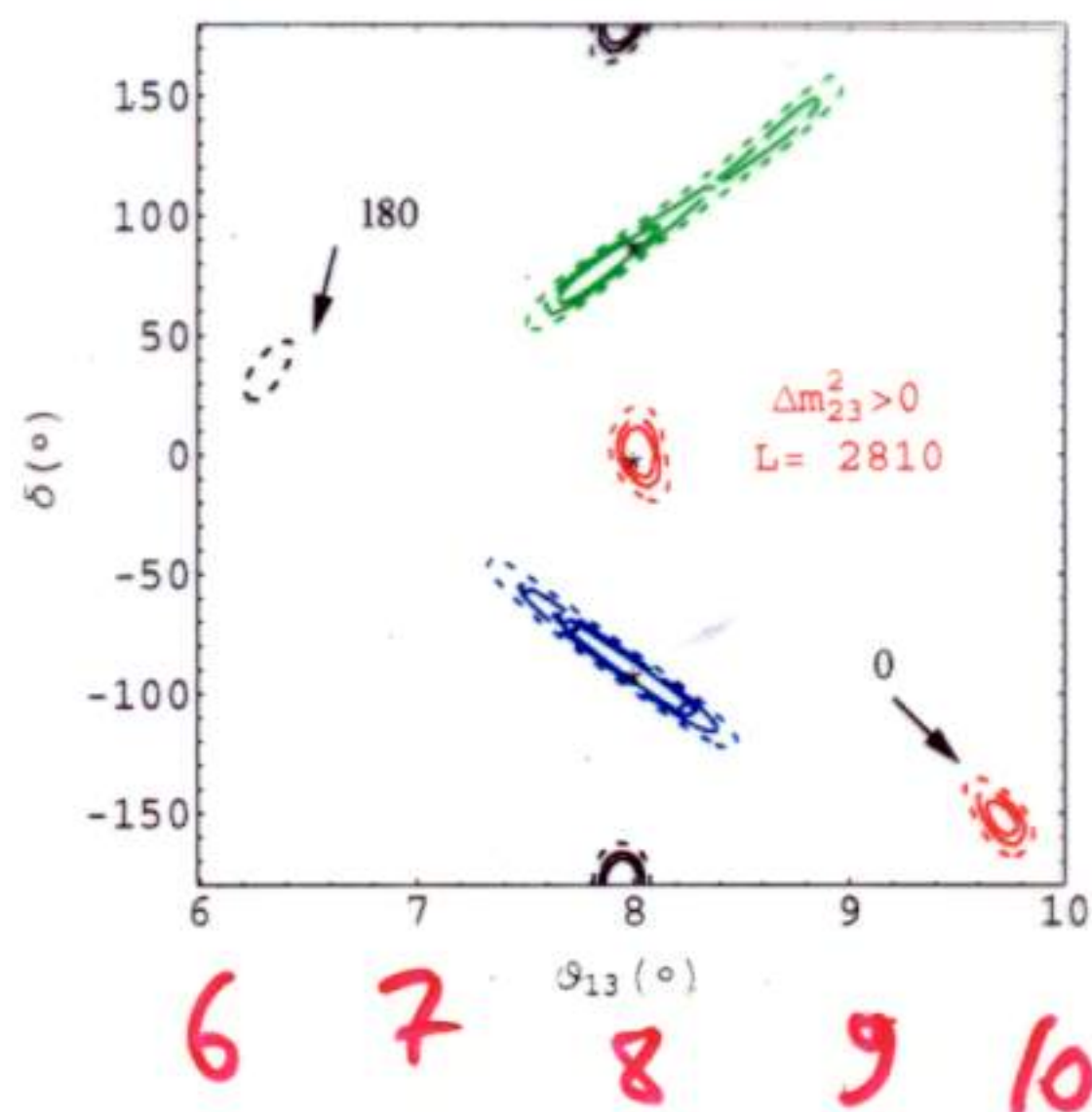
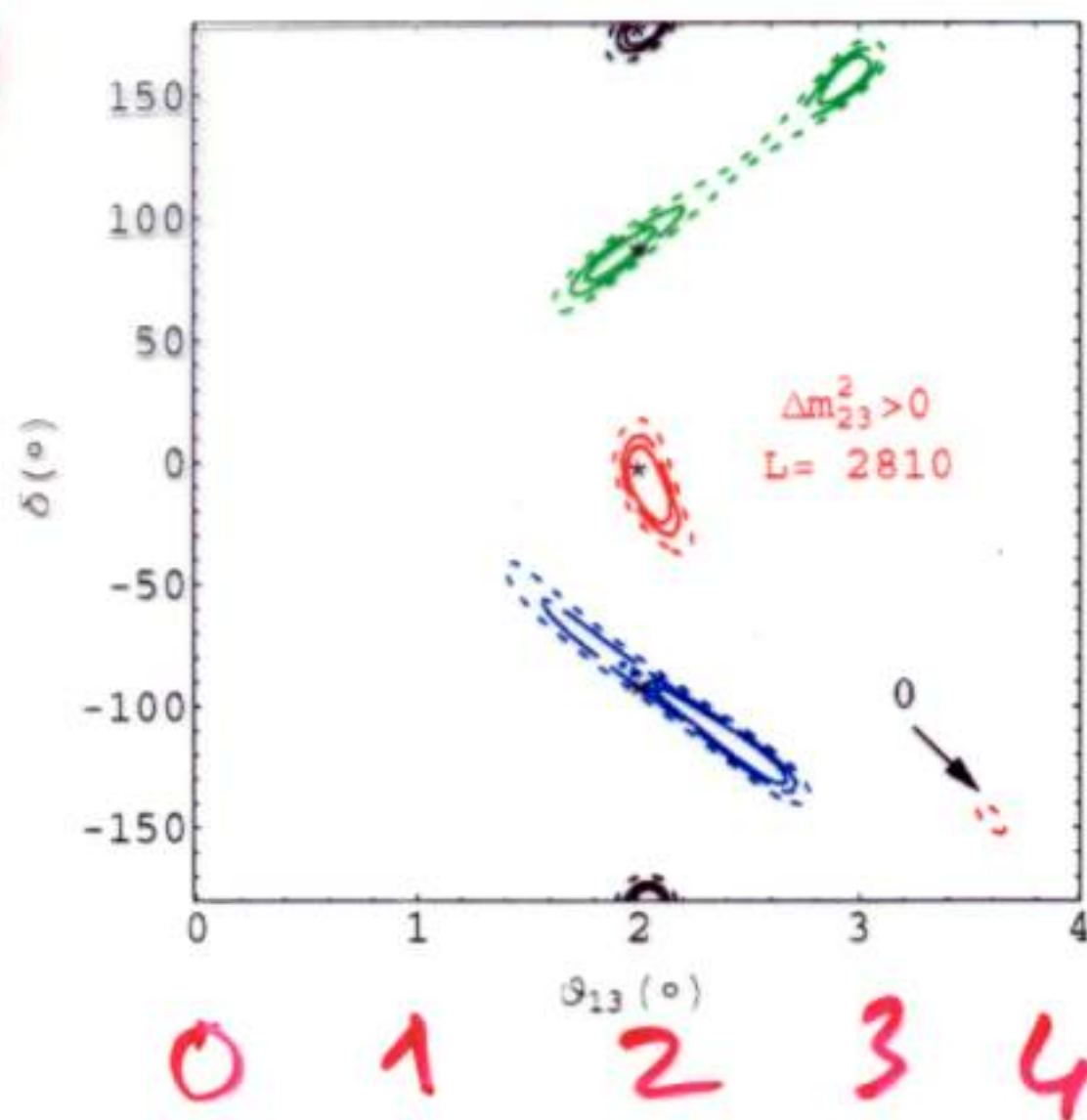
MINIMUM Δm_{12}^2 AT WHICH
 $\delta = 0$ AND $\delta = \frac{\pi}{2}$ CAN BE
 DISTINGUISHED

Δm_{12}^2



θ_{13}

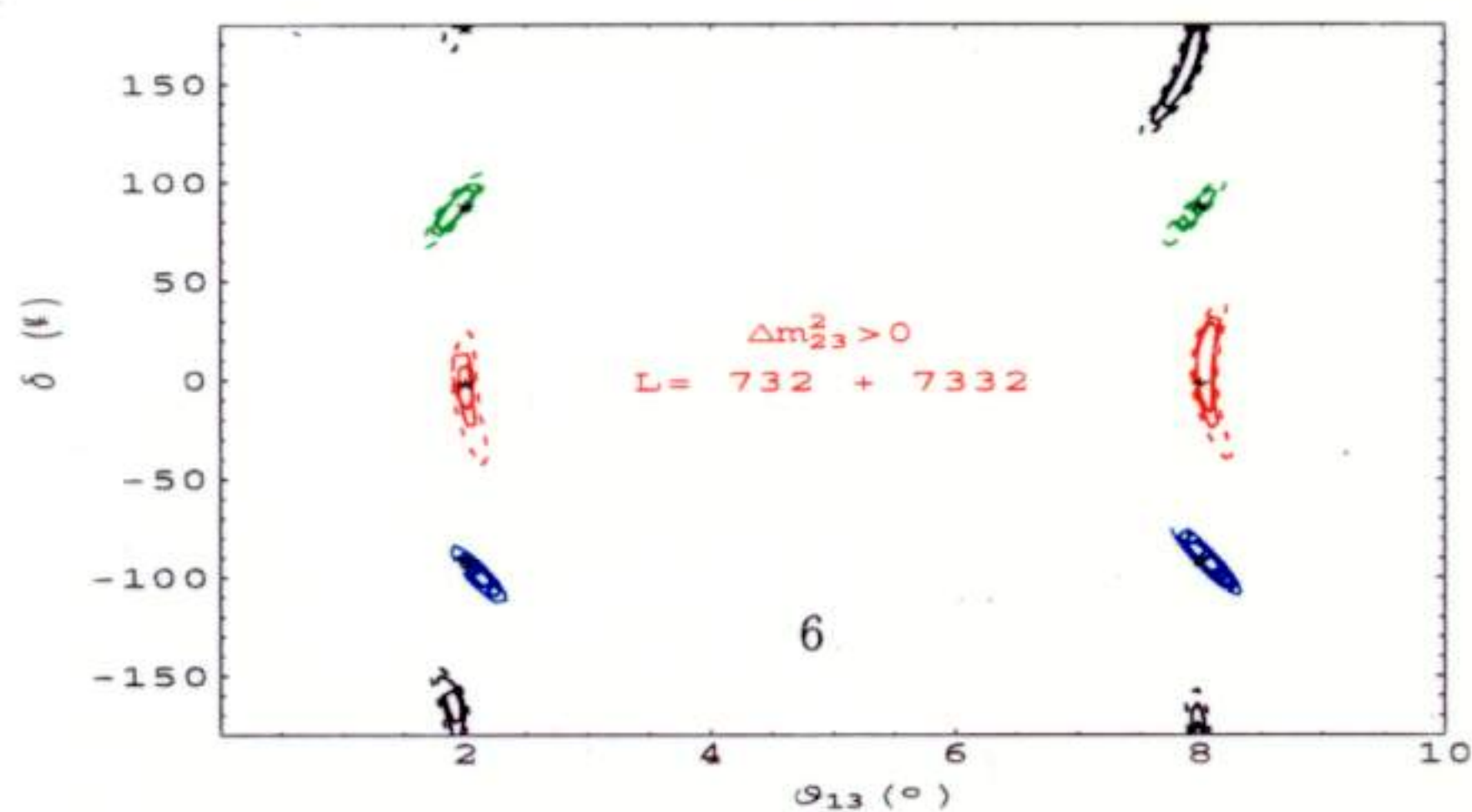
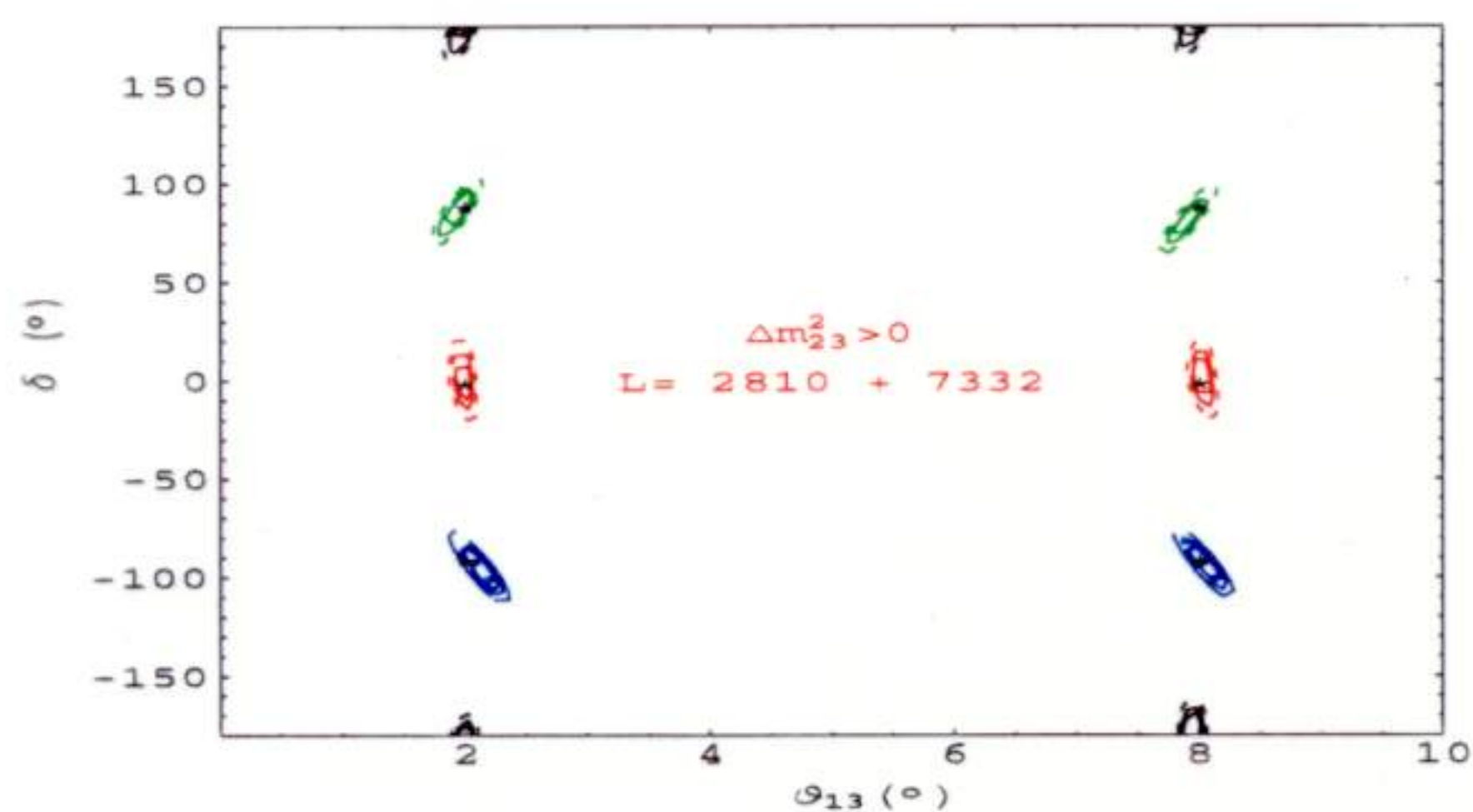
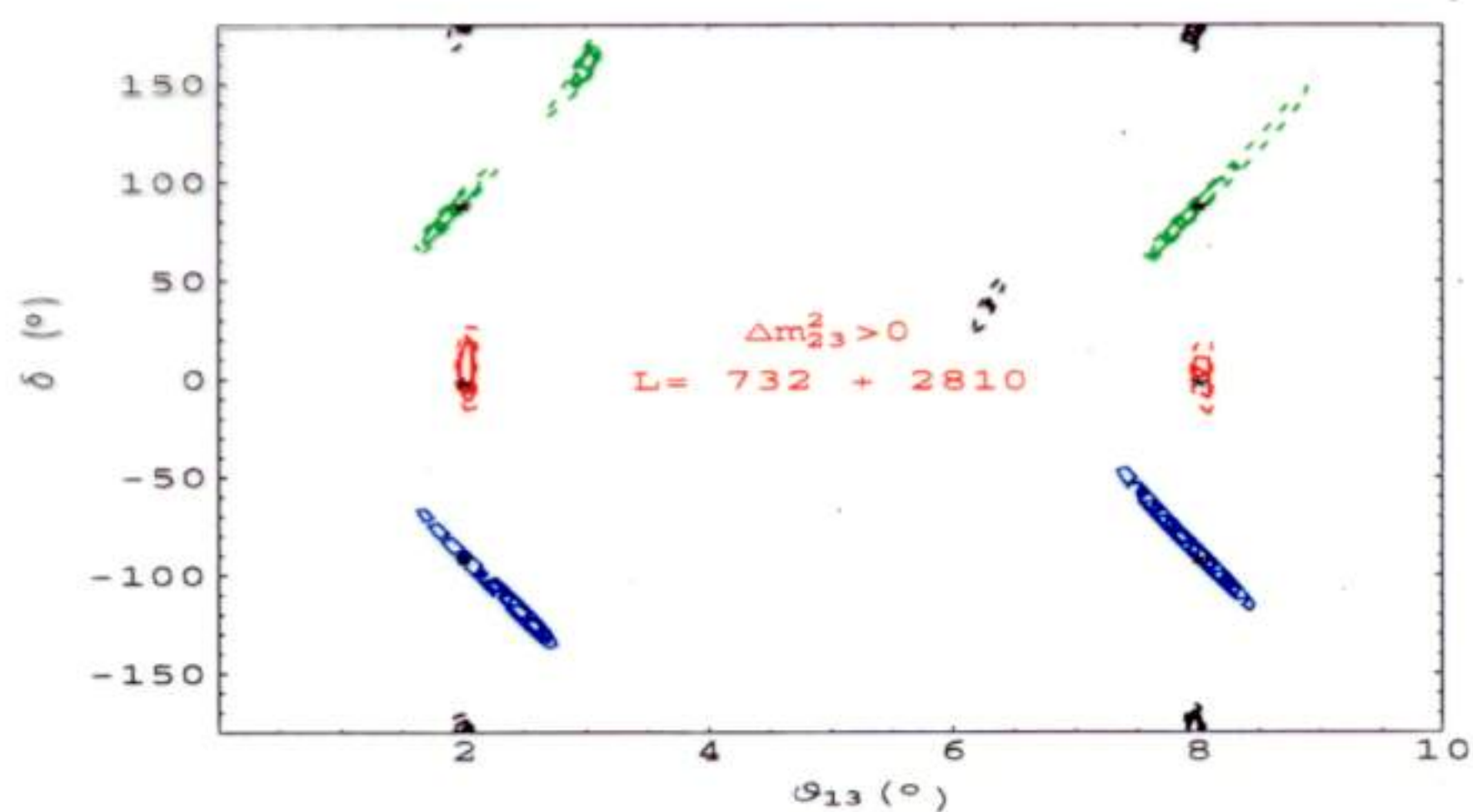
δ



5

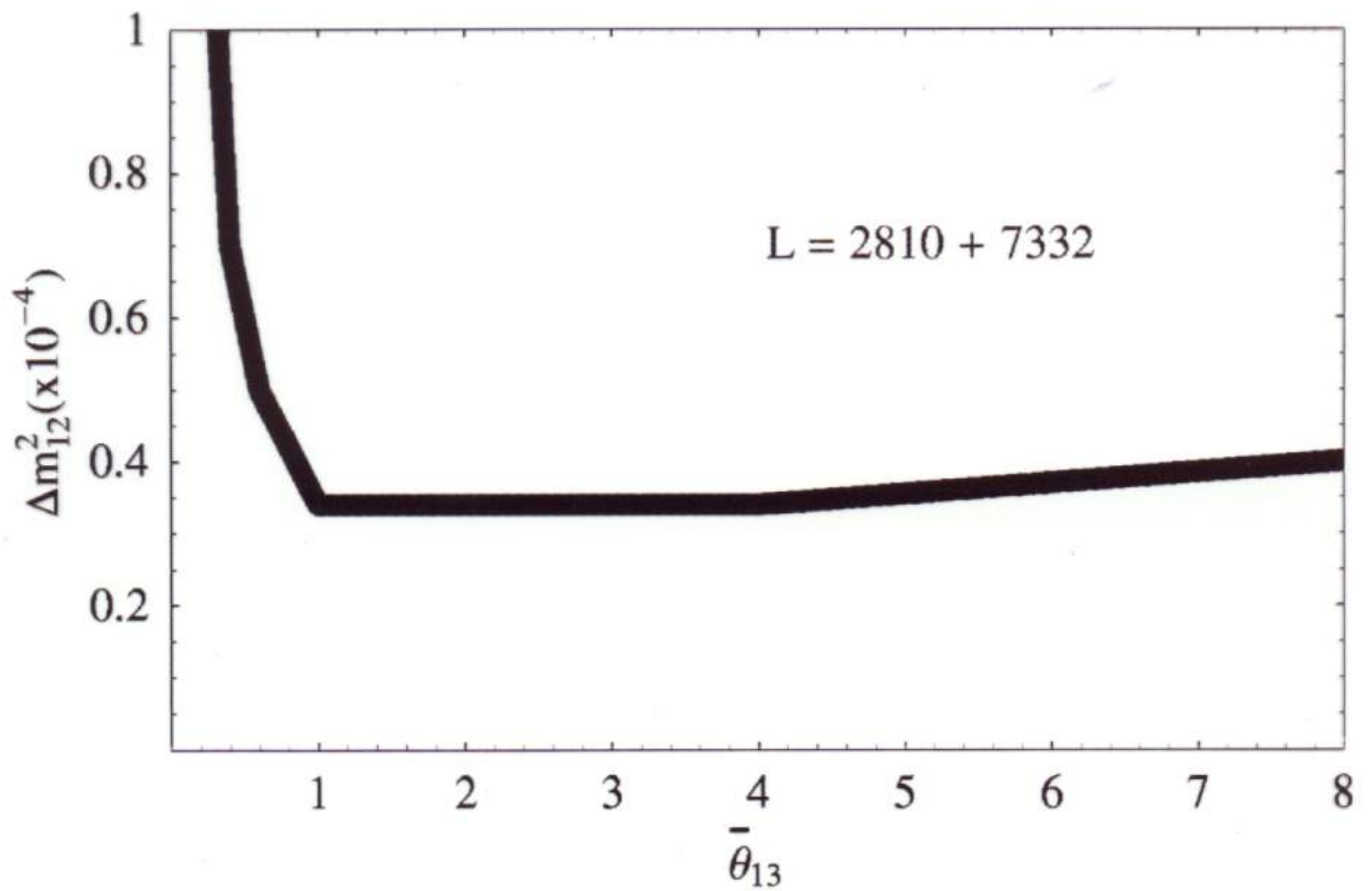
AT FIXED L
OF REFLECTIONS
ARE PRESENT

A NUMBER
(DEGENERATES)
 $\delta \leftrightarrow \pi - \delta$
 $\theta_{13} \leftrightarrow \theta_{13} + \Delta$



REFLECTIONS ARE ELIMINATED
BY COMBINING DIFFERENT L 'S

LINE AT WHICH $\delta = 90^\circ$ IS
DISTINGUISHED FROM 0° OR 180°
AT 99% C.L.



Conclusion

- Many crucial questions to be answered by experiments, e.g.

LNSD: true or false

Which solar ν solution?

How maximal is maximal mixing?.....

- Pending these questions many inventive models and elegant speculative solutions have been proposed.
- Experiments now running or being prepared or conceived can make neutrino oscillation measurements very precise and eliminate most models.

NEUTRINO PHYSICS WILL REMAIN A CENTRAL ISSUE IN PARTICLE PHYSICS IN THE NEXT DECADE(S).